

INSTITUT FOR BÆRENDE KONSTRUKTIONER OG MATERIALER

DTU



# Plastic Theory Applied to Shear Walls

Load-Carrying Capacity of Shear Walls

JUNYING LIU

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**Junying Liu**

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## **PREFACE**

This paper has been prepared as the thesis required to obtain the Ph. D. degree at the Technical University of Denmark.

The work was carried out at the Department of Structural Engineering and Materials under the supervision of Professor, dr.techn. M. P. Nielsen.

I wish to express my sincere appreciation to my supervisor for his guidance, encouragement and constant help. Also I wish to thank the entire staff at the department for their help during the time I have been here.

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Junying Liu

## SUMMARY

This paper deals with the ultimate load-carrying capacity of shear walls based on the plastic theory.

A theoretical model which is a strut or a diagonal compression field combined with triangular homogeneous stress fields is derived to predict accurately the ultimate load-carrying capacity of reinforced concrete shear walls. The method developed can be used together with simple standard programs, e.g. optimization programs. This may be used for the design of earthquake resistant structures.

The walls with different height-width ratios and with rectangular, barbell and flanged sections subjected to vertical loads as well as lateral loads which may be applied monotonically, repeatedly or cyclically can be treated by the method developed. The theory is valid for shear walls using normal strength materials and ultra-high strength materials.

The theoretical results found by the method have been compared with test results available in the literature. A satisfactorily good agreement has been found.

## RESUME

Denne rapport behandler bestemmelse af bæreevnen af vægge af armeret beton påvirket til forskydning v. h. a. plasticitetsteorien.

En teoretisk model bestående af trykstænger kombineret med trekantede områder med homogene spændingstilstande udvikles med det formål at beregne bæreevnen af væggen.

Metoden kan kombineres med simple standard rutiner udviklet til automatisk databehandling. Teorien vil have stor betydning for beregning af jordskælvspåvirkede konstruktioner.

Vægge med forskellige højde-bredde forhold og med rektangulært tværsnit, søjleforstærket tværsnit og tværsnit med flanger påvirket med lodrette såvel som vandrette belastninger behandles. Belastningen kan være enten monoton, alternerende eller cyklisk.

Teorien dækker både normalstyrkebeton og højstyrkebeton ligesom armeringen kan have såvel lav som meget høj flydespænding.

De teoretiske resultater er sammenlignet med et stort antal forsøg fra litteraturen. Der er fundet en tilfredsstillende overensstemmelse mellem teori og forsøg.

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## NOTATIONS

a	:	Height of wall; distance
A	:	Gross area of section
A <sub>sl</sub>	:	Longitudinal reinforcement area in boundary element
b	:	Width of boundary element of wall
c	:	Cohesion parameter; concrete cover
c <sub>v</sub>	:	Coefficient of variation
C	:	Resultant compressive force in boundary element
d	:	Effective width of section in bending calculations
e	:	Parameter determining the position of transverse load on the wall
f <sub>A</sub>	:	Seperation resistance of concrete
f <sub>c</sub>	:	Uniaxial compressive strength of concrete
f <sub>c</sub> <sup>*</sup>	:	Plastic compressive strength of concrete, defined as f <sub>c</sub> <sup>*</sup> = v f <sub>c</sub>
f <sub>yl</sub>	:	Yield strength of reinforcement in boundary element
f <sub>yx</sub>	:	Yield strength of vertical web reinforcement
f <sub>yy</sub>	:	Yield strength of horizontal web reinforcement
h	:	Total width of wall
h <sub>e</sub>	:	Effective width of wall in shear calculation, defined as h <sub>e</sub> = h - 2c
h <sub>0</sub>	:	Width of web
h'	:	Distance between the centre of the boundary elements of wall
M <sub>p</sub>	:	Bending Yield moment
m <sub>p</sub>	:	Dimensionless bending yield moment, defined as $M_p = \frac{M_p}{td^2 f_c}$
N	:	Normal force
n	:	Normal direction; dimensionless normal force, defined as $n = \frac{N}{th f_c}$ ; number
n <sup>*</sup>	:	Dimensionless effective normal force, defined as $n^* = \frac{N}{th v f_c}$

P	:	Transverse load
t	:	Thickness of web
$t_r$	:	Thickness of boundary element
T	:	Resultant force of tensile reinforcement
$T_Y$	:	Total yield force of the tensile reinforcement in the longitudinal direction of wall, defined as $T_Y = 2A_{sl}f_{Yl} + \phi_d f_{Yx} t h_0$
v	:	Relative velocity vector
$W_E$	:	External work
$W_I$	:	Internal work
x	:	Distance
$x_0$	:	Height of biaxial compression area corresponding to strut
$x_0^*$	:	Height of biaxial compression area corresponding to a triangular area
$\bar{x}$	:	Mean value
$y_0$	:	Height of compression zone in section for bending failure; Width of biaxial compression area corresponding to strut
$y_0'$	:	Thickness of strut
$y_0^*$	:	Width of biaxial compression area corresponding to triangular area
$\alpha$	:	Inclination of relative velocity to y-axis
$\alpha^*$	:	Inclination of relative velocity to yield line
$\beta$	:	Inclination of yield line to x-axis
$\varepsilon_1, \varepsilon_2$	:	Principal strains
$\theta, \theta_1$	:	Inclinations of concrete compression to x-axis
$\mu$	:	Coefficient of friction
v	:	Concrete effectiveness factor
$\varphi_l$	:	Reinforcement ratio in boundary element, defined as $\varphi_l = \frac{A_{sl}}{t h}$
$\varphi_x$	:	Vertical reinforcement ratio in web
$\varphi_y$	:	Stirrup reinforcement ratio in web
$\Phi_l$	:	Nominal longitudinal reinforcement degree of wall, defined as $\Phi = 2\Phi_l + \Phi_x + n$ (for upper bound solutions) and

$$\Phi = \Phi_1 + \frac{\Phi_x}{2} + \frac{n}{2} \quad (\text{for lower bound solutions})$$

- $\Phi_1$  : Longitudinal reinforcement degree in boundary element,  
defined as  $\Phi_1 = \frac{A_{sl} f_{yl}}{f_c}$
- $\Phi_x$  : Longitudinal reinforcement degree in web, defined as  
 $\Phi_x = \frac{\varphi_x f_{yx}}{f_c}$
- $\Phi^*$  : Effective nominal longitudinal reinforcement degree of wall,  
defined as  $\Phi^* = \frac{\Phi}{\nu}$
- $\Phi_1^*$  : Effective reinforcement degree in boundary element, defined  
as  $\Phi_1^* = \frac{\Phi_1}{\nu}$
- $\Phi_x^*$  : Effective longitudinal reinforcement degree in web, defined  
as  $\Phi_x^* = \frac{\Phi_x}{\nu}$
- $\sigma$  : Normal stress, Standard deviation
- $\sigma_s$  : Normal stress at the bottom of a wall along the edge of the  
strut
- $\sigma_x$  : Normal stress in concrete at the bottom of a wall along the  
edge of a triangular area
- $\sigma_{sl}$  : Tensile stress of reinforcement in boundary element
- $\sigma_{sx}$  : Tensile stress of vertical reinforcement in web
- $\sigma_{sy}$  : Tensile stress of stirrup reinforcement in web
- $\tau$  : Shear stress; Average shear stress
- $\tau_s$  : Shear stress at the bottom of a wall along the edge of the  
strut
- $\tau_t$  : Shear stress along the edge of a triangular area of a wall
- $\psi$  : Shear reinforcement degree in web, defined as  $\psi = \frac{\varphi_y f_{yy}}{f_c}$

$\psi^*$  : Effective shear reinforcement degree in web, defined as  $\psi$   
$$= \frac{\Psi}{\nu}$$

## **CHAPTER I. INTRODUCTION**

### **1.1 General**

Reinforced concrete structural walls have been favored for the design of multistory buildings in seismic zone areas because they provide an efficient bracing system and offer a great potential for lateral load resistance and drift control. Therefore, in the world, there are many research projects regarding reinforced concrete shear walls.

Denmark has a long history for developing failure theories. These are also applicable in seismic design. Generally in structural analysis, it is of great importance to be able to calculate the ultimate load-carrying capacity and the deformations of a structure. The theory of plasticity has been used for concrete structures in Denmark for a long time, and now it is becoming to be accepted in more and more parts of the world. The plastic theory of concrete can lead to a thorough understanding of the failure mechanisms, and can determine the strength of structures in the ultimate limit state in a simple manner by introducing a few experimental parameters.

The stiffness of the structure will decrease when the structure cracks and further when it reaches the stage of plasticity, which makes it very complex and difficult to calculate the load-carrying capacity and the deformations of the structure by common elastic-plastic methods. Therefore, very often implementation of computer methods is not practicable due to the huge amount of CPU-time required.

This paper deals with the theory of the ultimate capacity of shear walls based on the plastic theory. The result of this study proposes a simple method to predict accurately the



ultimate load-carrying capacity of shear walls. The method developed can be implemented in simple standard programs, e.g. optimization programs. This will be useful for the design of earthquake resistant structures

The walls dealt with have rectangular, barbell and flanged sections and are subjected to vertical loads as well as lateral loads transmitted by the floors. Shear walls are therefore subjected to axial compression, bending moment and shear force.

The ultimate load-carrying capacity of shear walls may be governed either by bending failure or shear failure. Special attention is given to the shear failure mechanism that results from a combination of a strut action and a diagonal compression field. The theoretical results found on the basis of the plastic theory of concrete have been compared with test results performed in other countries. A satisfactorily good agreement has been found.

## 1.2 Historical Survey

### 1.2.1 Overview

The theory of plasticity is a branch of mechanics of materials. This theory deals with materials that can deform plastically under constant load when the load has reached a sufficiently high value. Such materials are called **perfectly plastic** materials, and the theory dealing with the determination of the load-carrying capacity of structures made of such materials is called **limit analysis**. The development of plastic theory of reinforced concrete structures has gone through several stages: First, the yield hinge method for beams and frames was developed; second, the yield line theory for slabs; third, disk and shell theory. During the development a consistent theory containing upper- and lower-bound theorems has been established.

The use of the plastic properties of reinforced concrete structures goes back to the turn of the century. In the Danish code of reinforced concrete of 1908 we find the first traces of a theory of plasticity in the principles given for calculation of continuous beams.

The important development of the plastic theory for reinforced concrete slabs was initiated by Å. Ingerslev [21,1] [23,1]. Ingerslev based the calculation of homogeneously reinforced slabs on the assumption of a constant bending moment along certain lines, called **yield lines**, and he gave several examples of the application of the method.

Later, K. W. Johansen made an essential extension of Ingerslev's method. In his works [31,1] [32,1] [43,1] [62,1] the yield lines, besides the statical, have a **geometrical**

**significance** as lines along which a plastic rotation is taking place at the collapse load. Hereby it was made possible to estimate yield line patterns by purely geometrical considerations and to calculate upper bounds for the load carrying-capacity by the work equation.

One of the most important improvements in the development of the plastic theory was the establishment of the so-called **upper- and lower-bound theorems**. The general formulation of a complete theory for perfectly plastic materials was given in 1936 by the Russian Gvozdev [38,1] and independently by Drucker, Greenberg, and Prager [52,1] [52,2], and has proved very valuable. These important principles were also stated by Hill [51,1] [52,3].

Historically it is interesting to notice that Johansen proved what is now called the upper bound theorem. The lower bound theorem was considered evident by the early workers of plasticity, so in Johansens mind there was probably nothing new in the works of Gvozdev and Drucker, Greenberg and Prager.

From 1960s there was growing interest in plastic theory for reinforced concrete structures in the world. By the middle 1960s, the slab theory had obtained almost final form and at that time it appeared as a special and useful case of the general theory of perfectly plastic materials. The general theory of perfectly plastic materials for slabs was described by Nielsen [62,2] [64,1], Wood [61,1] as well as Sawczuk and Jaeger [63,1], and Massonnet and Save [63,2].

The theory of disks with a complete set of formulas for orthogonal reinforcement was set up by Nielsen in 1963 [63,3] [64,2] and a complete set of formulas for skew reinforcement in 1969 [69,1].

Within the 1970s, the plastic theory has been applied to a number of nonstandard cases, principally shear in plain and reinforced concrete by Nielsen [78.1], Bræstrup [77.1], at the Technical University of Denmark. Similar research has been carried out at various other institutions. Most of the results obtained during that period were collected in the conference reports [78.2] [79.1] [79.2] and [79.3].

From 1970s, the theory of plasticity began to be widely accepted. In 1982, W. F. Chen published a general description of concrete plasticity in his book [82.1]. Nielsen presented an introduction to applications of plastic theories for the design of concrete structures in the book "**Limit Analysis and Concrete Plasticity**" [84.1]. Particularly useful results have been obtained regarding the strength of slabs, beams and shear walls under shear, torsion, and combined actions [73.1] [74.2] [78.3] [80.1] [85.1] [95.1]. Rational models have been proposed which are adequately accurate and sufficiently simple and general for practical applications. These developments have had a big influence on the formulation of European codes. In North America, similar proposals for shear and torsion design found wide attention mainly from contributions by Vecchio, Collins [78.3][80.3] [81.2] [82.2] [86.2].

During this time, much research work based on the plastic theory has been carried out with the objective of developing an understanding of the behavior of shear walls. Firstly the yield hinge method and elasto-plastic analysis were used to analyze shear walls [68.1] [70.1]. Similarly Paulay has used the plastic hinge method and the conception of ductility to analyze and design shear walls [76.1] [82.3] [92.1].

Later on, plastic theories with slightly different models were developed. The models used in shear wall analysis are mostly extended from beam theory.

### **1.2.2 Shear Wall Analysis**

Shear walls can be defined as vertical cantilevers or squat shear walls (according to the ratio between the height and the width), with various cross sections such as rectangular, I (barbell and flanged), box, and other elevator wall sections. The shear walls support the vertical load, in addition to their function to stiffen the structures by their resistance to lateral loads due to wind, earthquake or blast. Although interior and exterior concrete walls have been used to stiffen structures as long as reinforced concrete itself has been in use, the modern concept of shear walls designed as vertical slender cantilevers were first utilized in 1948 in housing projects in New York City and in Chicago in buildings designed for wind forces, to augment the lateral resistance of the frames [74. 1] .

Analysis for lateral loads on buildings containing shear walls was carried out initially, in the 1950s, by assigning all the lateral loads to the shear walls, since it was felt that the very big difference in stiffness between the shear walls and the frame would cause the shear walls to carry the total lateral loads.

Shear walls can be classified as (a) short shear walls (  $a/h$ , less than about 1 ), and (b) slender shear walls (  $a/h$ , more than 2 ). Short shear walls are mostly governed by their shear strength, while slender shear walls are cantilever beams controlled by

flexure. Another possible classification of shear walls is according to the geometry of the section: rectangular sections, and I-sections (barbell and flanged).

Several models are used in the analysis of shear walls. The most common models used in the analysis of shear walls are the **strut-and-tie model** and the **truss model**.

The strut and tie model is as old as reinforced concrete theory and was normally called a truss model in the old days [22.1] [28.1]. The load in this type of model is carried by concrete bars subjected to compression and tensile bars made up by the reinforcement bars. This model has played an important role when the modern reinforcement theory started to develop [63.1] [63.2] [69.1] and has also been extensively used in practical design for many years [85.1] [86.5] [90.2] [93.1] [93.2]. Figure 1.1 illustrates the strut-and-tie model.

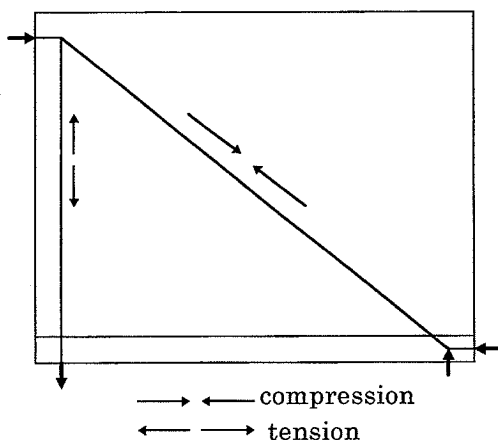


Fig. 1.1 *Strut-and-tie model of a shear wall*

Aoyama and H. Shiohara [86.5] used the strut-and-tie model to calculate the stress field and to predict the ultimate shear load of some particular shear walls and got

good correlation with experimental results. Also by using this model, W.B. Siao found good agreement with test results when predicting the shear capacity of reinforced concrete walls with height-to-width ratios less than or equal to 1 [94.1].

The **truss model** is the most widely used model in shear design and analysis. In its simplest form, a wall acts as a statically determinate truss as illustrated in Fig. 1.2. The concrete between the cracks forms the struts of the truss; the longitudinal reinforcement becomes the longitudinal chords of the truss. Finally the transverse reinforcement makes the tensile ties. Although the truss analogy is a relatively simple model, it is convenient and reasonable for explaining the shear transfer mechanism in a thin web with boundary elements.

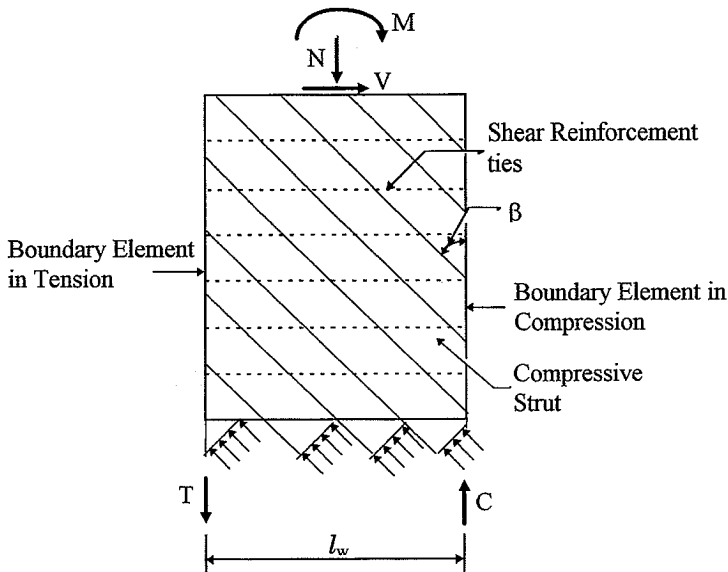


Fig. 1.2 *Truss model for a reinforced concrete wall*

Oesterle et al [84.3] based on his experimentation and study reported that the determination of the shear capacity and the failure criteria may be found using the truss analogy model.

Based on equilibrium and compatibility conditions, as well as a stress-strain relationship for softened concrete which was proposed by Vecchio and Collins [81.2], Hsu and Mo developed a **soften truss model** to predict the strength, behavior, shear design and analysis of low-rise reinforced concrete shear walls [85.2] [86.3] [87.1].

The **modified truss analogy model** is developed from the truss analogy model for reinforced beams and has been used in the ACI building Code. The applicability of this model for low-rise structural walls subjected to earthquake-induced loads has been questioned in discussions around the ACI building Code [83.1] [83.2] [86.1] and was evaluated by Sharon L.Wood [90.1].

The **compressive force and path method** was first developed by Kotsovos [83.3] [84.2] [88.1]. Lefas applied it to structural walls [88.2] (see Figure 1.3). According to this method the wall strength is related to the strength of the concrete in the region of the path in which the compressive forces are transmitted to the wall base. It is believed that the shear force are carried through the wall and can be visualized as a flow of compressive stresses within a path of varying cross-section. Failure is considered to be associated with the development of tensile stresses within a path region. This method generally is used in walls which may be identified with cantilever beams.



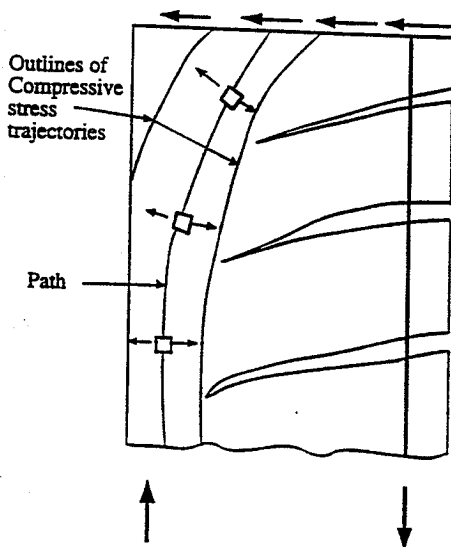


Fig. 1.3 *Compressive force and path method for walls*

In this paper a theoretical model shown in Fig. 1.4 as well as Fig. 1.5 is developed. The model is a strut in combination with triangular homogeneous stress fields which is suitable for squat walls as shown in Fig. 1.4 or a diagonal uniaxial compression field in combination with triangular homogeneous stress fields which is proper for slender walls as shown in Fig. 1.5.

The model developed has been inspired by a beam model used by Jensen [81.1].

The triangular areas consist of two diagonal compression fields with different uniaxial compression concrete stresses  $\sigma'_c$  and  $\sigma''_c$  which are inclined to the vertical axis by angles  $\theta$  and  $\theta_1$ , respectively, and one biaxial stress field with concrete compressive stresses  $f_c$  and  $\sigma_2 \leq f_c$ .

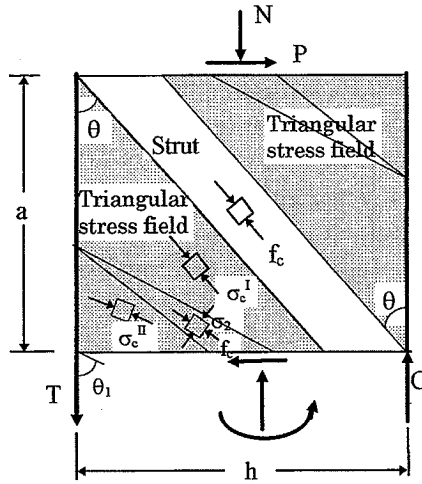


Fig.1.4 *Strut solution combined with triangular stress fields*

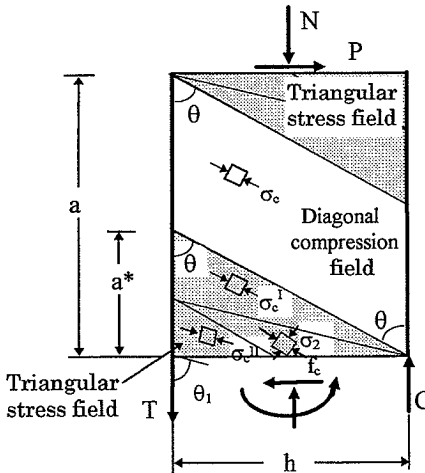


Fig.1.5 *Diagonal compression field combined with triangular stress fields*

In this model, the boundary elements are treated as stringers. The strut and the diagonal stress field carry the uniaxial

compression stress  $f_c$  and  $\sigma_c \leq f_c$  , respectively. The strut and triangular areas deliver to the top slab and bottom slab shear stresses and compression stresses. The horizontal component of the uniaxial compression stress in the diagonal stress field is equilibrated by the stirrups in the web and the vertical one is equilibrated by the stringer forces.

If standard computer optimization routines are used, a strut or a diagonal stress field at failure can be determined automatically.

A large number of shear wall tests have been treated using the theory proposed in this paper and the results from theory coincide well with the experimental results.

## Chapter II. BASIC THEORY AND ASSUMPTIONS

### 2.1 Extremum Principles for Rigid-Plastic Materials

A rigid-plastic material is defined as a material in which no deformations occur ( at all ) for stresses up to a certain limit, the yield point. For stresses at the yield point, arbitrarily large deformations are possible without any change in the stresses. In the uniaxial case, a tensile or compressive rod, this case corresponds to a stress-strain curve as shown in Fig.2.1. The stress, the *yield stress*, for which arbitrarily large strains are possible, is denoted  $f_Y$  . In the figure the yield stresses for tensile and compressive actions are assumed equal.

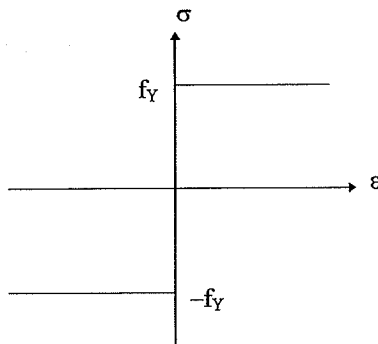


Fig.2.1 Uniaxial stress-strain relation for a rigid-plastic material

As long as the stresses in a body of a rigid-plastic material are below the yield point, no deformations occur. This idealization implies that we cannot determine the stress field in such a body when the stresses are below the yield point. When the loading increases to a point where it can be carried only by stresses at the yield point, unlimited deformations are possible without changing the load, if the strains (determined by the normality

condition ) correspond to a geometrically possible displacement field. The body is then said to be subjected to collapse by yielding. The corresponding load is called the collapse load or the **load-carrying capacity** of the body. The terms yield load and failure load will also be used. The theory of collapse by yielding is termed **limit analysis**.

For determination of the load-carrying capacity of rigid-plastic bodies the following extremum principles are very useful, see [84.1] for a review.

#### The Lower-Bound Theorem

Any load corresponding to a safe and statically admissible stress field is smaller than or equal to the yield load of the body.

A safe stress field is defined as a stress field corresponding to points within or on the yield surface, which is the surface describing the combination of stresses giving rise to yielding.

A statically admissible stress field satisfies the equilibrium equations including the statical boundary conditions.

#### The Upper-Bound Theorem

Any load found from the work equation for an arbitrary, geometrically admissible failure mechanism is greater than or equal to the yield load of the body.

To determine the work absorbed by the body a flow rule is needed. In plastic theory the strain increment as a vector is assumed to be perpendicular to the yield surface. This condition is termed the normality condition. In the work equation the work done by the external force is equalised to the work absorbed by the body.

## The Uniqueness Theorem

If the lowest upper bound and the highest lower bound coincide, then an exact solution has been found, the coinciding upper and lower bound being the yield load of the body.

## 2.2 The Solution of Plasticity Problems

Since the displacement and/or the stress field are often discontinuous in plastic solutions, the governing equations are different from those used in the elastic theory [84.1]. The statical discontinuities can be illustrated as follows.

Consider a plane stress field which is divided into two parts I and II by a curve  $l$  ( see Fig.2.2). According to the law of action and reaction, only the following conditions have to be fulfilled along  $l$ :

$$\sigma_n^I = \sigma_n^{II}$$

$$\tau_{nt}^I = \tau_{nt}^{II}$$

Therefore in a stress field satisfying the equilibrium conditions, there might be a discontinuity in  $\sigma_t$  along  $l$  which is called a line of stress discontinuity. This is illustrated in Fig. 2.3.

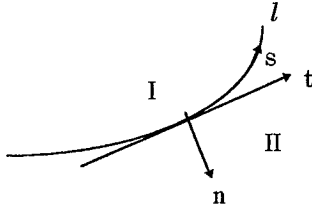


Fig. 2.2 *Coordinate system along a stress discontinuity line in a disk*

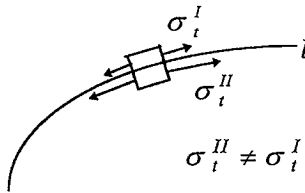


Fig. 2.3 *Example of stress discontinuities in a disk*

In plastic theory no analytical standard method can be used to solve load-carrying capacity problems. Upper and lower bound solutions can be found by the upper and lower bound theorems. An upper bound solution is found by considering a geometrically possible failure mechanism and by solving the work equation. A lower bound solution is found by constructing a statically admissible stress field corresponding to stresses within or on the yield surface.

### 2.3. Modified Coulomb Material

For a large group of materials it appears that reasonable failure conditions are attended by combining Coulomb's frictional hypothesis with a bound for the maximum tensile stress. The resulting failure criterion makes it natural to distinguish between two failure modes, *sliding failure* and *separation failure*. In both cases the name refers to what we imagine the relative motion between particles on each side of the failure surface to be. At sliding failure there is motion parallel to the failure surface, while motion at the separation failure is perpendicular to the failure surface. By sliding failure in certain materials, motion along the failure surface is combined with motion off the failure surface.

Sliding failure is assumed to occur in a section when the Coulomb frictional hypothesis is fulfilled; that is the shear stress  $|\tau|$  in the section exceeds the *sliding resistance*, which, as mentioned, can be determined by two contributions. One contribution is *cohesion*, denoted  $c$ . The other contribution terms from a kind of internal friction and equals a certain fraction  $\mu$  of the normal stress  $\sigma$  in the section. The quantity  $\mu$  is called the *coefficient of friction*. If  $\sigma$  is a compressive stress, it gives a positive contribution to the sliding resistance; if  $\sigma$  is a tensile stress, it gives a negative contribution.

The condition for sliding failure is therefore

$$|\tau| = c - \mu\sigma \quad (2.1)$$

where  $c$  and  $\mu$  are positive constants and  $\sigma$  is counted positive as a tensile stress. A material complying with this failure condition is called a *Coulomb material*.



Separation failure occurs when the tensile stress  $\sigma$  in a section exceeds the *separation resistance*  $f_A$ , that is, when the criterion

$$\sigma = f_A \quad (2.2)$$

is fulfilled.

A material complying with (2.1) and (2.2) is called a modified Coulomb material.

As yield condition ( failure criterion ) for concrete we adopt the hypothesis called the modified Coulomb failure criterion which is the hypothesis of Coulomb together with a limitation of the tensile strength.

If conditions (2.1) and (2.2) are illustrated in a  $(\sigma, \tau)$ -coordinate system, we have the straight lines shown in Fig. 2.4 dividing the plane into two regions.

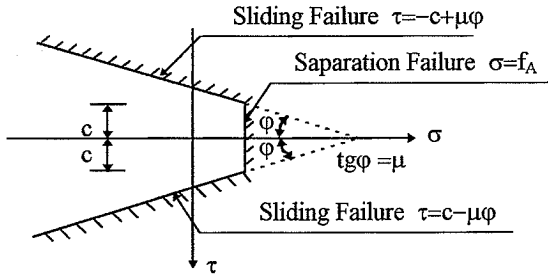


Figure 2.4 *Rupture criterion for a modified Coulomb material.*

## 2.4 Assumptions

The yield condition for the composite material containing concrete as well as reinforcement bars will be developed using the rigid-plastic theory, and by using the failure criteria for concrete as yield condition for concrete.

### Concrete

The concrete is considered to be a rigid-plastic material obeying the modified Coulomb failure criterion with zero tensile cutoff (see Fig.2.5). The compressive strength is  $f_c^* = v f_c$ , where  $f_c$  is the cylinder strength and  $v$  is an effectiveness factor.

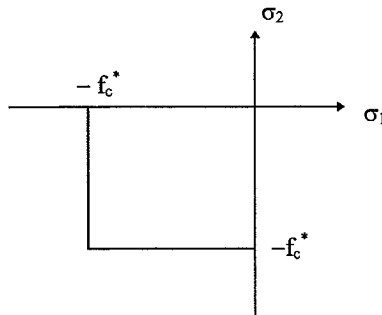


Figure 2.5 *Yield condition for concrete in plane stress, the tensile strength being put to zero.*

## Reinforcement

We assume the reinforcement to be capable of carrying longitudinal tensile and compressive stresses only. The material is assumed to be rigid-plastic. In fig.2.6 the stress-strain relation is shown. The yield strength of the reinforcement is denoted  $f_y$ . According to this assumption, the reinforcement bars are unable to resist any lateral force, i.e., the dowel effects are neglected.

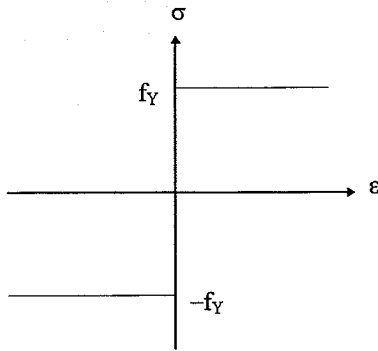


Figure 2.6 *Uniaxial stress-strain relation for a reinforcement bar*

### CHAPTER III UPPER BOUND SOLUTIONS FOR SHEAR WALLS

In this chapter we will derive upper bound solutions for shear walls which are subjected to a concentrated transverse load and a vertical load both in the plane of the web.

The behavior of a structural wall within a storey of a multistorey building may be idealized by an isolated wall as shown in figure 3.1. The isolated wall comprises the boundary elements (boundary columns or flanges) and central panel (web). In practice, the central panel of a structural wall is usually provided with uniform reinforcement, i.e. bars of same diameter at equal spacing in both longitudinal and transverse direction. The isolated wall is subjected to vertical and horizontal loads.

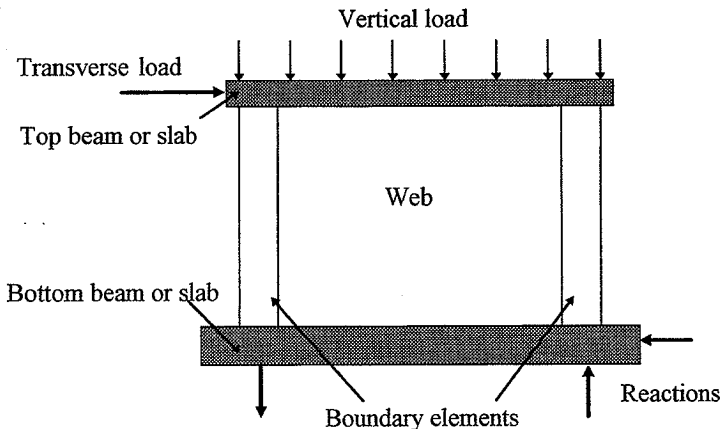


Fig.3.1 *An isolated shear wall*

### **3. 1 Basic Assumptions**

Consider a shear wall as shown in Fig.3.1. The transverse load  $P$  is transferred to the wall by means of a top beam or slab and the wall transfers the force to the bottom beam or slab. The top beam or slab might be subjected to normal stresses along the horizontal face, which are statically equivalent to a normal force  $N$ .

Besides the assumptions that we have made in section 2.4, we further assume :

- 1) The wall is in a state of plane stress.
- 2) The boundary elements are treated as stringers, carrying a force  $T$  positive as tension and a force  $C$  positive as compression, respectively.
- 3) The normal stresses on the top beam or slab are assumed to be statically equivalent to a compression force  $N$  acting at the middle point of the top slab.

### 3.2 Upper Bound Solutions

The upper bound solutions of beams, deep beams and corbels without uniformly distributed reinforcement in longitudinal direction were derived by Nielsen, Bræstrup [77.1] , [78.1] and [79.2] and Chen [88.3]. Based on these solutions, the author has derived upper bound solutions of shear walls with uniformly distributed reinforcements in two perpendicular directions and with normal force.

Before starting to derive the formulae, we introduce the term **reinforcement degree**, defined as being the ratio between the force per unit of length that the reinforcement is able to carry and the force per unit of length that the concrete is capable to carry in pure compression. The reinforcement degree is denoted  $\Phi$  for longitudinal steel ( in vertical direction ) and  $\psi$  for stirrup steel ( horizontal direction ). So for the horizontal and vertical directions, respectively, we have

$$\left. \begin{aligned} \Phi_l &= \frac{A_{sl} f_{yl}}{l h f_c} = \frac{\varphi_l f_{yl}}{f_c} \\ \Phi_x &= \frac{\varphi_x f_{yx}}{f_c} \\ \Psi &= \frac{\varphi_y f_{yy}}{f_c} \end{aligned} \right\} \quad (3.1)$$

The meaning of the notations is the following:

$\Phi_l, A_{sl}, f_{yl}, \varphi_l$  : the reinforcement degree, the area, the yield strength and the ratio of the longitudinal reinforcement in the boundary elements, respectively.

- $\Phi_x, f_{Yx}, \varphi_x$  : the reinforcement degree, the yield strength and the ratio of reinforcement in vertical direction of web, respectively;  
 $\psi, f_{Yy}, \varphi_y$  : the reinforcement degree, the yield strength and the ratio of reinforcement in horizontal direction of web, respectively;  
 $f_c$  : the uniaxial compression strength of concrete;  
 $t$  : the thickness of the web;  
 $t_r$  : the thickness of the boundary element;  
 $h$  : the total width of the cross section.

A yield line is a kinematical discontinuity line separating the body into two rigid parts. One part is moving relative to the other with the velocity  $v$  inclined at the angle  $\alpha^*$  to the yield line (Fig.3.2b). The discontinuity is a mathematical idealization of a narrow deforming zone ( Fig. 3.2a ).

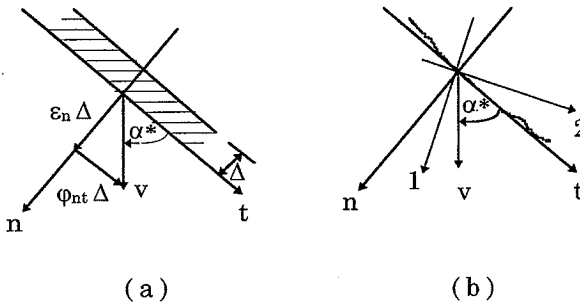


Fig 3. 2 *Yield line in plain concrete*

On the basis of the flow rule of perfectly plastic materials, the normality condition, only the stress state  $(\sigma_1, \sigma_2) = (0, -f_c^*)$ ,

corresponding to the lower right corner of the yield locus (Fig.3.3) can produce the strain rate state in the zone.

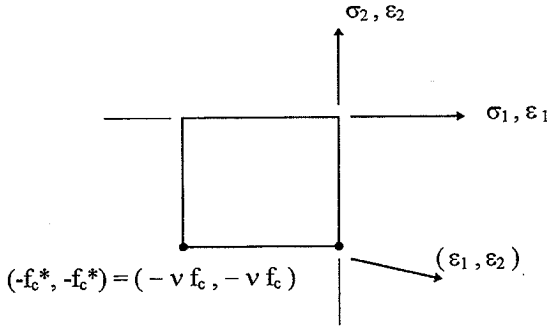


Fig. 3. 3 *Square yield locus for concrete in plane stress.*

Hence the rate of internal work dissipated per unit area of the yield line is given by ([79.2]) :

$$W_I = \frac{1}{2} v f_c^* (1 - \sin \alpha^*) \quad \text{for } -\pi/2 \leq \alpha \leq \pi/2 \quad (3.2)$$

Here  $f_c^* = v f_c$  is the effective concrete compression strength.

The principal directions of stresses and strain rates are indicated on Fig. 3.2b. The first principal axis bisects the angle between the relative velocity vector and the yield line normal.

Fig 3.4 shows a shear wall subjected to the shear force  $P$  and the normal force  $N$ . It is assumed that the failure mechanism consists of a single yield line inclined at the angle  $\beta$  to the  $x$ -axis. The relative velocity is  $v$  at an angle  $\alpha$  to the  $y$ -axis.



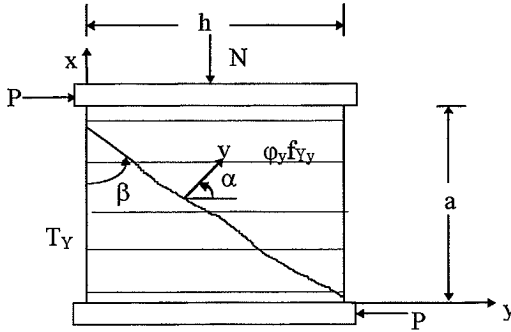


Fig.3.4 *Failure mechanism of a shear wall with horizontal stirrups subjected to transverse loading*

The rate of internal work dissipated in the mechanism is :

$$W_I = \phi_y f_{Ty} \cos \beta \frac{th}{\sin \beta} v \cos \alpha + \frac{1}{2} v f_c^* [1 - \cos (\beta - \alpha)] \frac{th}{\sin \beta} + T_Y \sin \alpha \quad (3.3)$$

where equation (3.2) has been used.

In (3.3),  $T_Y = 2A_{sf} f_{Ty} + \phi_x f_{Tx} th_0$  is the total yield force of the tensile reinforcement in the longitudinal direction.

The ranges of the variables  $\alpha$  and  $\beta$  are:

$$\alpha \geq 0 \quad \text{and} \quad 0 \leq \cot \beta \leq \lambda \quad (3.4)$$

where  $\lambda = a/h$  is the shear span ratio.

The geometry of the wall imposes the upper limit on  $\cot\beta$ . The lower limit and the bound on  $\alpha$  ensure that the stirrups and the longitudinal bars are yielding in tension, respectively.

The rate of external work done by the loading is

$$W_E = v P \cos\alpha \quad (3.5)$$

If a normal force  $N$  is acting, the external work is

$$W_E = v P \cos\alpha - v N \sin\alpha \quad (3.6)$$

Then the work equation  $W_E = W_I$  yields the upper bound solution:

$$\frac{\tau}{f_c} = \frac{2\psi \cos\alpha \cos\beta + v[1 - \cos(\alpha - \beta)] + 2\Phi \sin\alpha \sin\beta}{2\sin\beta \cos\alpha} \quad (3.7)$$

Here  $\tau = P/th$  is the average ultimate shear stress,  $v$  is the concrete effectiveness factor for shear, and we have introduced the shear reinforcement degrees

$$\Psi = \frac{\varphi_y f_{ry}}{f_c} \quad (3.8)$$

and the nominal longitudinal reinforcement degrees

$$\Phi = \frac{2 A_{sl} f_{yl}}{t h f_c} + \frac{\varphi_x f_{rx}}{f_c} + \frac{N}{t h f_c} \quad (3.9)$$

We may find the lowest upper bound by minimizing equation (3.7) with respect to the variables  $\alpha$  and  $\beta$ . The necessary conditions are:

$$\sin\alpha - \frac{\nu-2\Phi}{\nu} \sin\beta = 0 \quad (\partial\tau / \partial\alpha = 0)$$

$$\cos\beta - \frac{\nu-2\Psi}{\nu} \cos\alpha = 0 \quad (\partial\tau / \partial\beta = 0)$$

which were derived by M.W. Bræstrup [79.1].

The lowest upper bound solutions are found to be:

$$\frac{\tau}{\nu f_c} = \begin{cases} \frac{1}{2} \left( \sqrt{4\Phi(1-\Phi) + \left(\frac{a}{h}\right)^2} - \frac{a}{h} \right) + \Psi \frac{a}{h} & \begin{cases} \Psi^* < \Psi_0 \\ \Phi < 0.5 \end{cases} \\ \frac{1}{2} \left( \sqrt{1 + \left(\frac{a}{h}\right)^2} - \frac{a}{h} \right) + \Psi \frac{a}{h} & \begin{cases} \Psi^* < \Psi_0 \\ \Phi \geq 0.5 \end{cases} \\ 2\sqrt{\Phi(1-\Phi)\Psi(1-\Psi)} & \begin{cases} \Psi_0 < \Psi^* < 0.5 \\ \Phi < 0.5 \end{cases} \\ \sqrt{\Psi(1-\Psi)} & \begin{cases} \Psi_0 < \Psi^* < 0.5 \\ \Phi \geq 0.5 \end{cases} \\ \sqrt{\Phi(1-\Phi)} & \begin{cases} \Psi^* \geq 0.5 \\ \Phi < 0.5 \end{cases} \\ \frac{1}{2} & \begin{cases} \Psi^* \geq 0.5 \\ \Phi \geq 0.5 \end{cases} \end{cases} \quad (3.10)$$

Here

$$\begin{aligned}
 \Phi^* &= \frac{\Phi}{\nu} \\
 \Psi^* &= \frac{\Psi}{\nu} \\
 \Psi_0^* &= \frac{1}{2} \left( 1 - \frac{\frac{a}{h}}{\sqrt{4\Phi^*(1-\Phi^*) + (\frac{a}{h})^2}} \right)
 \end{aligned} \tag{3.11}$$

Since the formulae are based on upper bound solutions the estimates for the ultimate shear stress  $\tau$  are greater than or equal to the theoretical load-carrying capacity. Corresponding lower bound solutions are determined by the construction of statically admissible stress distributions, see Chapter IV. Only for shear walls with strong main reinforcement or with very low height/width ratio  $a/h$  ( $a/h < 0.5$ ) the lowest upper bound solutions coincide with the highest lower bound solutions.

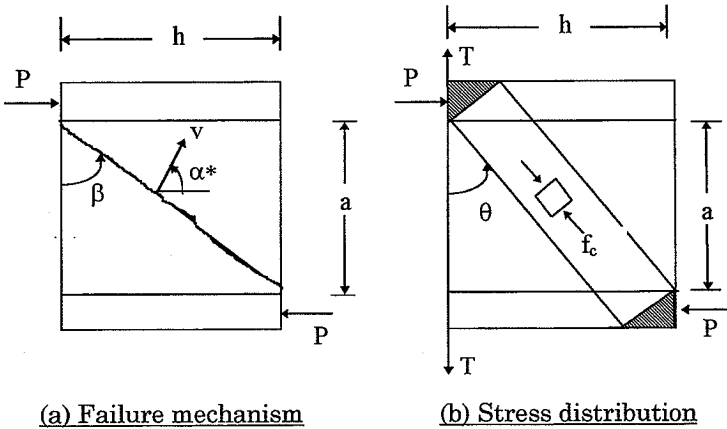


Fig. 3.5 *Shear wall without stirrup reinforcement*

For shear walls without web reinforcement ( $\psi = 0$ ), the upper bound solution is given by (3.10)<sub>1</sub> with  $\psi = 0$ . The failure

mechanism is shown in Fig.3.5a and the stress distribution is sketched in Fig. 3.5b, consisting of a single strut between top and bottom plate.

It should be noted that in the analysis the compression stringer is yielding in tension. This means that longitudinal steel in both boundary elements and web have to be taken into account when the nominal longitudinal reinforcement degree  $\Phi$  is calculated

### **3.3 The Theoretical Curves of Upper Bound Solutions for Shear Walls**

Fig.3.6 shows the upper bound solutions for shear walls subjected to a concentrated transverse loading versus the longitudinal reinforcement degree and the shear reinforcement degree. Here we have set  $\nu = 1$ .

From Fig. 3.6, we see that the upper bound load-carrying capacity is dependent on the different parameters in the following way:

- a. The load-carrying capacity is heavily dependent on the height/width ratio  $a/h$ . The smaller the shear span ratio the higher the load-carrying capacity.
- b. The load-carrying capacity is much influenced by the longitudinal reinforcement degree  $\Phi$  when the value of  $\Phi$  is small ( approximately  $\Phi < 0.3$  ). With increasing  $\Phi$ -value, the influence of  $\Phi$  on the load-carrying capacity is diminished. A value of  $\Phi$  higher than 0.5 does not increase the load-carrying capacity.

c. Increasing  $\psi$ -values from  $\psi = 0$  gives a fast enhancement of the load-carrying capacity. The increasing  $\tau/vf_c$ -value for increasing  $\psi$ -value is valid for  $\psi$ -values up to 0.5. Higher values of  $\psi$  than 0.5 do not increase the load-carrying capacity.

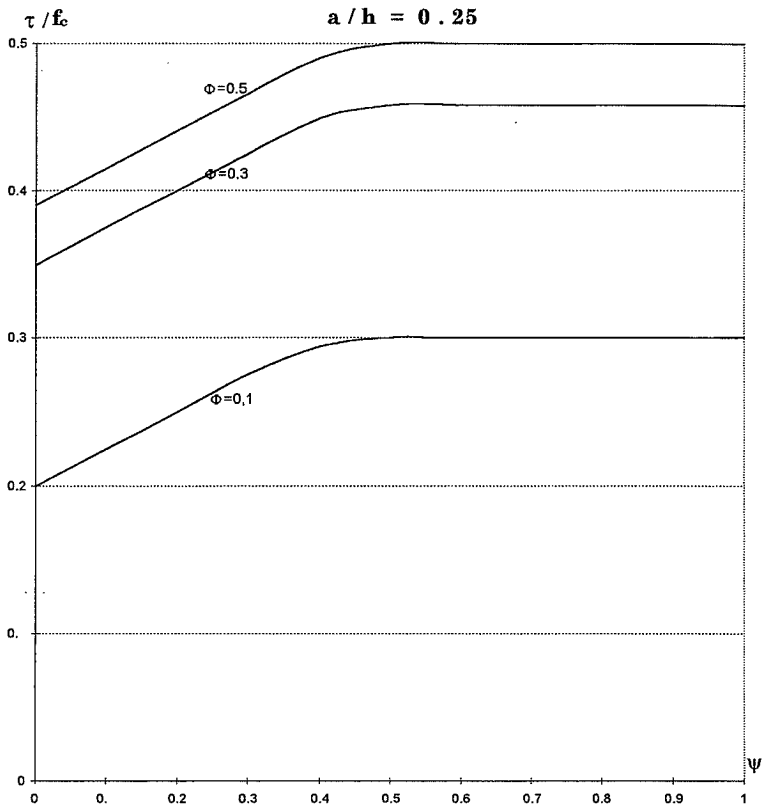


Fig. 3.6 Upper bound shear capacity of a shear wall loaded by a concentrated transverse force versus the longitudinal reinforcement degree and the shear reinforcement degree

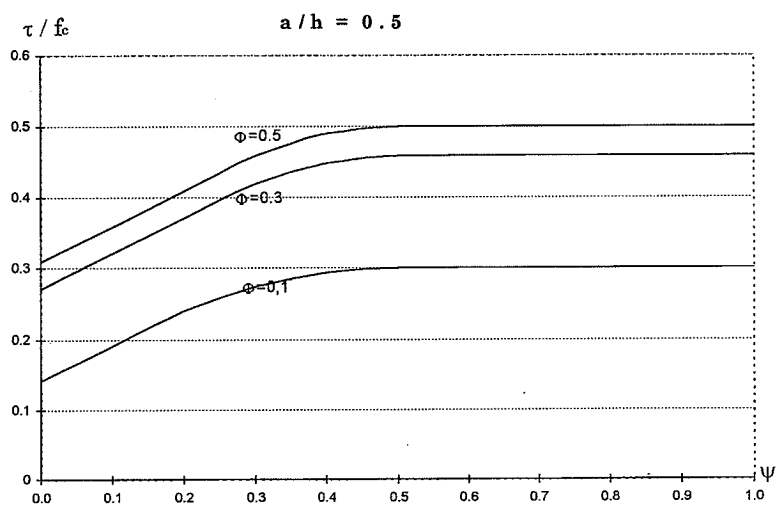


Fig. 3. 6 ( continued )

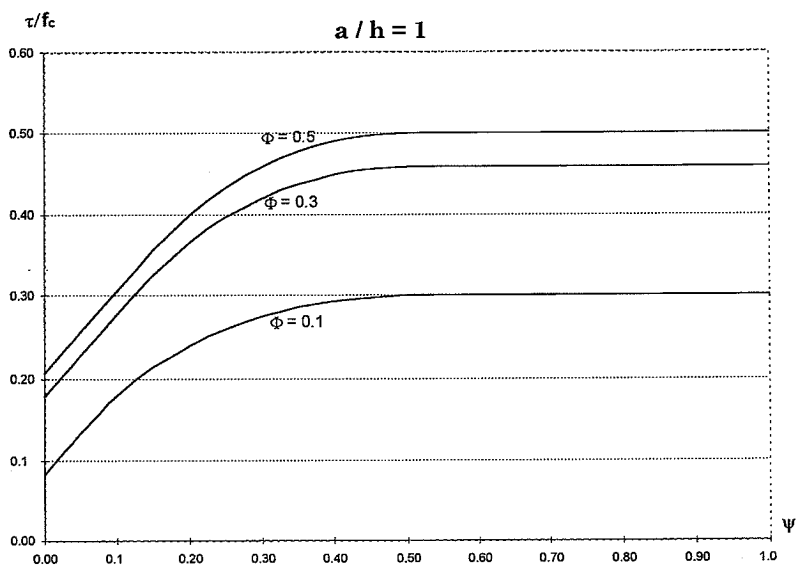


Fig. 3. 6 ( continued )

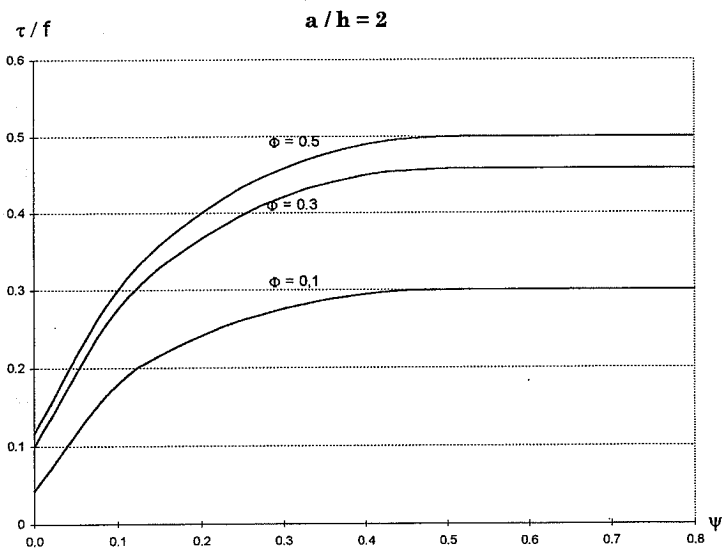


Fig. 3. 6 ( continued )

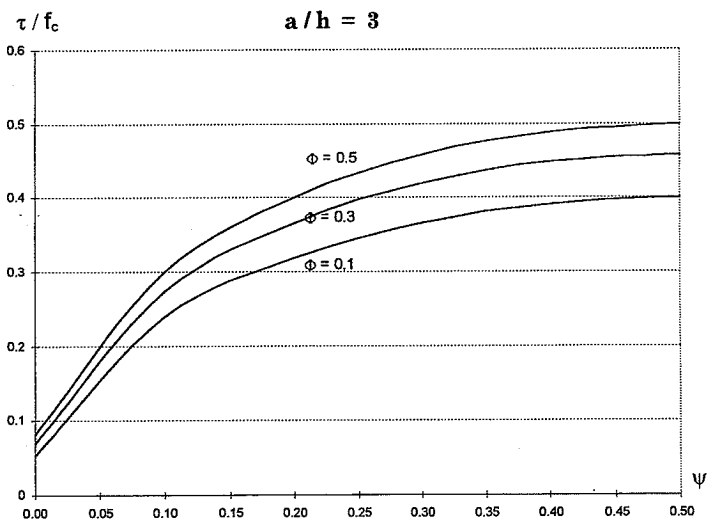


Fig. 3. 6 ( continued )



## **CHAPTER IV LOWER BOUND SOLUTIONS FOR SHEAR WALLS**

A solution which satisfies the equilibrium conditions and the statical boundary and which is based on a safe stress distribution is a lower bound solution for the load-carrying capacity. In this chapter, lower bound solutions of shear walls will be determined.

### **4.1 Lower Bound Solution for Shear Walls without Stirrup Reinforcement**

In this section we will derive a lower bound solution for shear walls without stirrup reinforcement by using the strut-and-tie model.

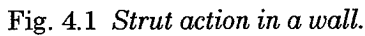
The concept of utilizing concrete to resist compression and reinforcement to carry tension gives rise to the **strut-and-tie model**. In this model, a concrete compression strut and a steel tension tie form a truss that is capable of resisting the load.

The strut and tie model will be used in this paper to calculate the load-carrying capacity of shear walls. We will start by treating the single strut.

Consider a wall with reinforced boundary elements on both sides loaded by a transverse force  $P$ . Based on the assumptions in section 3.1, the boundary elements can be treated as stringers (see Fig.4.1).

The strut in Fig. 4.1 carries the uniaxial compression strength  $f_c$  of concrete [84.1]. The strut is loaded by the shaded area shown

•



If the strut carries the horizontal load  $P$ , the shear force along the shear span  $a$  is

$t$  being the thickness of the web.

$$x_0 = \frac{P}{t f_c} \Rightarrow \frac{x_0}{h} = \frac{P}{t h f_c} = \frac{\tau}{f_c} \quad (4.3)$$

Here  $\tau$  is the average shear stress along the width  $h$ .

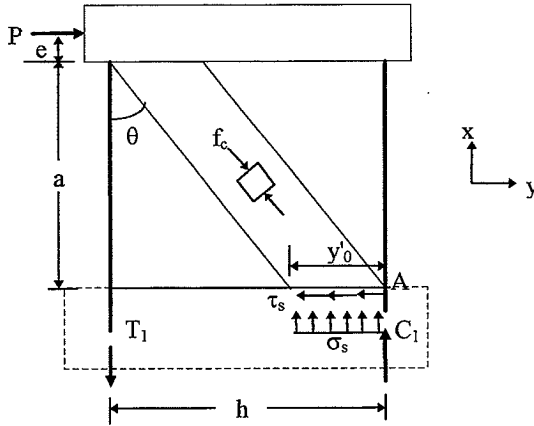


Fig. 4.2 *Stress distribution in a wall without stirrups*

The strut delivers to the top slab and to the bottom slab a shear stress  $\tau_s$  and a compression stress  $\sigma_s$  both uniformly distributed along  $y_0'$  (see Fig. 4.2). These stresses are

$$\tau_s = f_c \cos\theta \sin\theta \quad (4.4)$$

$$\sigma_s = f_c \cos^2\theta \quad (4.5)$$

From Fig.4.1, the length  $y_0'$  is found to be

$$y_0' = \frac{y_0}{\cos^2 \theta} \quad (4.6)$$

By equilibrium equation of the wall shown in Fig.4.2, the load carried by the wall is

$$P = \tau_s y_0' t = f_c y_0' t \sin \theta \cos \theta = f_c y_0 t \tan \theta \quad (4.7)$$

Then the shear capacity is

$$\frac{\tau}{f_c} = \frac{P}{th f_c} = \frac{y_0}{h} \tan \theta \quad (4.8)$$

Inserting (4.1) into (4.8) and using (4.3), we get a second degree equation with respect to  $\frac{\tau}{f_c}$  :

$$\left(\frac{\tau}{f_c}\right)^2 + \frac{a}{h} \frac{\tau}{f_c} - \frac{y_0}{h} \left(1 - \frac{y_0}{h}\right) = 0 \quad (4.9)$$

Solving equation (4.9), we find that the load carrying capacity of a wall without stirrups is determined by

$$\frac{\tau}{f_c} = \frac{1}{2} \left[ \sqrt{4 \frac{y_0}{h} \left(1 - \frac{y_0}{h}\right) + \left(\frac{a}{h}\right)^2} - \frac{a}{h} \right] \quad (4.10)$$

The maximum shear capacity of a wall without stirrups may be determined by maximizing (4.10) with respect to  $\frac{y_0}{h}$ . It appears that the highest lower bound is obtained when  $\frac{y_0}{h} = 0.5$ , i.e.

$$\frac{\tau}{f_c} = \frac{1}{2} \left[ \sqrt{1 + \left(\frac{a}{h}\right)^2} - \frac{a}{h} \right] \quad (4.11)$$

For a given value of the force or the stress in the tensile stringer we need one more equation to determine the two unknowns, the shear stress  $\tau$  and  $y_0$ .

The force  $T_1$  in the tensile stringer may be expressed as

$$T_1 = A_{sl} \sigma_{sl} = \Phi_l \frac{\sigma_{sl}}{f_{yl}} t h \quad (4.12)$$

where  $\Phi_{sl}$  is the reinforcement degree,  $\sigma_{sl}$  and  $f_{yl}$  are the tensile stress at the bottom of the stringer and the yield strength of the stringer reinforcement, respectively.

Taking moment about A in the bottom section (see Fig.4.2) :

$$T_1 h - \frac{1}{2} \sigma_s y_0'^2 t = P(a + e) \quad (4.13)$$

Here the parameter  $e$  determines the position of P on the top slab. This moment equation leads to

$$\left(\frac{\tau}{f_c}\right)^2 + 2 \frac{a+e}{h} \frac{\tau}{f_c} + \left(\frac{y_0}{h}\right)^2 - 2\Phi_l \frac{\sigma_{sl}}{f_{yl}} = 0 \quad (4.14)$$

if equations (4.4) to (4.6) are used.

Combining equation (4.14) with (4.10), we may get the value of  $\frac{y_0}{h}$ . Furthermore, the shear capacity of the wall can be determined by the equation (4.10).

If there is a uniform longitudinal reinforcement corresponding to the reinforcement ratio  $\phi_x$  in the web and a normal force  $N$  is acting on the wall as shown in Fig. 4.3, the corresponding subsidiary condition is found to be

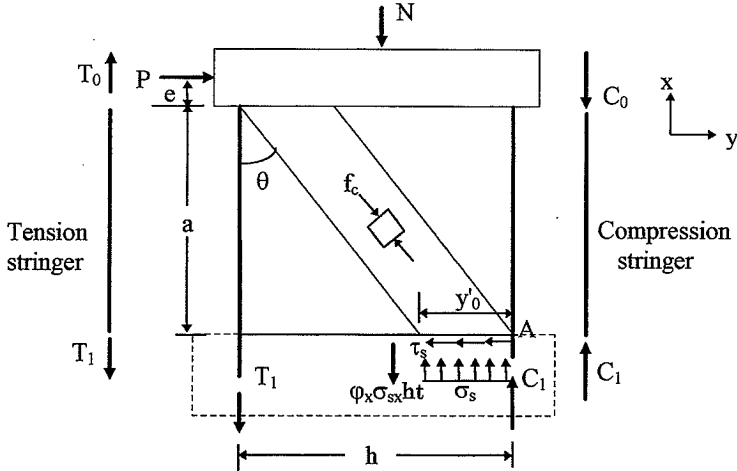


Fig. 4.3 *Shear wall with longitudinal reinforcement in the web loaded by transverse as well as normal forces*

$$\left(\frac{\tau}{f_c}\right)^2 + 2\frac{a+e}{h}\frac{\tau}{f_c} + \left(\frac{y_0'}{h}\right)^2 - (2\Phi_l\frac{\sigma_{sl}}{f_{sl}} + \Phi_x\frac{\sigma_{sx}}{f_{sx}} + \frac{N}{thf_c}) = 0 \quad (4.15)$$

Here  $\Phi_x$  is the longitudinal reinforcement degree in the web,  $\sigma_{sx}$  and  $f_{yx}$  are the corresponding stress and yield strength, respectively.

The stringer force  $C_1$  is determined by projection in x-direction in Fig. 4.3 :

$$C_1 = T_1 + \sigma_{sx} \phi_x t h + N - \sigma_s y_0' t$$

$$= (\Phi_1 \frac{\sigma_{sl}}{f_{Y1}} + \Phi_x \frac{\sigma_{sx}}{f_{Yx}} + \frac{N}{t h f_c} - \frac{y_0}{h}) f_c h t \quad (4.16)$$

Since there are no stirrups in the wall, the stringer forces are constant :

$$T_0 = T_1 \quad (4.17)$$

$$C_0 = C_1 \quad (4.18)$$

If we assume all reinforcement to be yielding in tension, i.e.  $\sigma_{sl} = f_{Y1}$  and  $\sigma_{sx} = f_{Yx}$ , we find the corresponding subsidiary condition :

$$(\frac{\tau}{f_c})^2 + 2 \frac{a + e}{h} \frac{\tau}{f_c} + (\frac{y_0}{h})^2 - 2\Phi = 0 \quad (4.19)$$

Here

$$\Phi = \Phi_l + \frac{\Phi_x}{2} + \frac{n}{2} \quad (4.20)$$

is the nominal longitudinal reinforcement degree for the lower bound solution and  $n = \frac{N}{t h f_c}$  is the dimensionless normal force. It should be noticed that the value of the nominal longitudinal reinforcement degree  $\Phi$  for the lower bound solution is only half of that for the upper bound solution (see section 3.2)

If the load  $P$  is known, the necessary longitudinal reinforcement degree can be determined by formula (4.19) combined with formula (4.10).

A result,  $T > 0$  means a tensile force is in the boundary element and  $C > 0$  means a compression force in the boundary element. Since only the stresses in the wall have been dealt with it has been tacitly assumed that the top slab is able to carry the forces acting on it. The solution obtained is also only a true lower bound solution if the stringer force  $C$  is not decisive for the load-carrying capacity.

The anchorage of the reinforcement and the whole design of the support regions and the regions around the concentrated forces are extremely important and may be decisive for the load carrying capacity. The problem was described in detail in [81.1] and [84.1].



## 4.2 Shear Capacity of Shear Walls with Stirrup Reinforcement

In this section we will treat shear walls with horizontal stirrup reinforcement subjected to concentrated transverse loading as well as normal forces .

Generally, a uniform minimum reinforcement in both vertical and horizontal directions should be supplied in a shear wall. The reinforcement in the horizontal direction (see Fig. 4.3) may be taken into account by using a combination of strut action and homogeneous stress fields.

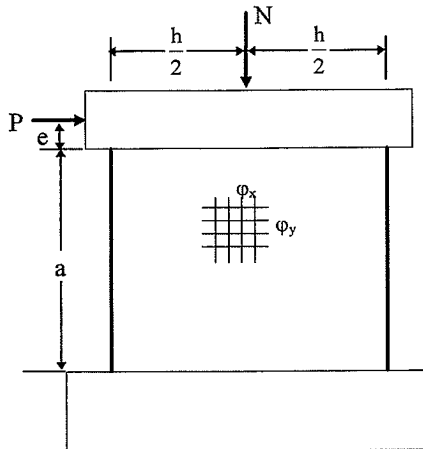


Fig. 4.4 *Shear wall with web reinforcement loaded by transverse as well as normal forces*

### 4.2.1 Strut Solution Combined with Triangular Stress Fields

When there is a uniform stirrup reinforcement in the web, we suppose that there are two triangular homogeneous stress fields each consisting of three parts in the area outside the strut. The stress distribution in the wall is as shown in Fig. 4.5 (a).

The strut is inclined to the vertical axis by an angle  $\theta$  matching  $y_0$ . In the triangular area DA'D' there are three different homogeneous stress fields. The area I and the area II are diagonal compression fields with uniaxial concrete stresses  $\sigma_c^I$  and  $\sigma_c^{II}$ , respectively. The stress  $\sigma_c^I$  is inclined to the vertical axis by angle  $\theta$  and must satisfy the following condition:

$$\sigma_c^I \leq f_c \quad (4.21)$$

The stress  $\sigma_c^{II}$  is inclined to the vertical axis by angle  $\theta_1$  which satisfies the condition:

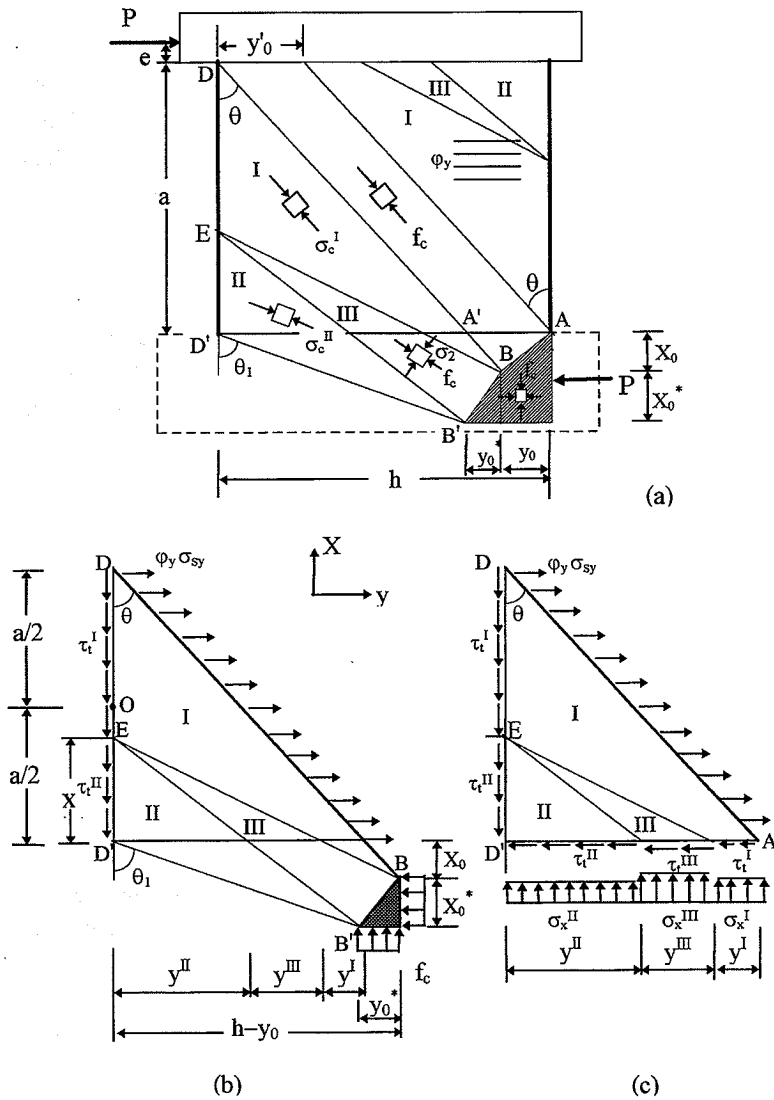
$$\theta_1 \geq \theta \quad (4.22)$$

The area III is a biaxial stress field with principal concrete compression stresses  $f_c$  and  $\sigma_2 \leq f_c$ .

Both the strut and the triangular stress field are loaded by the shaded area which is a biaxial stress field with concrete stresses  $f_c$  (see Fig. 4.5 (a)).

The part BB'D'D as well as the triangular part DA'D' are shown isolated in Fig. 4.5 (b) and (c).

In Fig. 4.5 (b), by projection in the y-direction, we find that



$$x_0^* f_c = \phi_y \sigma_{sy} a \Rightarrow$$

$$x_0^* = a \psi \frac{\sigma_{sy}}{f_{yy}} \quad (4.23)$$

Here

$$\psi = \frac{\phi_y f_{yy}}{f_c} \quad (4.24)$$

is the reinforcement degree of the stirrups,  $\phi_y$ ,  $\sigma_{sy}$  and  $f_{yy}$  are the reinforcement ratio, the stress and the yield stress of the stirrups, respectively.

Taking moment about the point O, the mid point of DD', we get

$$y_o^* = h - y_o - \sqrt{(h - y_o)^2 - a \psi \frac{\sigma_{sy}}{f_{yy}} \left[ a \left( 1 + \psi \frac{\sigma_{sy}}{f_{yy}} \right) + 2y_o \tan \theta \right]} \quad (4.25)$$

The concrete stresses in a diagonal compression field with uniaxial concrete stress  $\sigma_c$ , referred to the x,y- system shown in Fig. 4.5, are

$$\left. \begin{aligned} \sigma_x &= -\sigma_c \cos^2 \theta = -\tau_t \cot \theta \\ \sigma_y &= -\sigma_c \sin^2 \theta = -\tau_t \tan \theta \\ \tau_t &= |\tau_{xy}| = \sigma_c \sin \theta \cos \theta \end{aligned} \right\} \quad (4.26)$$

Using the boundary conditions along D-D'

$$\sigma_y + \phi_y \sigma_{sy} = 0 \quad (4.27)$$

and inserting it into (4.26), we obtain

$$\left. \begin{aligned} \tau_t^I &= \varphi_y \sigma_{sy} \cot \theta \\ \tau_t^{II} &= \varphi_y \sigma_{sy} \cot \theta_1 \end{aligned} \right\} \quad (4.28)$$

$$\left. \begin{aligned} \sigma_c^I &= \frac{\varphi_y \sigma_{sy}}{\sin^2 \theta} = \varphi_y \sigma_{sy} (1 + \cot^2 \theta) \\ \sigma_c^{II} &= \frac{\varphi_y \sigma_{sy}}{\sin^2 \theta_1} = \varphi_y \sigma_{sy} (1 + \cot^2 \theta_1) \end{aligned} \right\} \quad (4.29)$$

The angle  $\theta_1$  may be determined by the following equation:

$$\tan \theta_1 = \frac{h - y_0 - y_0^*}{x_0 + x_0^*} \quad (4.30)$$

or

$$\tan \theta_1 = \frac{h - y_0 - y_0^*}{y_0 \tan \theta + a \psi \frac{\sigma_{sy}}{f_{yy}}} \quad (4.31)$$

Since  $\theta_1 > \theta$ , it is obvious that  $\sigma_c^{II} \leq \sigma_c^I$ .

By an equilibrium condition in the x-direction in Fig. 4.5 (b)

$$\tau_t^I (a - x) + \tau_t^{II} x = y_0^* f_c \quad (4.32)$$

we find

$$x = \frac{a\psi \frac{\sigma_{sy}}{f_{ry}} \cot \theta - y_0^*}{\psi(\cot \theta - \cot \theta_1) \frac{\sigma_{sy}}{f_{ry}}} \quad (4.33)$$

Here the equation (4.28) has been used.

The following geometrical relations may be found from Fig.4.5 (b) :

$$\left. \begin{aligned} y^{\text{II}} &= x \frac{h - y_0 - y_0^*}{x + y_0 \tan \theta + \psi a \frac{\sigma_{sy}}{f_{ry}}} \\ y^{\text{III}} &= \frac{x(h - y_0)}{x + y_0 \tan \theta} - y^{\text{II}} \\ y^{\text{I}} &= h - \frac{y_0}{\cos^2 \theta} - y^{\text{II}} - y^{\text{III}} \end{aligned} \right\} \quad (4.34)$$

By projection in the y-direction in Fig.4.5 (c), we have :

$$\tau_t^{\text{I}} y^{\text{I}} + \tau_t^{\text{II}} y^{\text{II}} + \tau_t^{\text{III}} y^{\text{III}} = \phi_y \sigma_{sy} a \quad (4.35)$$

Thus the load carried by the wall is found by combining the strut with the triangular homogeneous stress fields as shown in Fig. 4.6 :

$$P = f_c t y_0' \sin \theta \cos \theta + t \sum_{i=\text{I,II,III}} \tau_t^i y^i = t y_0 f_c \tan \theta + \phi_y \sigma_{sy} a t \quad (4.36)$$

Here the equations (4.7) and (4.35) have been used.

By means of equation (4.36) and using equation (4.10), the shear capacity of the wall with stirrups may be determined by the following equation :

$$\frac{\tau}{f_c} = \left[ \sqrt{4 \frac{y_0}{h} \left(1 - \frac{y_0}{h}\right) + \left(\frac{a}{h}\right)^2} - \frac{a}{h} \right] + \psi \frac{a}{h} \frac{\sigma_{sy}}{f_{yy}} \quad (4.37)$$

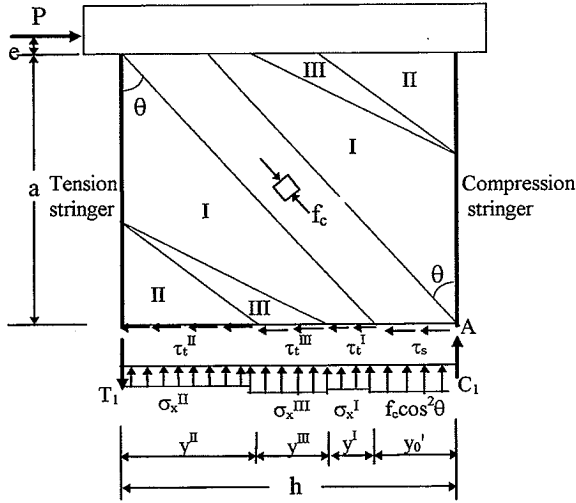


Fig. 4.6 Stress distribution on the wall with stirrups

In equation (4.37), the first term on the right hand side is the contribution from the strut and the second one is the contribution from the triangular homogeneous stress fields. The stresses delivered to the bottom slab from the left triangular area is shown in Fig. 4.5 (c) .

Next we look for the subsidiary conditions for this case. Using equations (4.26) and (4.29), it is easy to find that :

$$\left. \begin{aligned} \sigma_x^I &= \varphi_y \sigma_{sy} \cot^2 \theta \\ \sigma_x^{II} &= \varphi_y \sigma_{sy} \cot^2 \theta_1 \end{aligned} \right\} \quad (4.38)$$

By projection in x-direction in Fig.4.5 (c), we get

$$\sigma_x^{III} = \frac{f_c y_0^* - \varphi_y \sigma_{sy} (y^I \cot^2 \theta + y^{II} \cot^2 \theta_1)}{y^{III}} \quad (4.39)$$

Here (4.32) has been used.

Similarly, taking moment about point A in Fig. 4.6, we get

$$\begin{aligned} A_{sl} \sigma_{sl} h - \sigma_x^{II} t y^{II} (h - \frac{y^{II}}{2}) - \sigma_x^{III} t y^{III} (h - y^{II} - \frac{y^{III}}{2}) - \\ \sigma_x^I t y^I (\frac{y^I}{2} + \frac{y_0}{\cos^2 \theta}) - y_0 t f_c \frac{y_0}{2 \cos^2 \theta} = P(a + e) \end{aligned} \quad (4.40)$$

Inserting equations (4.38) and (4.39) into (4.40), the following subsidiary condition may be found :

$$\begin{aligned} \frac{\tau}{f_c} (\frac{a}{h} + \frac{e}{h}) - \Phi_1 \frac{\sigma_{sl}}{f_{y1}} + \frac{\Psi}{2} \frac{\sigma_{sy}}{f_{y2}} \left[ \cot^2 \theta_1 \frac{y^{II}}{h} (\frac{y^{II}}{h} + \frac{y^{III}}{h}) - \cot^2 \theta \frac{y^I}{h} (\frac{y^I}{h} + \frac{y^{III}}{h}) \right] + \\ \frac{y_0^*}{h} (1 - \frac{y^{II}}{h} - \frac{y^{III}}{2h}) + \frac{1}{2} (\frac{y_0}{h \cos \theta})^2 = 0 \end{aligned} \quad (4.41)$$

For the case where there is a uniform longitudinal reinforcement with reinforcement ratio  $\varphi_x$  in the web and where a normal force  $N$  is acting on the wall as shown in Fig. 4.7, the subsidiary condition is found to be:





Having constant shear stress along each particular length, the stringer forces vary linearly (see Fig. 4.7 ). The stringer forces are as follows :

$$\left. \begin{aligned}
 T_1 &= A_{s1} \sigma_{s1} = \Phi_1 \frac{\sigma_{s1}}{f_{Y1}} f_c h t \\
 C_1 &= T_1 + \phi_x \sigma_{sx} t h + N - \sigma_s y_0' t - (\sigma_x^I y^I + \sigma_x^{II} y^{II} + \sigma_x^{III} y^{III}) t \\
 &= \left[ \Phi_1 \frac{\sigma_{s1}}{f_{Y1}} + \Phi_x \frac{\sigma_{sx}}{f_{Yx}} + n - \left( \frac{y_0}{h} + \frac{y_0^*}{h} \right) \right] f_c h t \\
 T_0 &= T_1 - \tau_t^I (a-x) t - \tau_t^{II} x t \\
 &= \left( \Phi_1 \frac{\sigma_{s1}}{f_{Y1}} - \frac{y_0^*}{h} \right) f_c h t \\
 C_0 &= C_1 - \tau_t^I (a-x) t - \tau_t^{II} x t \\
 &= \left[ \Phi_1 \frac{\sigma_{s1}}{f_{Y1}} + \Phi_x \frac{\sigma_{sx}}{f_{Yx}} + n - \left( \frac{y_0}{h} + \frac{2y_0^*}{h} \right) \right] f_c h t
 \end{aligned} \right\} \quad (4.43)$$

Here  $\frac{y_0^*}{h}$  is determined by equation (4.25)

When  $\sigma_{s1} = f_{Y1}$ ,  $\sigma_{sx} = f_{Yx}$  and  $\sigma_{sy} = f_{Yy}$ , we obtain the following shear capacity of the wall with stirrups :

$$\frac{\tau}{f_c} = \frac{1}{2} \left[ \sqrt{4 \frac{y_0}{h} \left( 1 - \frac{y_0}{h} \right) + \left( \frac{a}{h} \right)^2} - \frac{a}{h} \right] + \psi \frac{a}{h} \quad (4.44)$$

Correspondingly, the subsidiary condition may be written :

$$\begin{aligned} \frac{\tau}{f_c} \left( \frac{a}{h} + \frac{e}{h} \right) - \Phi + \frac{y}{2} \left[ \cot^2 \theta_1 \frac{y_{II}}{h} \left( \frac{y_{II}}{h} + \frac{y_{III}}{h} \right) - \cot^2 \theta \frac{y^I}{h} \left( \frac{y^I}{h} + \frac{y_{III}}{h} \right) \right] \\ + \frac{y_0^*}{h} \left( 1 - \frac{y_{II}}{h} - \frac{y_{III}}{2h} \right) + \frac{1}{2} \left( \frac{y_0}{h \cos \theta} \right)^2 = 0 \end{aligned} \quad (4.45)$$

Here  $\Phi$  is the nominal longitudinal reinforcement degree (see section 4.1) and

$$y_0^* = h - y_0 - \sqrt{(h - y_0)^2 - a \psi [a(1 + \psi) + 2y_0 \tan \theta]} \quad (4.46)$$

If (4.44) is maximized with respect to  $\frac{y_0}{h}$ , we may get the maximum average shear stress, which can be carried by the shear wall with strut and triangular homogeneous stress fields corresponding to  $y_0/h = 0.5$ ,

$$\frac{\tau}{f_c} = \frac{1}{2} \left[ \sqrt{1 + \left( \frac{a}{h} \right)^2} - \frac{a}{h} \right] + \psi \frac{a}{h} \quad (4.47)$$

For this case, the stringer forces are :

$$\left. \begin{aligned} T_1 &= \Phi_1 f_c h t \\ C_1 &= f_c h t \left[ \Phi_1 + \Phi_x + n - \left( \frac{y_0}{h} + \frac{y_0^*}{h} \right) \right] \\ T_0 &= f_c h t \left( \Phi_1 - \frac{y_0^*}{h} \right) \\ C_0 &= f_c h t \left[ \Phi_1 + \Phi_x + n - \left( \frac{y_0}{h} + 2 \frac{y_0^*}{h} \right) \right] \end{aligned} \right\} \quad (4.48)$$

Formulas (4.44) through (4.48) may be used to design a shear wall.

#### **4.2.2 The Uniaxial Diagonal Compression Field Combined with Triangular Stress Fields**

In this section we consider the case where there is no strut in the wall. When the angle, by which the strut is inclined to the vertical axis,

$$\theta \geq \text{Arctan} \left( \frac{h}{a} \right)$$

the strut does not exist in the wall. In this case there is a diagonal uniaxial compression field between the two triangular stress fields.

Consider a homogeneous stress field in the wall consisting of a uniaxial compressive stress  $\sigma_c$  in the concrete [84.1] as shown in Fig.4.8. This diagonal compression stress forms an angle  $\theta$  with the vertical x-axis. The stress field referred to the (x,y)-system may be found by the equation (4.26).

For the areas connecting the top as well as the bottom slab, we suppose there are homogeneous stress fields in each triangular area, which are the same as those described in section 4.2.1.

The height  $a^*$  of the triangular area has the following relation with the width  $h$  of the triangular area :

$$a^* = h \cot \theta \quad (4.49)$$

The procedure in section 4.2.1 is used to find all the parameters, stresses, forces and shear capacity of the wall. Thus they will be given without derivation in details.

For the wall with a diagonal compression field, we have :

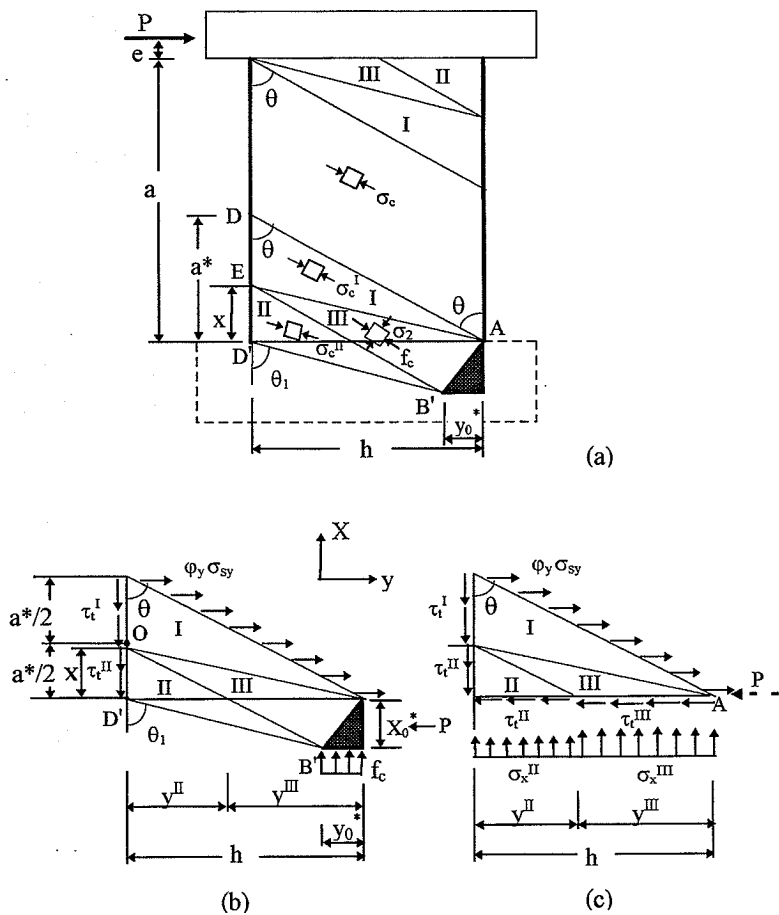


Fig. 4.8 Shear wall with diagonal compression field

$$x_0^* = a^* \psi \frac{\sigma_{sy}}{f_{yy}} = h \psi \cot \theta \frac{\sigma_{sy}}{f_{yy}} \quad (4.50)$$

$$y_0^* = h - \sqrt{h^2 - h^2 \psi \cot^2 \theta \frac{\sigma_{sy}}{f_{yy}} (1 + \psi \frac{\sigma_{sy}}{f_{yy}})} \quad (4.51)$$

or

$$\frac{y_0^*}{h} = 1 - \sqrt{1 - \cot^2 \theta \psi \frac{\sigma_{sy}}{f_{yy}} (1 + \psi \frac{\sigma_{sy}}{f_{yy}})} \quad (4.52)$$

$$\tan \theta_1 = \frac{h - y_0^*}{x_0^*} \quad (4.53)$$

or

$$\tan \theta_1 = \frac{1 - \frac{y_0^*}{h}}{\psi \frac{\sigma_{sy}}{f_{yy}} \cot \theta} \quad (4.54)$$

$$\tau_t^I (a^* - x) + \tau_t^{II} x = y_0^* f_c \quad (4.55)$$

$$x = \frac{a^* \psi \frac{\sigma_{sy}}{f_{yy}} \cot \theta - y_0^*}{\psi (\cot \theta - \cot \theta_1) \frac{\sigma_{sy}}{f_{yy}}} \quad (4.56)$$

$$\left. \begin{aligned} y^{II} &= x \frac{h - y_0^*}{x + \psi a^* \frac{\sigma_{sy}}{f_{yy}}} \\ y^{III} &= h - y^{II} = h - x \frac{h - y_0^*}{x + \psi a^* \frac{\sigma_{sy}}{f_{yy}}} \end{aligned} \right\} \quad (4.57)$$

$$\left. \begin{aligned} \sigma_x^{II} &= \varphi_y \sigma_{sy} \cot^2 \theta_1 \\ \sigma_x^{III} &= \frac{f_c y_0^* - \varphi_y \sigma_{sy} \cot^2 \theta_1 y^{II}}{y^{III}} \end{aligned} \right\} \quad (4.58)$$

The load carried by the wall is found to be (see Fig.4.8 (c))

$$P = \tau_x^{\text{II}} y^{\text{II}} + \tau_x^{\text{III}} y^{\text{III}} = \phi_y \sigma_{sy} a^* t \quad (4.59)$$

The shear capacity of the wall is found from (4.59) :

$$\frac{\tau}{f_c} = \psi \frac{\sigma_{sy}}{f_{yy}} \cot \theta \quad (4.60)$$

The subsidiary condition is

$$\frac{\tau}{f_c} \left( \frac{a}{h} + \frac{e}{h} \right) - \Phi_1 \frac{\sigma_{sl}}{f_{yy}} + \frac{y \sigma_{sy}}{2 f_{sy}} \frac{y^{\text{II}}}{h} \cot^2 \theta_1 + \frac{1}{2} \frac{y_0^* y^{\text{III}}}{h} = 0 \quad (4.61)$$

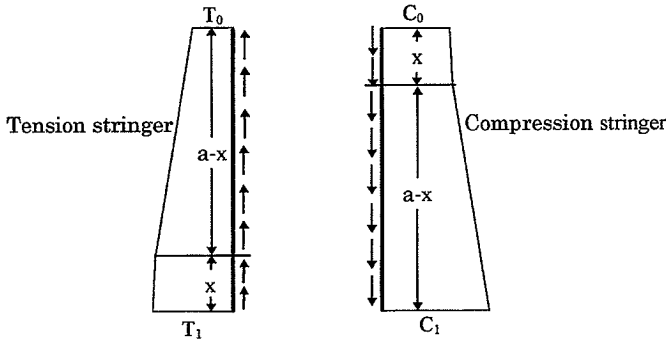


Fig. 4. 9 Forces in flanges

For the case where there is a uniform longitudinal reinforcement with reinforcement ratio  $\phi_x$  in the web and a normal force  $N$  is acting on the wall, the subsidiary condition is

$$\frac{\tau}{f_c} \left( \frac{a}{h} + \frac{e}{h} \right) - \Phi_1 \frac{\sigma_{sl}}{f_{yy}} - \frac{1}{2} \phi_x \frac{\sigma_{sx}}{f_{yx}} - \frac{1}{2} \frac{N}{h f_c} + \frac{\psi \sigma_{sy} y^{\text{II}}}{2 f_{sy} h} \cot^2 \theta_1 + \frac{1}{2} \frac{y_0^* y^{\text{III}}}{h} = 0 \quad (4.62)$$

The stringer forces are as follows (see Fig.4.9)

$$\left. \begin{aligned}
 T_1 &= A_{s1} \sigma_{s1} = \Phi_1 \frac{\sigma_{s1}}{f_{y1}} f_c h t \\
 C_1 &= T_1 + \phi_x \sigma_{sx} h t + N - (\sigma_x^{\text{II}} y^{\text{II}} + \sigma_x^{\text{III}} y^{\text{III}}) t \\
 &= \left[ \Phi_1 \frac{\sigma_{s1}}{f_{y1}} + \Phi_x \frac{\sigma_{sx}}{f_{yx}} + \frac{N}{t h f_c} - \frac{y_0^*}{h} \right] f_c h t \\
 T_0 &= T_1 - \tau_t^I (a-x) t - \tau_t^{\text{II}} x t \\
 &= \left[ \Phi_1 \frac{\sigma_{s1}}{f_{y1}} - \psi \frac{\sigma_{sy}}{f_{yy}} \cot \theta \left( \frac{a}{h} - \cot \theta \right) - \frac{y_0^*}{h} \right] f_c h t \\
 C_0 &= C_1 - \tau_t^I (a-x) t - \tau_t^{\text{II}} x t \\
 &= \left[ \Phi_1 \frac{\sigma_{s1}}{f_{y1}} + \Phi_x \frac{\sigma_{sx}}{f_{yx}} + \frac{N}{t h f_c} - \psi \frac{\sigma_{sy}}{f_{yy}} \cot \theta \left( \frac{a}{h} - \cot \theta \right) - \frac{2y_0^*}{h} \right] f_c h t
 \end{aligned} \right\} \quad (4.63)$$

Assuming all the reinforcement to be yielding, the shear capacity of the wall is determined by (4.60) :

$$\frac{\tau}{f_c} = \psi \cot \theta \quad (4.64)$$

Setting

$$\sigma_c = \phi_y f_{yy} (1 + \cot^2 \theta) = f_c \quad (4.65)$$

the web crushing criterion, we get by solving the above two equations for  $\tau$  and  $\theta$

$$\frac{\tau}{f_c} = \sqrt{\psi (1 - \psi)} \quad (4.66)$$



$$\tan \theta = \sqrt{\frac{\psi}{1 - \psi}} \quad (4.67)$$

Obviously, when  $\psi = 0.5$  the shear capacity is at the maximum value  $\tau = 0.5f_c$ . It is easily verified that the conditions  $\sigma_s = f_y$  and  $\sigma_c = f_c$  give the highest lower bound. Further it is clear that for  $\psi > 0.5$  the stirrup reinforcement does not yield and the shear capacity will be constant at

$$\frac{\tau}{f_c} = 0.5 \quad (4.68)$$

and the  $\theta$ -value is constant at  $45^\circ$ .

This means that when the tensile reinforcement and the compression stringer are sufficiently strong, i.e. the subsidiary condition

$$\frac{\tau}{f_c} \left( \frac{a}{h} + \frac{e}{h} \right) - \Phi + \frac{\psi}{2} \frac{y''}{h} \cot^2 \theta_1 + \frac{1}{2} \frac{y_0^*}{h} \frac{y''' }{h} = 0 \quad (4.69)$$

is satisfied, the shear capacity of a shear wall as a function of  $\psi$  is determined by (4.66) and the formula for the maximum shear capacity (4.68) .

In equation (4.69)

$$\frac{y_0^*}{h} = 1 - \sqrt{1 - \cot^2 \theta \psi (1 + \psi)} \quad (4.70)$$

of course, it must be assumed that the top and bottom slabs are able to carry the forces acting on them.

Correspondingly, the stringer forces are as follows :

$$\left. \begin{aligned}
 T_1 &= \Phi_1 f_c h t \\
 C_1 &= (\Phi_1 + \Phi_x + n - \frac{y_0^*}{h}) f_c h t \\
 T_0 &= \left[ \Phi_1 - \psi \cot \theta \left( \frac{a}{h} - \cot \theta \right) - \frac{y_0^*}{h} \right] f_c h t \\
 C_0 &= \left[ \Phi_1 + \Phi_x + n - \psi \cot \theta \left( \frac{a}{h} - \cot \theta \right) - \frac{2y_0^*}{h} \right] f_c h t
 \end{aligned} \right\} \quad (4.71)$$

Formulae (4.66) through (4.71) are available for the design of shear walls.

### **4.3 The Effectiveness Factor for Shear**

A fair accordance between theory and test results is obtained only if the theory is modified by the introduction of an effective compressive strength of the concrete. This means that in applications  $f_c$  is replaced by  $f_c^* = v f_c$ . At the present stage of the development it is impossible to give more than a qualitative explanation of the strength reduction in reinforced concrete. We must rely on empirical formulas derived from tests.

The value of the effectiveness factor is not known very well in the case of shear walls with both longitudinal and transverse web reinforcement. For pure shear in disks with normal strength concrete, i.e.,  $f_c < 50$  MPa, it seems that the simple formula for the effectiveness factor

$$v = 0.7 - \frac{f_c}{200} \quad (f_c \text{ in MPa}) \quad (4.72)$$

gives reasonable agreement with tests. The formula was originally suggested for shear in beams, but it has turned out to be more generally applicable [84.1].

The  $v$ -formula (4.72) is not very accurate for high strength concrete. According to Japanese tests, the following formula was suggested by Japanese researchers [91.1 ],

$$v = \frac{1.9}{f_c^{0.34}} \leq 1 \quad (f_c \text{ in MPa}) \quad (4.73)$$

The theory developed in this thesis has been compared with a large number of tests, cf. Chapter 6. The comparison shows that the following simple formulas may be used:

$$v = \begin{cases} 0.8 - \frac{f_c}{200} + 0.725 \frac{N}{A f_c} & f_c < 70 \text{ MPa} \\ \frac{1.9}{f_c^{0.34}} + 0.725 \frac{N}{A f_c} & f_c \geq 70 \text{ MPa} \end{cases} \quad (4.74)$$

The second term on the right hand side of the formula (4.74) is added to take into account the enhancement of  $v$  due to compressive normal stresses induced by the normal force  $N$ .  $A$  is the total area of the cross section of the wall. From (4.74), it is can be seen that  $f_c = 70$  MPa has been chosen as the transitional point between normal strength concrete and high strength concrete instead of the usual value  $f_c = 50$  MPa. The coefficient of variation is improved a little in this way.

The test cover height/width ratios up to 2.4. The formula (4.74) is remarkable because it has not been found necessary to include a dependence on the height/width ratio as has been the case for beams [78.1] [88.3].

According to the present understanding the dependence on the height/width ratio is due to sliding in initial cracks. Thus we may conclude that the shear walls in the tests have not developed a crack system giving rise to sliding in cracks. It is believed that this is due partly to the presence of a uniform reinforcement in the web. In the case of shear walls with boundary elements, crack sliding may have been prevented by the strong stringers.

#### **4.4 The Theoretical Curves for Lower Bound Solutions of Shear Walls**

The theoretical curves for the lower bound solution of shear walls obtained by the theory developed are depicted in Fig. 4.10. They have been found by standard computer optimization routines, see Chapter 6.

The curves are valid in the case where there is no normal force  $N$  and no vertical web reinforcement  $\phi_x$ . Further the parameter  $e=0$ .

From Fig.4.10, we can see that the lower bound solution is very different from the upper bound solution for  $a/h > 0.5$ . The load-carrying capacity corresponding to the lower bound solution is much lower than that of the upper bound solution for this case.

The curves in Fig. 4.10 illustrate that:

- a. The load carrying capacity is heavily dependent on the depth/width ratio  $a/h$ , which is as same as we found for the upper bound solution.
- b. For  $a/h \leq 0.5$  , the higher longitudinal reinforcement degrees,i.e.  $\Phi > 0.5$ , do not lead to higher load carrying capacity than obtained for  $\Phi = 0.5$  .
- C. The influence of the  $\psi$ -value on the load carrying capacity is diminished with increasing depth/width ratio  $a/h$  and decreasing  $\Phi$ -value. Especially when  $a/h > 0.3$  as well as  $\Phi \leq 0.1$ , there is almost no contribution from the horizontal reinforcement to the load-carrying capacity of the walls.

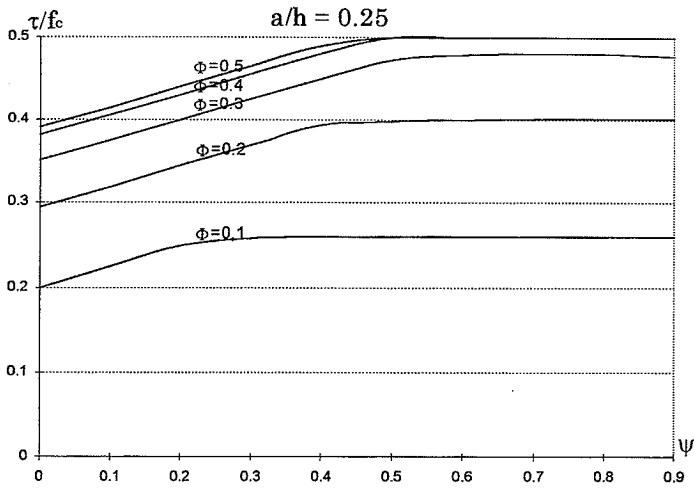


Fig. 4.10 The shear capacity of shear walls loaded by a concentrated transverse force versus longitudinal reinforcement degree and shear reinforcement degree.

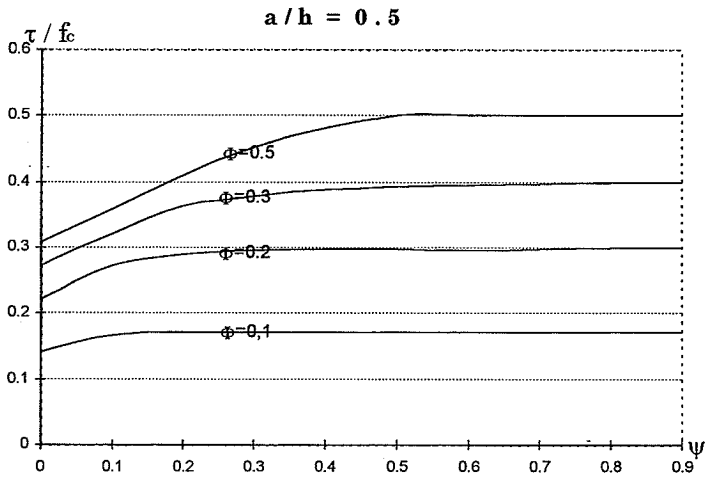


Fig. 4.10 (Continued)

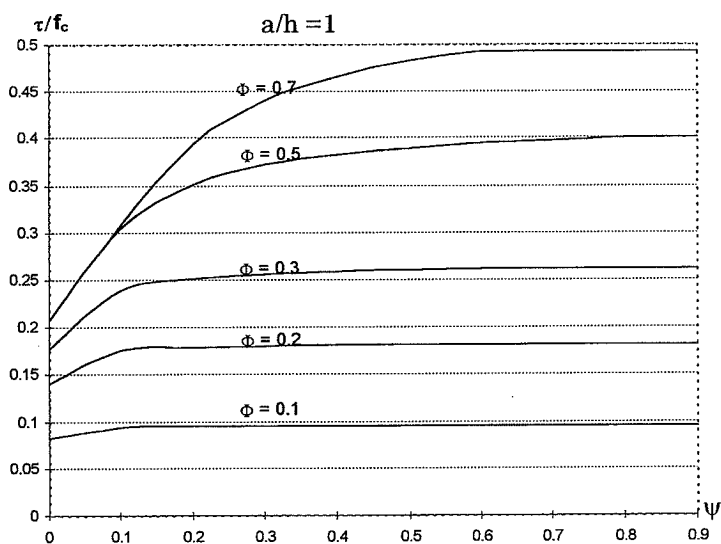


Fig. 4.10 ( Continued )

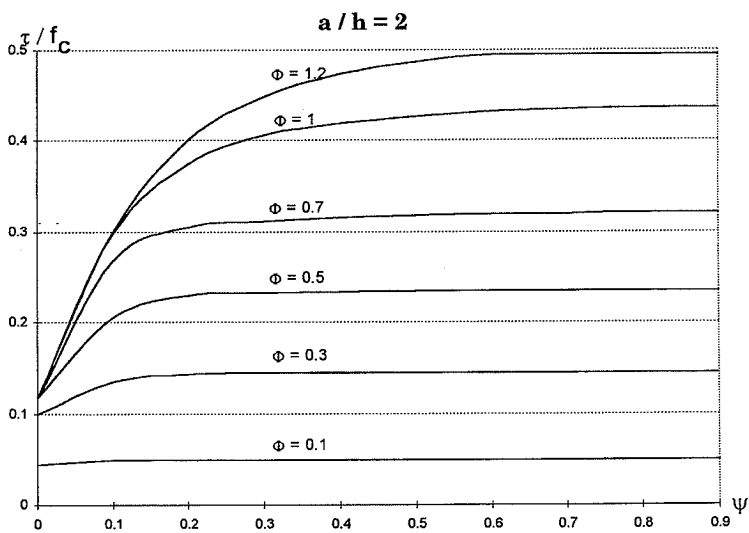


Fig. 4.10 ( Continued )

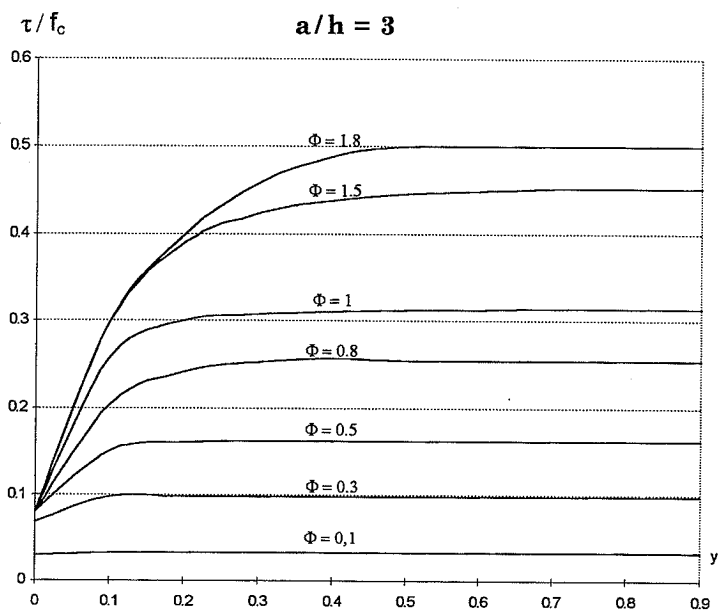


Fig. 4.10 ( *Continued* )



## CHAPTER V. BENDING CAPACITY OF SHEAR WALLS

This chapter deals briefly with the bending capacity of reinforced concrete shear walls. Since it has been shown that the determination of the bending capacity of reinforced concrete beams can be solved by the plastic theory, see [84.1] and [84.4], it is natural to extend this method to determine the bending capacity of reinforced concrete shear walls.

This chapter gives an analytical model to predict the strength of an isolated structural wall with or without boundary elements failing in bending.

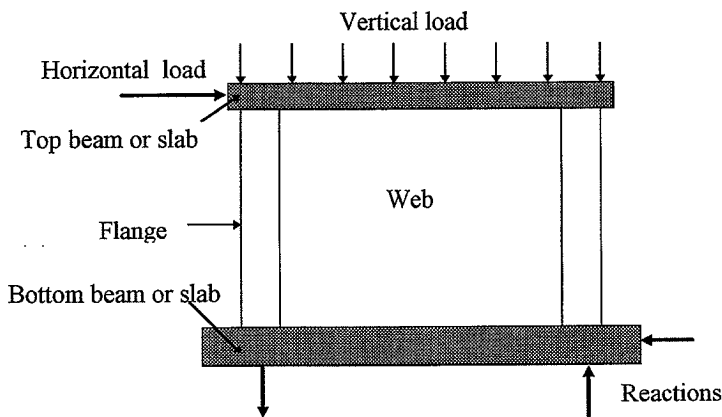


Fig.5.1 *An isolated wall*

## **5.1 Pure Bending**

The shear walls treated in this section are assumed to be loaded in pure bending.

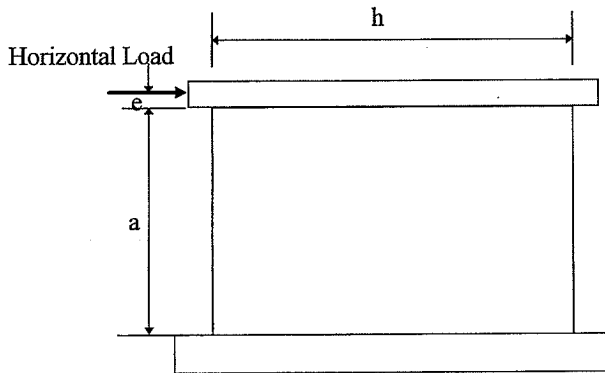
The vertical reinforcement and the horizontal reinforcement with the same tensile and compressive yield strength  $f_{yx}$  and  $f_{yy}$  respectively are assumed to be uniformly distributed in the web.

Because the horizontal reinforcement gives no contribution to the bending capacity of the wall, we will only discuss the influence of the vertical reinforcement .

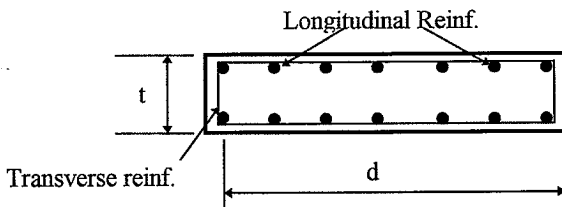
The concrete is assumed to be perfectly plastic with the compressive strength  $f_c^* = v_b f_c$  . Here  $v_b$  is an effectiveness factor for bending and  $f_c$  is the compressive cylinder strength of concrete.

### 5.1.1 Shear Walls with Rectangular Section

A shear wall with rectangular section ( without boundary elements ) subjected to a horizontal force is shown in Fig. 5.1. Only uniform reinforcement is assumed, i.e. there is no concentrated reinforcement at both ends of the section.



Evaluation



Cross-section

Fig. 5.1 Wall with rectangular section

When a flexural failure occurs, the stress distribution in the cross section will be as shown in fig. 5.2.

Projection gives

$$\frac{y_0}{d} = \frac{\Phi_x}{v_b + 2\Phi_x} \quad (5.1)$$

Here  $v_b$  is the effectiveness factor for bending and  $d$  is the distance from the center of the first row reinforcement on one side to the face of the other one (see Fig. 5.1).

The yield moment of the section is found to be

$$M_p = \Phi_x t f_c (d - y_0) \frac{d}{2} \quad (5.2)$$

or by using equation (5.1 )

$$M_p = \frac{t d^2}{2} f_c \Phi_x (v_b + \Phi_x) / (v_b + 2\Phi_x) \quad (5.3)$$

In (5.2),  $y_0$  is the depth of the compression zone in the section.

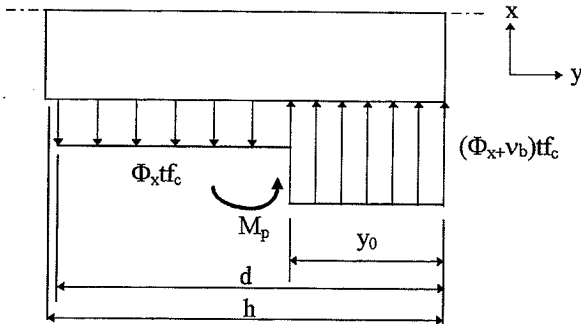


Fig.5.2 The stress distribution in the section

Then we can obtain the dimensionless yield moment  $m_p$  as follows

$$m_p = \frac{1}{2} (v_b + \Phi_x) / (v_b + 2 \Phi_x) \quad (5.4)$$

Here the dimensionless yield moment  $m_p$  is defined by

$$m_p = \frac{M_p}{t d^2 f_c}$$

### 5.1.2 Shear Walls with Boundary Elements

A shear wall with boundary elements is shown in Fig. 5.3.

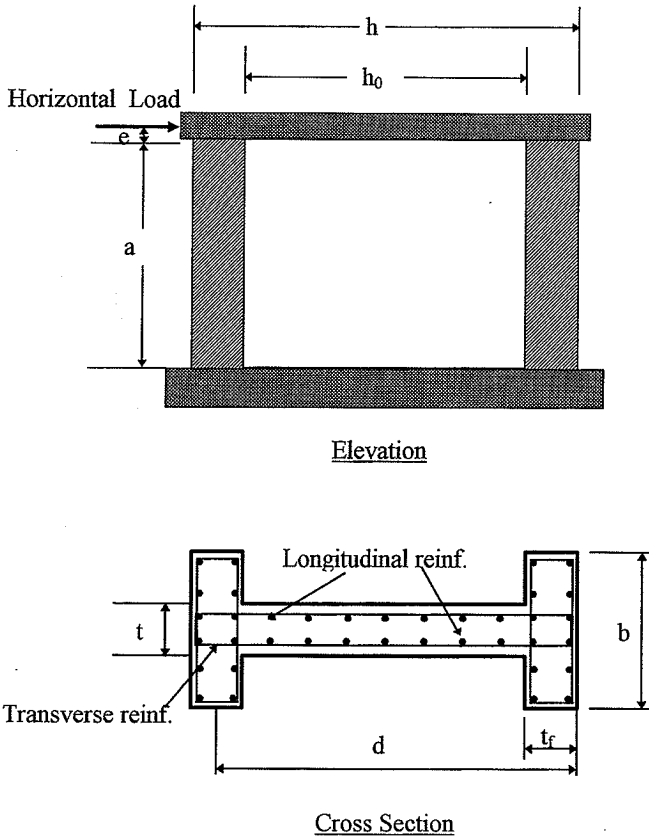


Fig. 5.3 A wall with boundary elements

The reinforcement with the same tensile and compressive yield strength  $f_{y1}$  and the areas  $A_{s1}$  in both boundary elements is

assumed to be concentrated in a stringer at a distance  $d$  from the other end of the cross section as shown in Fig. 5.3. All data and assumptions are as same as those mentioned before.

The stress distribution of the section is shown in Fig. 5.4. The same procedure as in section 5.1.1 is used to derive the yield moment formulas. Thus the complete solution will be given without derivation. The dimensionless yield moment is found to be

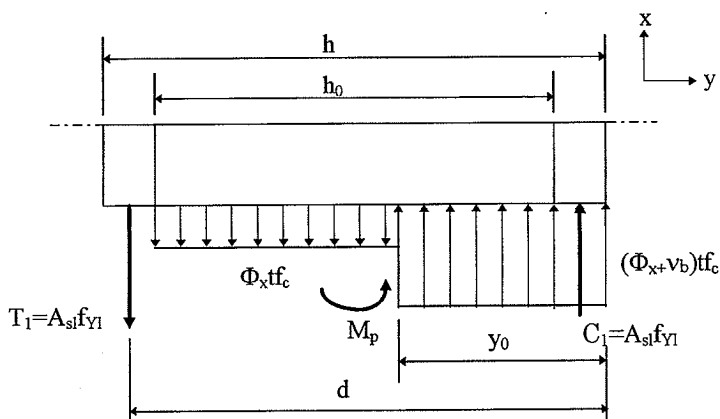


Fig. 5.4 *Stress distribution on the section*

$$m_p = \left\{ \begin{array}{l} \alpha_1^2 \Phi_l + \frac{\alpha_1 \alpha_2}{2} \Phi_x - \frac{\alpha_3}{2 \nu_b} (2 \alpha_1 \Phi_l + \alpha_2 \Phi_x)^2 \\ \quad \text{for } \frac{2 \alpha_3}{\alpha_4} (2 \alpha_1 \Phi_l + \alpha_2 \Phi_x) < \nu_b \\ \\ \frac{\alpha_1}{2} (\alpha_1 - \alpha_4) (2 \Phi_l + \frac{\alpha_2}{\alpha_1} \Phi_x) + \frac{1}{8} \frac{\alpha_4^2}{\alpha_3} \nu_b \\ \quad \text{for } \frac{2 \alpha_2 \alpha_3}{\alpha_4} \Phi_x \leq \nu_b \leq \frac{2 \alpha_3}{\alpha_4} (2 \alpha_1 \Phi_l + \alpha_2 \Phi_x) \\ \\ \frac{\alpha_1}{2} \left[ 2 (\alpha_1 - \alpha_4) \Phi_l + \alpha_2 \Phi_x \right] - \frac{1}{2 \nu_b} \alpha_2^2 \alpha_3 \Phi_x^2 \\ \quad \text{for } \frac{\alpha_1 \alpha_3}{\alpha_4} \Phi_x < \nu_b < \frac{2 \alpha_2 \alpha_3}{\alpha_4} \Phi_x \\ \\ \alpha_1 (\alpha_1 - \alpha_4) \Phi_l + \frac{1}{2} (\alpha_1^2 - 2 \alpha_1 \alpha_4 + 2 \alpha_4^2) \Phi_x + \frac{\alpha_4^2}{2} \left( 1 - \frac{1}{\alpha_3} \right) \nu_b \\ \quad - \frac{1}{2} \cdot \frac{1}{2 \Phi_x + \nu_b} \left[ \alpha_1 \Phi_x + \alpha_4 \left( 1 - \frac{1}{\alpha_3} \right) \nu_b \right]^2 \\ \quad \text{for } \frac{\alpha_2 \alpha_3}{\alpha_4} \Phi_x > \nu_b \end{array} \right. \quad (5.5)$$

Here

$$\alpha_1 = \frac{h}{d}; \quad \alpha_2 = \frac{h_0}{d}; \quad \alpha_3 = \frac{t}{b}; \quad \alpha_4 = \frac{t_f}{d};$$

$d = h - \frac{1}{2} t_f$  : the distance from the center of one boundary element to the face of the other one ;

$h_0$  : the width of the web ;



$m_p = \frac{M_p}{t d^2 f_c}$  : the dimensionless yield moment .

Since the wall is symmetrically reinforced, the reinforcement degrees are same in the two flanges.

## 5.2 Bending with Normal Force

The bending moment and the normal force, positive as compression, will be referred to the middle point of the section as shown in fig. 5.5. All the data and assumptions are in agreement with those mentioned in section 5.1 .

As in section 5.1.2 , the derivation of the formulas will not be given, because the procedure is the same as before. Here, only the case of bending moments and positive normal force will be considered.

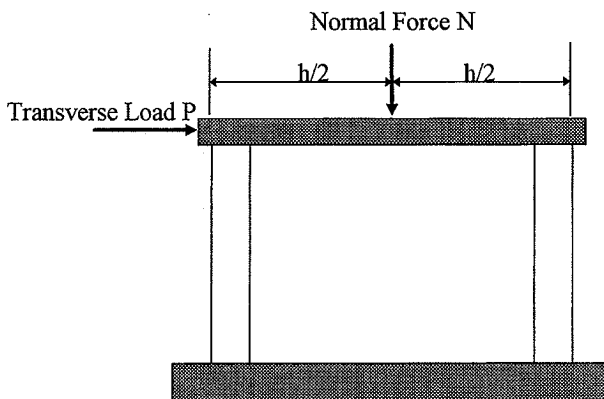


Fig. 5.5 *Shear wall with normal force*

### 5.2.1 Shear Walls with Rectangular Section

The stress distribution in the section is shown in Fig. 5.6. Introducing the dimensionless normal force by  $n = \frac{N}{t h f_c}$ , the following expression are found for the dimensionless yield moment as a function of the normal force:

$$m_p = \frac{n + \Phi_x}{2} \cdot \frac{\Phi_x + \nu_b - n}{2\Phi_x + \nu_b} \quad (5.6)$$

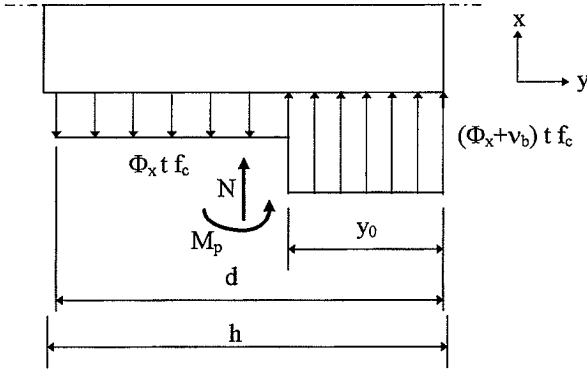


Fig. 5.6 The stress distribution in the section

### 5.2.2 Shear Walls with Boundary Elements

The stress distribution in the section is shown in Fig. 5.7.

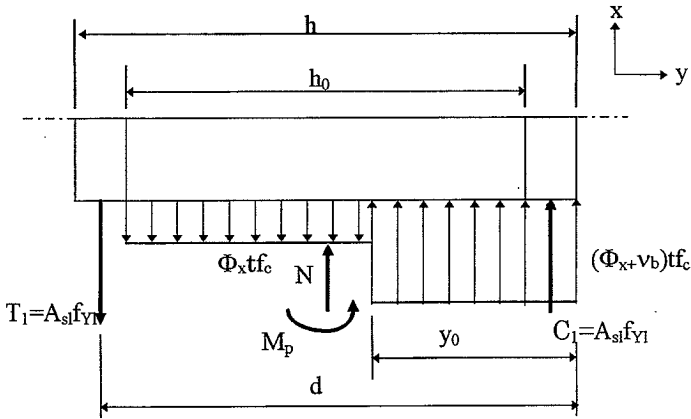


Fig. 5.7 *The stress distribution in the section*

The complete solution for the bending moment for shear walls with boundary elements is given by

$$\begin{aligned}
& \frac{\alpha_1}{2} \left[ \alpha_1(2\Phi_l + n) + \alpha_2\Phi_x \right] - \frac{\alpha_3}{2\nu_b} \left[ \alpha_1(2\Phi_l + n) + \alpha_2\Phi_x \right]^2 \\
& \text{for } n < \frac{\alpha_4}{2\alpha_1\alpha_3}\nu_b - 2\Phi_l - \frac{\alpha_2}{\alpha_1}\Phi_x \\
& \frac{\alpha_1}{2}(\alpha_1 - \alpha_4)(2\Phi_l + n) + \frac{\alpha_2}{\alpha_1}\Phi_x + \frac{1}{8}\frac{\alpha_4^2}{\alpha_3}\nu_b \\
& \text{for } \frac{1}{\alpha_1}\left(\frac{\alpha_4}{2\alpha_2}\nu - 2\alpha_1\Phi_l - \alpha_2\Phi_x\right) \leq n \\
& n \leq \frac{1}{\alpha_1}\left(\frac{\alpha_4}{2\alpha_3}\nu - \alpha_2\Phi_x\right) \\
& \frac{\alpha_1}{2} \left[ 2(\alpha_1 - \alpha_4)\Phi_l + \alpha_1n + \alpha_2\Phi_x \right] - \frac{\alpha_3}{2\nu} \left[ \alpha_1n + \alpha_2\Phi_x \right]^2 \\
& \text{for } \frac{1}{\alpha_1}\left(\frac{\alpha_4}{2\alpha_3}\nu_b - \alpha_2\Phi_x\right) < n < \frac{1}{\alpha_1}\left(\frac{\alpha_4}{\alpha_3}\nu - \alpha_2\Phi_x\right) \\
m_p = & \alpha_1(\alpha_1 - \alpha_4)\Phi_l + \frac{1}{2}(\alpha_1\alpha_2 + 2\alpha_4^2)\Phi_x + \frac{\alpha_4^2}{2}\left(1 - \frac{1}{\alpha_3}\right)\nu_b + \frac{\alpha_1^2}{2}n - \quad (5.7)_1 \\
& - \frac{1}{2} \cdot \frac{1}{2\Phi_x + \nu_b} \left[ \alpha_1(\Phi_x + n) + \alpha_4\left(1 - \frac{1}{\alpha_3}\right)\nu_b \right]^2 \\
& \text{for } \frac{1}{\alpha_1}\left(\frac{\alpha_4}{\alpha_3}\nu_b - \alpha_2\Phi_x\right) < n \\
& n < \frac{1}{\alpha_1} \left[ \alpha_2\Phi_x + \left(\alpha_2 + \frac{\alpha_4}{\alpha_3}\right)\nu_b \right] \\
& \alpha_1(\alpha_1 - \alpha_4)\Phi_l + \frac{\alpha_2}{2}(\alpha_1n - \alpha_2\Phi_x) + \left[ (\alpha_1 - \alpha_4)\frac{\alpha_4}{\alpha_3} + \frac{\alpha_2^2}{2}\left(\frac{1}{\alpha_3} - 1\right) \right]\nu_b - \\
& - \frac{\alpha_3}{2\nu_b} \left[ \alpha_1n - \alpha_2\Phi_x + \alpha_2\left(\frac{1}{\alpha_3} - 1\right)\nu_b \right]^2 \\
& \text{for } \frac{1}{\alpha_1} \left[ \left(\frac{\alpha_4}{\alpha_3} + \alpha_2\right)\nu_b + \alpha_2\Phi_x \right] \leq n \\
& n < \frac{1}{\alpha_1} \left[ \left(\frac{3}{2} \cdot \frac{\alpha_4}{\alpha_3} + \alpha_2\right)\nu_b + \alpha_2\Phi_x \right]
\end{aligned}$$

$$m_p = \begin{cases} \frac{\alpha_1}{2}(\alpha_1 - \alpha_2)(2\Phi_l - n + \frac{\alpha_2}{\alpha_1}\Phi_x) + \frac{v_b}{2\alpha_3} [ (\alpha_1 - \alpha_4)(1 + \alpha_2\alpha_3) + \\ + \alpha_2(2\alpha_1 + \frac{1}{2}\alpha_4) - 2\alpha_4^2 - 1 ] \\ \text{for } \frac{1}{\alpha_1} [ \alpha_2\Phi_x + \frac{1}{\alpha_2\alpha_3}(\alpha_3 - 2\alpha_2 + \alpha_2\alpha_3)v_b ] \leq n < 2\Phi_l + \frac{\alpha_2}{\alpha_1}\Phi_x \\ + \frac{v_b}{\alpha_1(\alpha_1 - \alpha_2)\alpha_3} [ (\alpha_1 - \alpha_4)(1 + \alpha_2\alpha_3) + \alpha_2(2\alpha_1 + \frac{1}{2}\alpha_4) - 2\alpha_4^2 - 1 ] \end{cases} \quad (5.7)_2$$

Here  $m_p = \frac{M_p}{t d^2 f_c}$  is the dimensionless yield moment .

### 5.3 The Effectiveness Factor for Bending

For pure bending of a rectangular section with tensile reinforcement only, the effectiveness factor  $\nu_b$  has been analytically determined by Exner [79.3], using stress-strain curves measured by P.T. Wang et al. [78.4]. It turns out that  $\nu_b$  is a function of the uniaxial compressive strength  $f_c$ , the yield stress of the reinforcement  $f_y$  and the reinforcement ratio  $\phi_{sl}$ .

For practical purposes,  $\nu_b$  can be calculated approximately by the simple empirical formula

$$\nu_b = 0.97 - \frac{f_y}{5000} - \frac{f_c}{300} \quad \text{for} \begin{cases} f_y < 900 \text{ MPa} \\ f_c < 60 \text{ MPa} \end{cases} \quad (5.8)$$

For most practical cases  $f_y$  will be less than 600 MPa, and conservatively we get

$$\nu_b = 0.85 - \frac{f_c}{300} \quad \text{for} \begin{cases} f_y < 600 \text{ MPa} \\ f_c < 60 \text{ MPa} \end{cases} \quad (5.9)$$

The effectiveness factor for rectangular sections with compressive reinforcement and normal force can also be calculated by the equations (5.8) and (5.9), see [84.1].

For shear walls, the  $\nu_b$ -formula (5.9) can be used without the limitations of  $f_c$  and  $f_y$ . Thus we have generally

$$\nu_b = 0.85 - \frac{f_c}{300} \quad (5.10)$$

Comparison between the theory using the  $\nu_b$ -formula (5.10) and tests shows very good agreement, see Fig. 5.8.

## **5.4 Experimental Verification**

Comparison between 45 shear wall tests from different test series and the formulas derived using the  $v_b$ -formula (5.10), can be found in Fig. 5.8. The dimensions, material properties and measured ultimate loads are listed in Appendix A. The statistical values for the ratios of test to theory by using (5.4) through (5.7) and the  $v_b$ -value of (5.10) are shown in table 5.1.

Table 5.1

item	number n	mean $\bar{x}$	standard deviation $\sigma$	coeff. of variation $C_v$
statistical values	46	0.954	0.113	0.118

The agreement is thus very good which may also be seen in Fig. 5.8. In this figure the measured ultimate loads are compared with the theoretical ones.



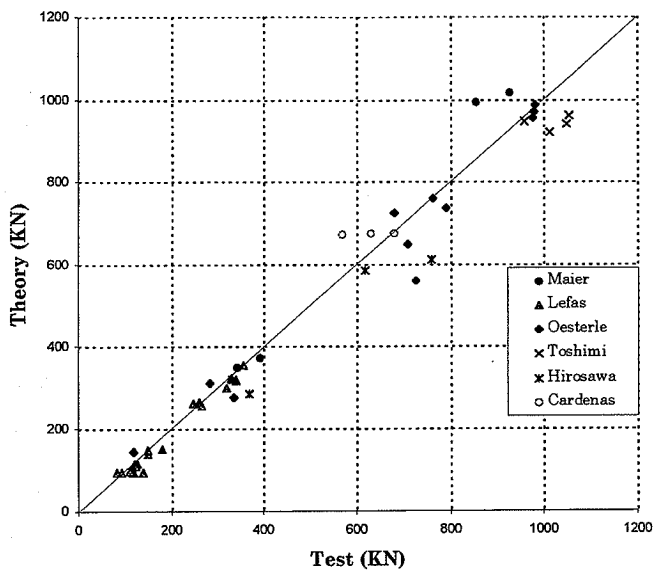


Fig. 5.8 *Theoretical bending capacity compared with test results.*

## **CHAPTER VI COMPARISON OF THEORY WITH TESTS**

We have now derived the equations for the ultimate load-carrying capacity of shear walls, chapter III and chapter IV. In this chapter, 184 tests with shear wall specimens have been treated using the theory. The effectiveness factor  $v$  is taken as (5.10) or (4.72) according to the different failure modes as explained before.

### **6.1 Determining the Shear Capacity by Optimization Routines**

The equations derived in chapter 4 show that the design of shear walls using lower bound solutions is a rather simple task. To find the load-carrying capacity in shear of a prescribed shear wall is more complicated. The load-carrying capacity of a shear wall with specified geometry and reinforcement may be determined by standard computer optimization routines. The effectiveness factor  $v$  is taken as (4.72).

Consider a shear wall composed of web and boundary elements. The vertical boundary elements are at both sides of the web as illustrated in Fig. 6.1. The transverse load  $P$  is transferred to the wall by means of a top beam or slab and the wall transfers the force to the bottom beam or slab. The top beam or slab might be subjected to normal stresses along the horizontal face, which are statically equivalent to a normal force  $N$ .

Generally, the reinforcement in boundary elements is assumed to be symmetrical and constant and the web reinforcement is uniform.

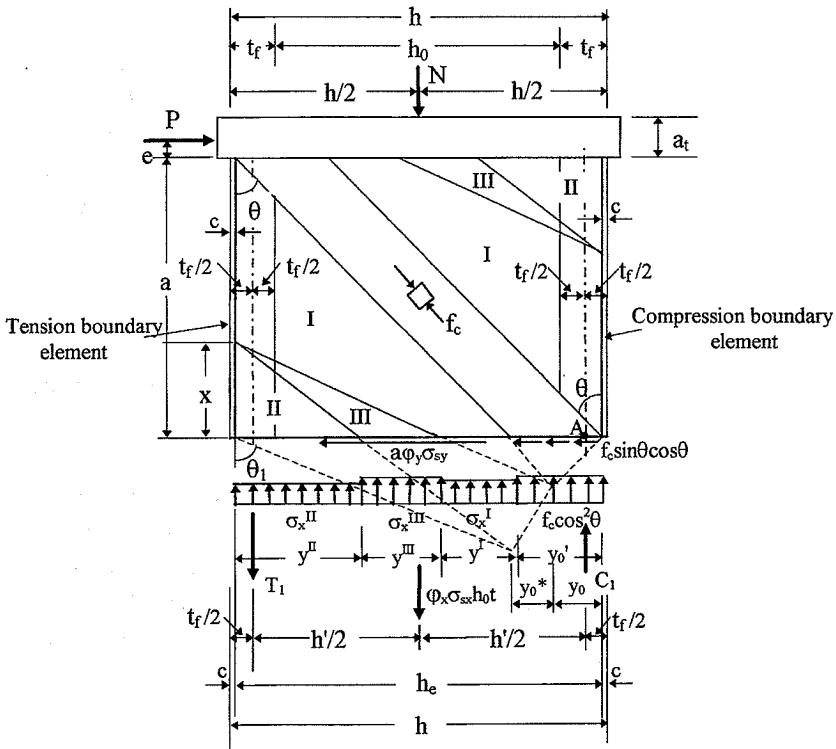


Fig. 6.1 *Stress distribution in the wall*

The stress distribution of the wall is shown in Fig. 6.1.

The optimization problem may be formulated in the following way:

Maximize:

$$\frac{\tau}{\nu f_c} = \frac{y_0'}{h} \cos \theta \sin \theta + \frac{\varphi_y \sigma_y}{\nu f_c} \left( 1 - \frac{y_0'}{h} \right) \cot \theta \leq 0.5 \quad (6.1)$$

under the subsidiary conditions:

$$\Phi_1^* \frac{\sigma_{sl}}{f_{Yl}} + \frac{1}{2} \Phi_x^* \frac{\sigma_{sx}}{f_{Yx}} \frac{h_0}{h} + \frac{1}{2} n^* - \frac{1}{2hh'} \{ y_0^* (h_e + h' - 2y^{II} - y^{III}) - \psi \frac{\sigma_{sy}}{f_{Yy}} [ y^I \cos^2 \theta (y^{III} + y^I) - y^{II} \cos^2 \theta (y^{III} + y^{II}) ] \} \quad (6.2)$$

$$- y_0 (y_0' - h_e + h') \} - \frac{\tau}{v f_0} \frac{a + e}{hh'} = 0$$

$$\left. \begin{aligned} -f_{Yl} &\leq \sigma_{sl} \leq f_{Yl} \\ -f_{Yx} &\leq \sigma_{sx} \leq f_{Yx} \\ -f_{Yy} &\leq \sigma_{sy} \leq f_{Yy} \end{aligned} \right\} \quad (6.3)$$

$$0 \leq \theta \leq \frac{\pi}{2} \quad (6.4)$$

$$0 \leq \sigma_0 = \phi_y \sigma_{sy} (1 + \cot^2 \theta) \leq v f_0 \quad (6.5)$$

$$0 \leq \frac{y_0'}{h} \leq \frac{\Phi^*}{\cos^2 \theta} \quad (6.6)$$

In the equation (6.1),  $\tau$  on the left hand side is the average shear stress as defined in section 4.1; the first term on the right hand side is the contribution from the strut which is zero when  $y_0' = 0$ ; the second term is the contribution from the triangular homogenous stress fields ( see Fig 6.1 ).

The physical meaning of equation (6.2) is as same as (4.41).

By means of the equations derived in Chapter 4 and Fig. 6.1, all the parameters in the above equations are determined as follows:

$$y_0^* = (h_e - y_0) - \sqrt{(h_e - y_0)^2 - \psi^* \frac{\sigma_{sy}}{f_{Yy}} (h_e - y_0') \cot^2 \theta [ (h_e - y_0') + 2y_0 \tan^2 \theta + \psi^* \frac{\sigma_{sy}}{f_{Yy}} (h_e - y_0') ]} \quad (6.7)$$

$$\tan \theta_1 = \frac{h_e - y_0 - y_0^*}{y_0 \tan \theta + \psi^* \frac{\sigma_{sy}}{f_{Yy}} \cot \theta} \quad (6.8)$$

$$x = \frac{(h_e - y_0') \psi^* \frac{\sigma_{sy}}{f_{Yy}} \cot^2 \theta - y_0^*}{\psi^* \frac{\sigma_{sy}}{f_{Yy}} (\cot \theta - \cot \theta_1)} \quad (6.9)$$

$$\left. \begin{aligned} y^{II} &= x \frac{h_e - y_0 - y_0^*}{x + y_0 \tan \theta + \psi^* \frac{\sigma_{sy}}{f_{Yy}} \cot^2 \theta} \\ y^{III} &= \frac{x(h_e - y_0)}{x + y_0 \tan \theta} - y^{II} \\ y^I &= h_e - y_0' - y^{II} - y^{III} \end{aligned} \right\} \quad (6.10)$$

In these equations :

$e = \frac{a_t}{2}$  : the parameter determining the position of P on

the top slab;

$a_t$  : the thickness of the top slab;

$c$  : the concrete cover measured to the center of the first row of reinforcement bars in the boundary element

$h$  : the total width of the wall ;

$h_e = h - 2c$  : the effective width of the wall ;

$h' = h - t_f$  : the distance between the centers of two boundary elements ;

$h_0 = h - 2t_f$  : the width of the web;

$$\Phi_l^* = \frac{\Phi_l}{\nu} ; \quad \Phi_x^* = \frac{\Phi_x}{\nu} ; \quad \psi^* = \frac{\psi}{\nu} ; \quad n^* = \frac{n}{\nu} ;$$

$$\Phi^* = \Phi_l^* + \frac{1}{2}(\Phi_l^* + n^*) .$$

Notation otherwise as in Chapter IV.

The forces in the boundary elements are as shown in Fig. 6.2. By means of equation (4.43) and (4.63) the forces may be found as follows :

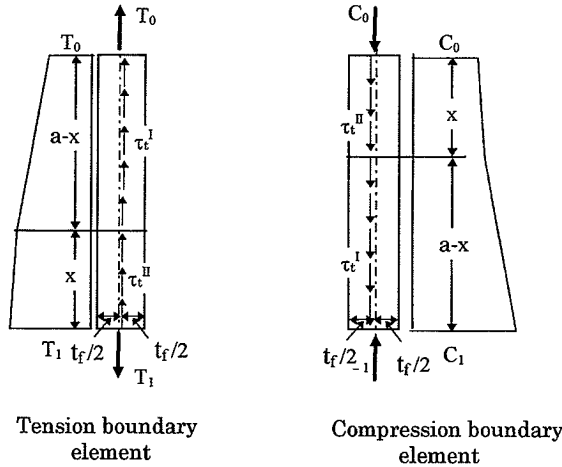


Fig. 6.2 Forces in the boundary elements

$$\begin{aligned}
T_1 &= A_{s1} \sigma_{s1} = \Phi_1^* \frac{\sigma_{s1}}{f_{y1}} f_c h t \\
C_1 &= T_1 + \phi_x \sigma_{sx} h_0 t + N - (f_c y_0' \cos^2 \theta + \sigma_x^{\text{II}} y^{\text{II}} + \sigma_x^{\text{III}} y^{\text{III}}) t \\
&= \left[ \Phi_1^* \frac{\sigma_{s1}}{f_{y1}} + \Phi_x^* \frac{\sigma_{sx}}{f_{yx}} \frac{h_0}{h} + \frac{N^*}{t h f_c} - \frac{y_0^*}{h} \right] \nu f_c h t \\
T_0 &= T_1 - \tau_t^{\text{I}} (a-x) t - \tau_t^{\text{II}} x t \\
&= \left[ \Phi_1^* \frac{\sigma_{s1}}{f_{y1}} - \psi^* \frac{\sigma_{sy}}{f_{yy}} \cot \theta \left( \frac{a}{h} - \cot \theta \right) - \frac{y_0^*}{h} \right] \nu f_c h t \\
C_0 &= C_1 - \tau_t^{\text{I}} (a-x) t - \tau_t^{\text{II}} x t \\
&= \left\{ \Phi_1^* \frac{\sigma_{s1}}{f_{y1}} + \Phi_x^* \frac{\sigma_{sx}}{f_{yx}} \frac{h_0}{h} + \frac{N^*}{t h f_c} - \left[ \frac{y_0^*}{h} + \psi^* \frac{\sigma_{sy}}{f_{yy}} \cot \theta \left( \frac{a}{h} - \cot \theta \right) + \frac{2y_0^*}{h} \right] \right\} \nu f_c h t
\end{aligned} \tag{6.11}$$

For a shear wall without stirrups, we set the effective width  $h_e$  of the wall equal to the total width  $h$ , i.e

$$h_e = h$$

## **6.2 Comparison with Tests Results**

In this section, seventeen groups of altogether 184 test specimens of reinforced concrete shear walls described in the literature are compared with the theoretical solutions. The specimens consist of 52 specimens with rectangular section and 92 specimens with column boundaries (barbell) and 40 specimens with flange boundaries.

For all specimens we set  $c = 25\text{mm}$  and  $e = \frac{a_t}{2}$ . Here  $a_t$  is the thickness of the top slab. In cases of a large difference between the yield strength and the ultimate strength of the reinforcement a mean value has been used.

The comparison corresponding to different height-width ratios and different geometry of sections is shown in sections 6.2.1 and 6.2.2, respectively. The comparison reveals that for squat shear walls ( $a/h < 1$ ) as well as moderate walls ( $1 < a/h < 2$ ) with strong flexible reinforcement the failure is mainly controlled by shear and for moderate walls with weak flexible reinforcement as well as slender walls ( $a/h > 2$ ) the failure is mainly controlled by bending.

The comparison tables as well as figures for each group are shown in sections 6.2.3 through 6.2.14. The details of the test specimens and the calculation results are given in the appendix.

The data of all specimens are presented in table 6.1 and the comparison between test results and theory is shown in Fig 6.3. The comparison of the results of the theory and the tests demonstrates that the theoretical results coincide very well with the test results.



### 6.2.1 Comparison for Shear Walls Corresponding to Different Height-Width Ratios

Fig. 6.4 through Fig. 6.6 show the comparison between test results and theory corresponding to different height-width ratios, respectively.

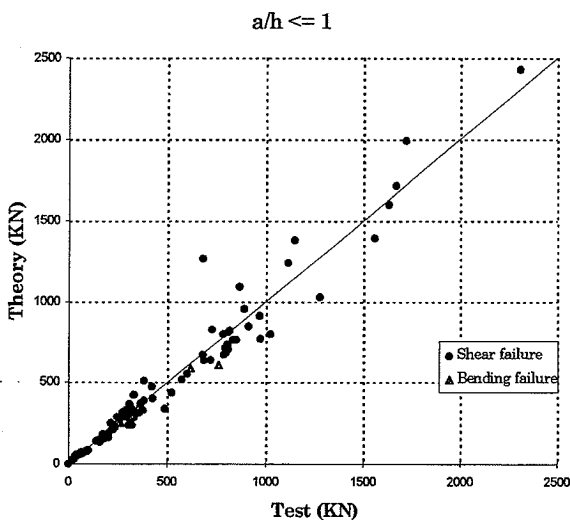


Fig. 6.4. Comparison between test results and theory for low-rise shear walls ( $a/h \leq 1$ )

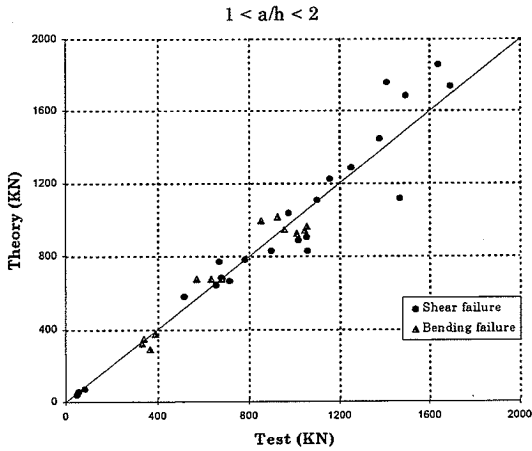


Fig. 6.5 Comparison between test results and theory for shear walls ( $1 < a/h < 2$ )

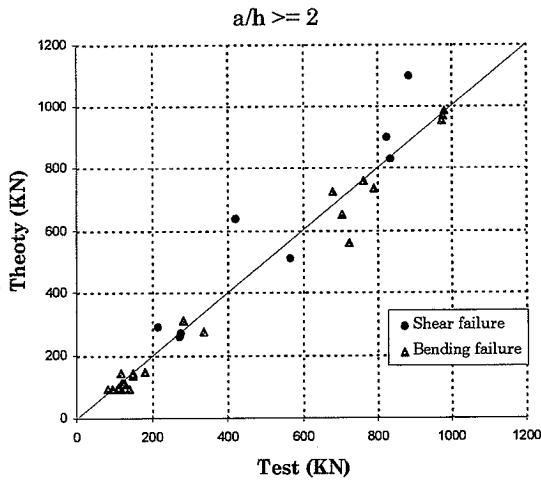


Fig. 6.6 Comparison between test results and theory for slender shear walls ( $a/h \geq 2$ )

## 6.2.2 Comparison for Shear Walls Corresponding to Different Geometry of Sections

### 6.2.2.1 Comparison for Shear Walls with Rectangular Section

The range of the various parameters for shear walls with rectangular section is

$$0.31 < \frac{a}{h} < 2.4$$

$$13 \text{ MPa} < f_c < 66 \text{ MPa}$$

$$300 \text{ MPa} < f_{Y1} < 690 \text{ MPa}$$

$$300 \text{ MPa} < f_{Yx} < 670 \text{ MPa}$$

$$380 \text{ MPa} < f_{Yy} < 670 \text{ MPa}$$

$$0.08 \% < \varphi_l < 1.16 \%$$

$$0.22 \% < \varphi_x < 2.9\% \text{ (excluding } \varphi_x = 0 \text{)}$$

$$0.25 \% < \varphi_y < 1.6\% \text{ (excluding } \varphi_y = 0 \text{)}$$

$$0.0062 < \Phi_l = \frac{\varphi_l f_{Y1}}{f_c} < 0.375$$

$$0.058 < \Phi_x = \frac{\varphi_x f_{Yx}}{f_c} < 0.662$$

$$0.044 < \psi = \frac{\varphi_y f_{Yy}}{f_c} < 0.34$$

Fig 6.7 shows a comparison between test results and theory for shear walls with rectangular section.

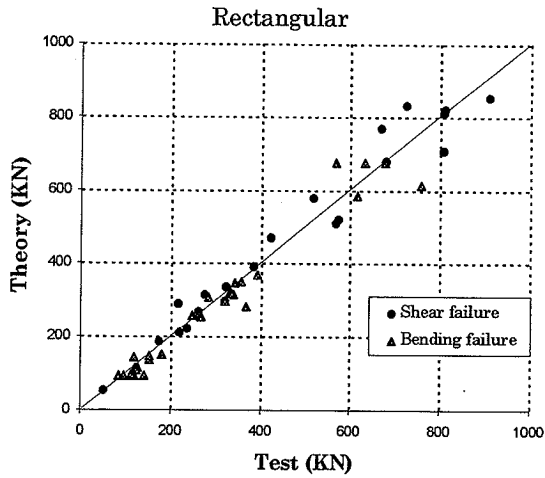


Fig. 6.7 *Comparison between test results and theory for shear walls with rectangular section*

#### **6.2.2.2 Comparison for Shear Walls with Boundary Elements**

Fig. 6.8 and 6.9 show the comparison between test results and theory for shear walls with column boundaries (barbell) and flange boundaries (flanged), respectively.

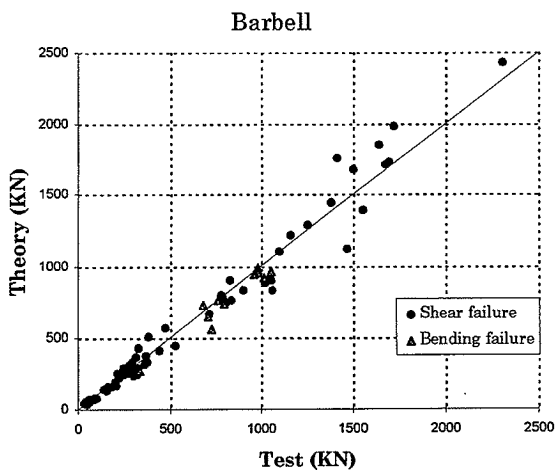


Fig. 6.8 *Comparison between test results and theory for shear walls with column boundaries (barbell)*

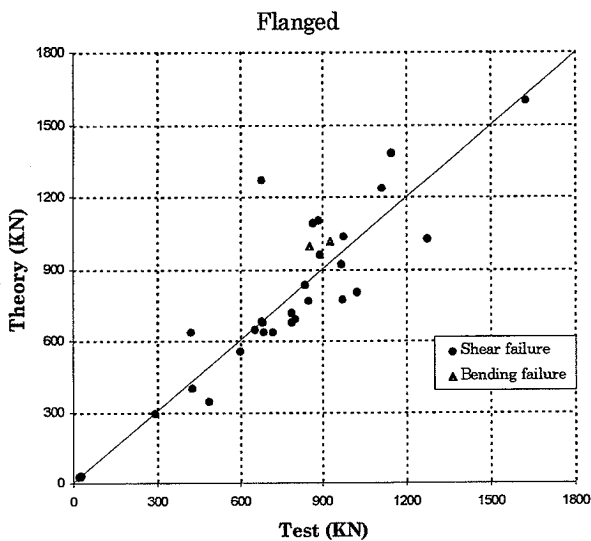


Fig. 6.9 *Comparison between test results and theory for shear walls with flange boundaries*

### 6.2.3 Reinforced Concrete Shear Walls Tested by Gupta and Rangan

In [93.4] and [94.2] eight high strength concrete shear walls were tested under inplane axial and transverse loads. All specimens had the same geometry and the same height/width ratio  $a/h = 1$ . The boundary elements of the walls are flanged. The full value of the axial load was applied first and then the transverse load was applied in several increments until failure occurred. These tests mainly gave rise to shear failures.

*Table 6.2 Test specimens by Gupta and Rangan [93.4] [94.2]*

Specimen No.	Concrete strength $f_c$ (MPa)	Reinforcement degree		Normal force (kN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		$\Phi$	$\psi$		Test (kN)	Theory (kN)	Test	Theory	
S-F	60.5	0.204	0.095	310	487	343	B	S	0.7053
S-1	79.3	0.224	0.089	0	428	401	S	S	0.9367
S-2	65.1	0.416	0.089	610	720	637	S	S	0.8853
S-3	69.0	0.542	0.080	1230	851	765	S	S	0.8991
S-4	75.2	0.356	0.092	0	600	553	S	S	0.9223
S-5	73.1	0.507	0.085	610	790	714	S	S	0.9042
S-6	70.5	0.614	0.079	1230	970	769	S	S	0.7930
S-7	71.2	0.406	0.164	610	800	690	S	S	0.8623

Mean value  $\bar{x} = 0.8635$

B: Bending failure  
S: Shear failure

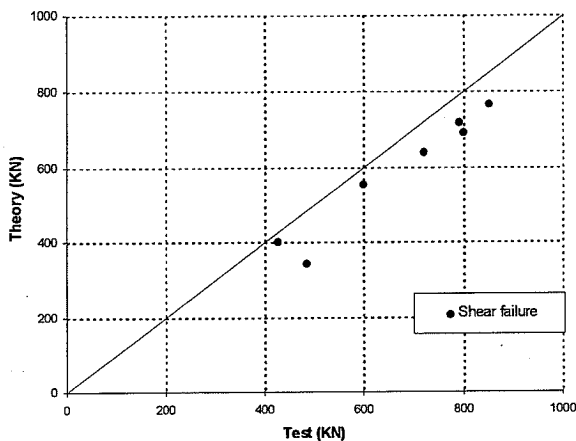


Fig. 6.10 Comparison of theoretical load carrying capacity with test results by Gupta and Rangan [93.4] [94.2]

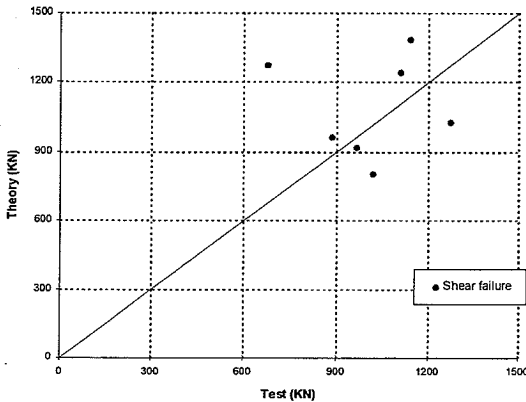
#### **6.2.4 Low-rise Shear Wall Tests by Felix Barda**

In [76.2] eight low-rise shear walls with boundary elements were tested under inplane transverse load reversals. The section geometry of all specimens is flanged. These tests were governed by shear failures.

**Table 6.3 Test specimens by Felix Barda [76.2]**

Specimen No.	a/h	Reinforcement ratio (in web)(%)		Reinforcement degree		Normal force (kN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		$\varphi_x$	$\varphi_y$	$\Phi$	$\psi$		Test (kN)	Theory (kN)	Test	Theory	
B1-1	0.5	0.5	0.5	0.231	0.131	0	1276	1025	S	S	0.803
B2-1	0.5	0.5	0.5	0.967	0.213	0	969	917	S	S	0.946
B3-2	0.5	0.5	0.5	0.378	0.143	0	1113	1237	S	S	1.111
B4-3	0.5	0.5	0	0.616	0.000	0	1023	802	S	S	0.784
B5-4	0.5	0	0.5	0.365	0.131	0	680	1271	S	S	1.869
B6-4	0.5	0.5	0.5	0.513	0.168	0	867	1088	S	S	1.256
B7-5	0.25	0.5	0.5	0.487	0.145	0	1145	1383	S	S	1.208
B8-5	1	0.5	0.5	0.483	0.155	0	889	959	S	S	1.078

Mean value  $\bar{x} = 1.132$



**Fig. 6.11 Comparison of theoretical load carrying capacity with test results by Felix Barda [76.2]**

### **6.2.5 Shear Wall Tests by Maier and Thurlimann**

Nine shear wall tests were carried out by Maier and Thürlimann in Zürich [85.3]. The height/width ratio of all



specimens was  $a/h = 1.02$ . The mean value of the yield strength and the ultimate strength of the reinforcement has been used.

*Table 6.4 Test specimens by Maier and Thürlimann [85.3]*

Specimen No.	Geometry	Reinforcement		Normal force (kN)	Load capacity		Loading method	Failure mode		Ratio (Theory/ Test)
		degree			Test (kN)	Theory (kN)		Test	Theory	
		Φ	ψ							
S1	Flanged	0.341	0.281	433	680	681	Monotonic	Ductile	S	1.001
S2	Flanged	0.469	0.239	1653	928	1016	Monotonic	Brittle	B	1.094
S3	Flanged	0.611	0.283	424	977	1036	Monotonic	Brittle	S	1.030
S5	Flanged	0.337	0.280	416	683	676	Cyclic	Ductile	S	0.989
S6	Flanged	0.333	0.149	416	656	644	Monotonic	Ductile	S	0.982
S7	Flanged	0.474	0.239	1657	855	933	Cyclic	Brittle	B	1.161
S10	Rectangular	0.530	0.275	262	670	768	Monotonic	Ductile	S	1.146
S4	Rectangular	0.232	0.306	262	392	370	Monotonic	Ductile	B	0.944
S9	Rectangular	0.238	0.000	260	342	346	Monotonic	Ductile	B	1.012

**Mean value  $\bar{x} = 1.043$**

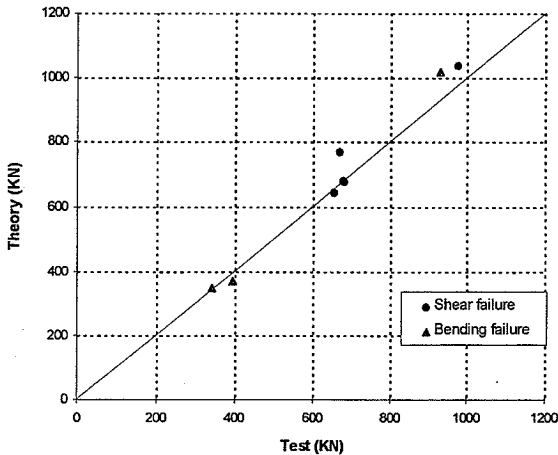


Fig. 6.12 *Comparison of theoretical load carrying capacity with test results by Maier and Thürlimann [85.3]*

### **6.2.6 Shear Walls Tests by Lefas, Micheal and Nicholas**

Twenty large-scale wall models were tested by Lefas, Micheal and Nicholas [90.3] [90.4] under the combined action of a constant axial and a horizontal load monotonically increasing to failure. The section shape of all specimens is rectangular. For the longitudinal reinforcement the mean value of the yield strength and the ultimate strength has been used. These tests were governed by bending failures.

*Table 6.5 Test specimens by Lefas [90.3] [90.4]*

Specimen  No.	a/h	Reinforcement		Normal force (KN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		degree			Test (KN)	Theory (KN)			
		Φ	ψ						
SW11	1	0.327	0.221	0	260	261	B	B	1.006
SW12	1	0.360	0.196	230	340	316	B	B	0.931
SW13	1	0.432	0.213	355	330	321	B	B	0.973
SW14	1	0.371	0.250	0	265	255	B	B	0.964
SW15	1	0.394	0.223	185	320	297	B	B	0.929
SW16	1	0.396	0.167	460	355	353	B	B	0.994
SW17	1	0.341	0.077	0	247	259	B	B	1.050
SW21	2	0.405	0.180	0	127	113	B	B	0.893
SW22	2	0.399	0.147	182	150	137	B	B	0.913
SW23	2	0.434	0.138	343	180	149	B	B	0.828
SW24	2	0.376	0.168	0	120	115	B	B	0.958
SW25	2	0.445	0.144	325	150	146	B	B	0.973
SW26	2	0.519	0.116	0	123	109	B	B	0.883
SW30	2	0.387	0.101	0	118	93	B	B	0.791
SW31	2	0.344	0.090	0	116	94	B	B	0.813
SW32	2	0.265	0.069	0	111	96	B	B	0.868
SW33	2	0.277	0.073	0	112	96	B	B	0.861
SW31R*	2	0.346	0.091	0	140	94	B	B	0.674
SW32R*	2	0.325	0.085	0	83	95	B	B	1.144
SW33R*	2	0.326	0.085	0	94	95	B	B	1.008

Mean value  $\bar{x} = 0.923$

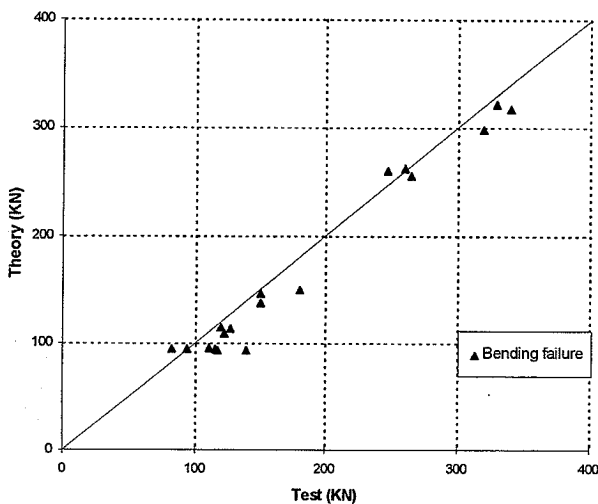


Fig.6.13 *Comparison of theoretical load carrying capacity with test results by Lefas [90.3] [90.4]*

### **6.2.7 Slender Shear Wall Tests by Oesterle**

Twenty large-scale wall models were tested by Oesterle [84.3] under the combined action of a constant axial and a horizontal load monotonically increasing to failure. The section shape of all specimens was rectangular and the value of  $a/h$  is 2.4. . The mean value of the yield strength and the ultimate strength of the reinforcement has been used.

From the table 6.5 it can be seen that the theoretical load carrying capacities obtained from optimized lower bound solutions are almost as same as those obtained for bending solutions.

**Table 6.6 Test specimens by Oesterle [84.3]**

Specimen No.	Section geometry	Reinforcement		Normal force (kN)	Load capacity (kN)			Failure mode		Ratio (Theory/ Test)
		degree			Test (kN)	Theory		Test	Theory	
						Φ	ψ			
R-1	Rec.	44.7	0.088	0.073	118	<u>143</u>	145	IB	Bending	1.209
R-2	Rec.	46.4	0.169	0.072	217	291	<u>290</u>	IC	Shear	1.340
R-3	Rec.	24.4	0.447	0.113	568	520	<u>507</u>	B	Shear	0.893
R-4	Rec.	22.7	0.289	0.094	282	<u>308</u>	310	B	Bending	1.093
F1	Flanged	38.4	0.496	0.187	836	874	<u>830</u>	WC	Shear	0.993
F2	Flanged	45.6	0.556	0.119	887	1195	<u>1100</u>	WC	Shear	1.240
F3	Flanged	27.9	0.463	0.098	421	681	<u>636</u>	WC	Shear	1.512
B1	Barbell	53.0	0.129	0.066	271	258	<u>257</u>	IB	Shear	0.947
B2	Barbell	53.6	0.371	0.137	680	<u>724</u>	736	WC&IB	Bending	1.065
B3	Barbell	47.3	0.144	0.066	276	<u>270</u>	270	IB	Shear	0.978
B4	Barbell	45.0	0.152	0.071	334	<u>275</u>	276	B	Bending	0.823
B5	Barbell	45.3	0.430	0.142	762	<u>760</u>	773	WC	Bending	0.998
B6	Barbell	21.8	0.787	0.218	825	904	<u>898</u>	WC	Shear	1.089
B7	Barbell	49.3	0.483	0.124	980	<u>986</u>	997	WC	Bending	1.005
B8	Barbell	42.0	0.521	0.304	978	<u>970</u>	987	WC	Bending	0.992
B9	Barbell	44.1	0.497	0.120	977	<u>954</u>	962	WC	Bending	0.976
B10	Barbell	45.6	0.329	0.121	707	<u>649</u>	663	B	Bending	0.917
B11	Barbell	53.8	0.283	0.113	726	<u>558</u>	566	WC	Bending	0.768
B12	Barbell	41.7	0.438	0.128	792	<u>735</u>	746	WC	Bending	0.928

**Mean value  $\bar{x} = 1.040$**

IB : Bar fracture precipitated by inelastic bar buckling  
 IC : Bar fracture precipitated by instability of compression zone  
 F : Flexural bar fracture  
 WC : Web crushing  
 BC : Boundary region crushing  
 SC : Shear compression

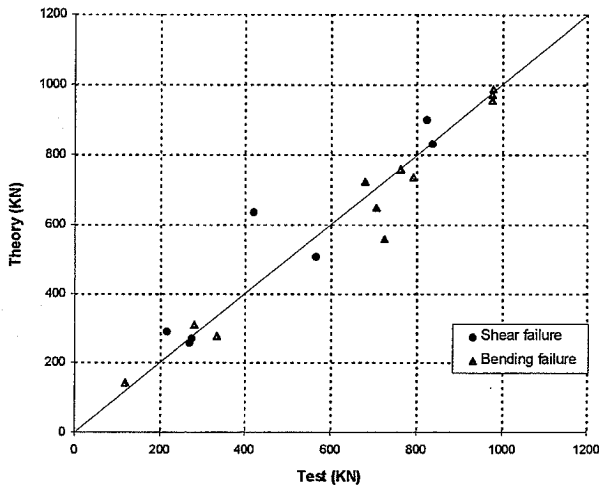


Fig. 6.14 *Comparison of theoretical load carrying capacity with test results by Oesterle [84.3]*

### **6.2.8 High Strength Shear Wall Tests by Toshimi**

Twentyone high strength reinforced concrete shear walls were tested by Toshimi and others in Japan from 1989 to 1992 [93.3]. The concrete strength of the specimens varied from 50MPa up to 140MPa and the main reinforcement yield strength was as high as 1400MPa. All the specimens had the same sectional geometry with boundary columns.

**Table 6.7 Test specimens by Toshimi [93.3]**

Specimen No.	a/h	Concrete strength $f_c$ (MPa)	Reinforcement degree		Normal force (kN)	Load capacity		Failure mode		Ratio (Theory/ Test)
			$\Phi$	$\Psi$		Test (kN)	Theory (kN)	Test	Theory	
W08	0.59	103.3	0.301	0.107	1764	1670	1714	S	S	1.026
W12	0.59	137.5	0.281	0.088	2313	1719	1988	S	S	1.156
NW-1	1.76	87.6	0.328	0.109	1764	1062	826	B	S	0.778
NW-3	1.76	55.5	0.342	0.052	1372	714	662	S	S	0.927
NW-4	1.76	54.6	0.412	0.051	1568	784	778	S	S	0.993
NW-5	1.76	60.3	0.411	0.100	1372	900	828	S	S	0.920
NW-6	1.76	65.2	0.433	0.096	1568	1056	902	S	S	0.854
No.5	1.76	76.7	0.592	0.094	1568	1158	1218	S	S	1.052
NW-2	1.18	93.6	0.316	0.105	1764	1468	1116	S	S	0.760
No.1	1.18	65.1	0.599	0.038	1568	1100	1103	S	S	1.003
No.2	1.18	70.8	0.602	0.064	1568	1254	1284	S	S	1.024
No.3	1.18	71.8	0.614	0.098	1568	1378	1444	S	S	1.048
No.4	1.18	103.4	0.535	0.073	2617	1696	1735	S	S	1.023
No.6	1.18	74.1	0.662	0.212	1568	1411	1757	S	S	1.245
No.7	1.18	71.5	0.659	0.184	1568	1498	1681	S	S	1.122
No.8	1.18	76.1	0.677	0.259	1568	1639	1855	S	S	1.132
W35X	1.18	62.6	0.407	0.153	1764	1049	941	B	B	0.897
W35H	1.18	60.8	0.419	0.152	1921	1054	961	B	B	0.912
W30H	1.18	57.7	0.423	0.155	1862	958	945	B	B	0.987
P35H	1.18	62.2	0.391	0.158	1470	1020	883	B	S	0.866
NW35H	1.18	59.7	0.407	0.157	1666	1011	921	B	B	0.911

**Mean value  $\bar{x} = 0.983$**

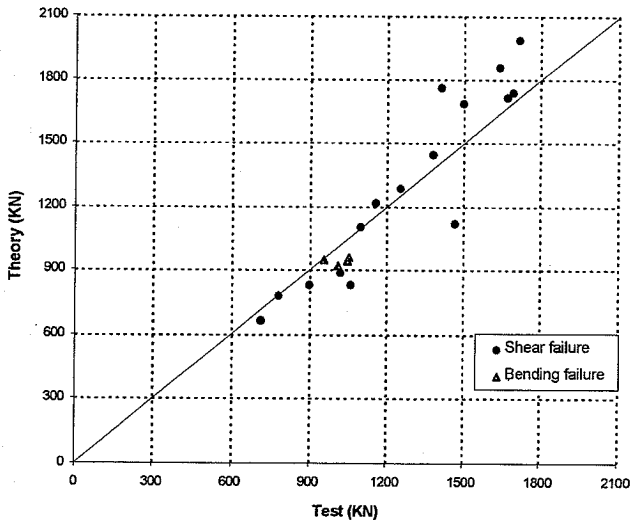


Fig. 6.15 *Comparison of theoretical load carrying capacity with test results by Toshimi [93.3]*

### **6.2.9 Shear Walls Tested by Hirosawa**

The following twentyone shear wall tests were carried out by Hirosawa [75.1]. There was concentrated reinforcement in both sides of the specimens which had rectangular section.



**Table 6.8 Test specimens by Hirose [75.1]**

Specimen No.	a/h	Reinforcement degree		Normal force (kN)	Load capacity		Loading method	Failure mode		Ratio (Theory/ Test)
		Φ	ψ		Test (kN)	Theory (kN)		Test	Theory	
Barbell										
3-w7108	0.33	0.137	0.047	368	524	442	Reversal	S	S	0.842
5-w7105	0.33	0.306	0.254	368	783	798	Reversal	B	S	1.020
70-WA1	0.52	0.269	0.060	0	833	762	Monotonic	S	S	0.914
71-WA2	0.52	0.215	0.019	0	804	739	Monotonic	S	S	0.919
95-2	0.71	0.205	0.000	0	39	47	Monotonic	S	S	1.209
97-5	0.79	0.266	0.000	0	31	46	Monotonic	S	S	1.474
98-6	0.79	0.177	0.000	0	39	54	Monotonic	S	S	1.383
99-7	0.79	0.129	0.000	0	59	58	Monotonic	S	S	0.987
6-0w1-1	1.04	0.202	0.038	0	49	37	Reversal	B	S	0.762
9-40w1-1	1.04	0.335	0.031	125	86	67	Reversal	S	S	0.780
12-20w1-2	1.04	0.239	0.029	63	59	55	Reversal	B	S	0.942
Rectangular										
72-A103a	0.94	0.305	0.077	549	809	811	Reversal	B	S	1.002
73-A103B	0.94	0.260	0.069	533	725	831	Reversal	B	S	1.146
75-A106B	0.94	0.361	0.208	533	813	821	Reversal	B	S	1.009
77-A112B	0.94	0.287	0.164	533	911	852	Reversal	B	S	0.935
79-B103b	0.94	0.258	0.224	533	617	585	Reversal	B	B	0.947
81-B112b	0.94	0.205	0.176	533	760	611	Reversal	B	B	0.805
96-1	0.71	0.102	0.000	0	50	54	Monotonic	S	S	1.068
83-E203b	1.88	0.394	0.170	267	333	317	Reversal	B	B	0.953
85-E212b	1.88	0.313	0.282	267	368	284	Reversal	B	B	0.774

Mean value  $\bar{x} = 0.994$

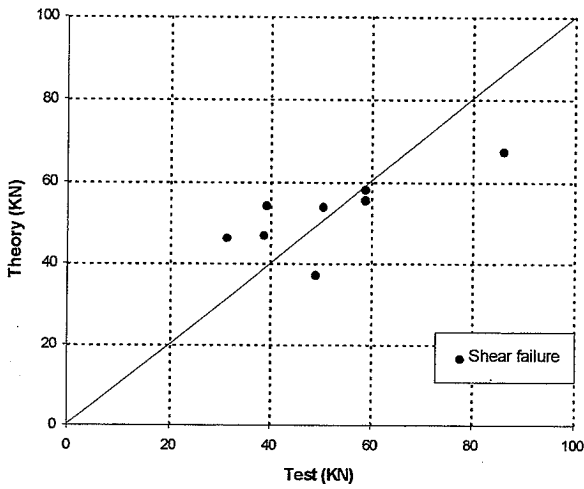


Fig. 6.16 Comparison of theoretical load carrying capacity with test results by Hirose [75.1]

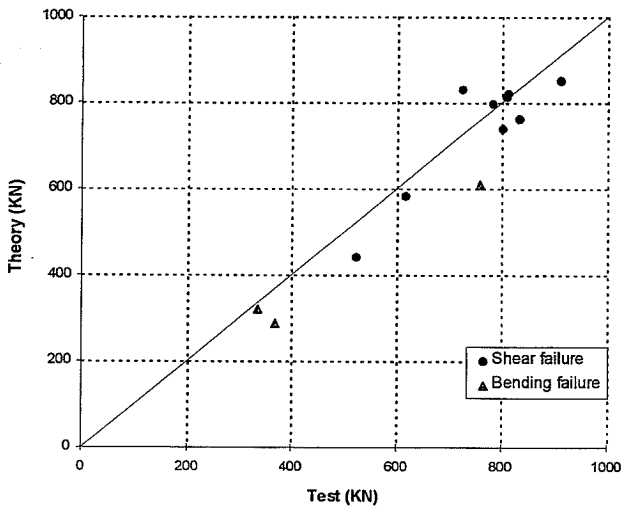


Fig. 6.16 (Continued)

### 6.2.10 Shear Wall Tests by Yoshzaki

Nine reinforced concrete shear walls tested by Yoshzaki [75.1] were selected in the calculation. All the specimens had rectangular section. The transverse load was alternating.

Table 6.9 Test specimens by Yoshzaki [75.1]

Specimen No.	a/h	Reinforcement de		Normal force (KN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		$\Phi$	$\psi$		Test (KN)	Theory (KN)	Test	Theory	
169-1-88-12	1.00	0.349	0.316	0	174	187	S	S	1.075
171-2/3-36-8	0.67	0.183	0.214	0	235	224	S	S	0.952
172-2/3-52-4	0.67	0.171	0.107	0	220	213	S	S	0.969
173-2/3-52-8	0.67	0.225	0.214	0	260	268	S	S	1.030
174-2/3-52-12	0.67	0.276	0.305	0	274	316	S	S	1.150
176-2/2-27-8	0.50	0.160	0.207	0	322	337	S	S	1.049
177-1/2-42-4	0.50	0.135	0.104	0	319	299	S	S	0.937
178-1/2-42-8	0.50	0.191	0.207	0	383	390	S	S	1.019
179-1/2-42-12	0.50	0.245	0.286	0	422	471	S	S	1.117

Mean value  $\bar{x} = 1.033$

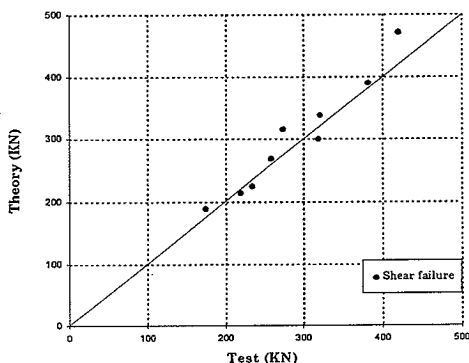


Fig. 6.17 Comparison of theoretical load carrying capacity with test results by Yoshizaki [75.1]

### 6.2.11 Shear Wall Tests by Tanabe

Sixteen reinforced concrete shear walls were tested by Tanabe [75.1]. All the specimens had column boundaries. The transverse load was monotonic.

*Table 6.10 Test specimens by Tanabe [75.1]*

Specimen No.	a/h	Reinforcement degree		Normal force (KN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		$\Phi$	$\psi$		Test (KN)	Theory (KN)	Test	Theory	
101-9	0.79	0.373	0.241	0	63	72	S	S	1.142
102-10	0.79	0.412	0.266	0	75	70	S	S	0.936
112-42	0.79	0.280	0.214	0	68	67	S	S	0.979
113-44	0.79	0.260	0.199	0	71	68	S	S	0.960
104-12	0.79	0.243	0.157	0	94	78	S	S	0.829
105-13	0.79	0.249	0.161	0	90	78	S	S	0.867
106-14	0.79	0.251	0.168	0	86	78	S	S	0.903
114-4M	0.79	0.196	0.150	0	71	71	S	S	1.002
115-49	0.79	0.179	0.137	0	77	72	S	S	0.937
107-15	0.79	0.193	0.125	0	98	81	S	S	0.830
108-16	0.79	0.183	0.119	0	97	82	S	S	0.843
109-17	0.79	0.182	0.118	0	102	82	S	S	0.804
116-52	0.79	0.136	0.104	0	78	74	S	S	0.945
117-54	0.79	0.141	0.108	0	77	74	S	S	0.953
110-36	0.79	0.436	0.206	0	43	47	S	S	1.101
111-39	0.79	0.450	0.213	0	44	47	S	S	1.056

Mean value  $\bar{x} = 0.943$

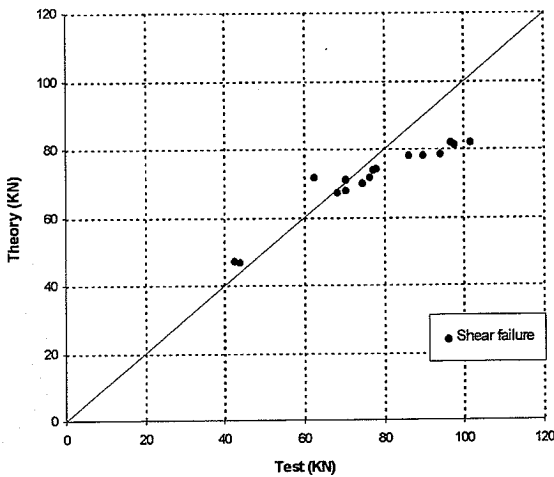


Fig.6.18 *Comparison of theoretical load carrying capacity with test results by Tanabe [75.1]*

#### **6.2.12 Shear Wall Tests by NUPEC, Cardenas, Kebeyasawa, Wiradinata, Aoyagi and Paulay**

The comparison between theoretical results and tests by NUPEC [94.3], Cardenas [80.2], Kebeyasawa [84.5] [85.4], Wiradinata [86.6], Aoyagi [90.1] and Paulay [80.1] is shown in table 6.10 and Fig. 6.12.

**Table 6.11 Test specimens by NUPEC [94.3], Cardenas [80.2], Kebeyasawa [84.5] [85.4], Wiradinata [86.6], Aoyagi [90.1] and Paulay [80.1]**

Specimen  No.	Section geometry	a/h	Reinforcement		Normal force  (KN)	Load capacity		Loading method	Failure mode		Ratio (Theory/ Test)
			degree			Test  (KN)	Theory  (KN)		Test	Theory	
			Φ	ψ							
NUPEC	Flanged	0.65	0.383	0.264	1195.6	1627	1599	Dynamic	S	S	0.983

**Cardenas**

SW-7	Rect.	1.05	0.225	0.044	12.5	519	577	Monotonic	S	S	1.113
SW-8	Rect.	1.05	0.281	0.050	12.3	570	672	Monotonic	S	B	1.180
SW-9	Rect.	1.05	0.279	0.164	12.5	679	673	Monotonic	S	B	0.991
SW-13	Rect.	1.05	0.277	0.179	12.6	632	674	Monotonic	S	B	1.067

**Kabeyasawa**

K1	Barbell	0.75	0.163	0.070	396.5	439	372	Reversal	S	S	0.847
K2	Barbell	0.75	0.250	0.141	399.8	471	522	Reversal	S	S	1.108
K4	Barbell	0.75	0.177	0.125	398.4	508	592	Reversal	S	S	1.165

Wiradinata	Rect.	0.55	0.1116	0.0631	14.9	573.8	519.0	Alternating	S	S	0.904
Wiradinata	Rect.	0.31	0.122	0.070	8.8	680.5	675.8	Alternating	S	S	0.993

Aoyagi	Barbell	0.5147	0.1284	0.1094	0	1555	1394	Alternating	S	S	0.897
Aoyagi	Barbell	0.51	0.269	0.110	0	2309	2430	Alternating	S	S	1.052

Paulay	Rect.	0.5	0.093	0.339	0	810	707	Alternating	S	S	0.874
Paulay	Flanged	0.5	0.086	0.351	0	786	674	Alternating	S	S	0.857

**Mean value  $\bar{x} = 1.002$**

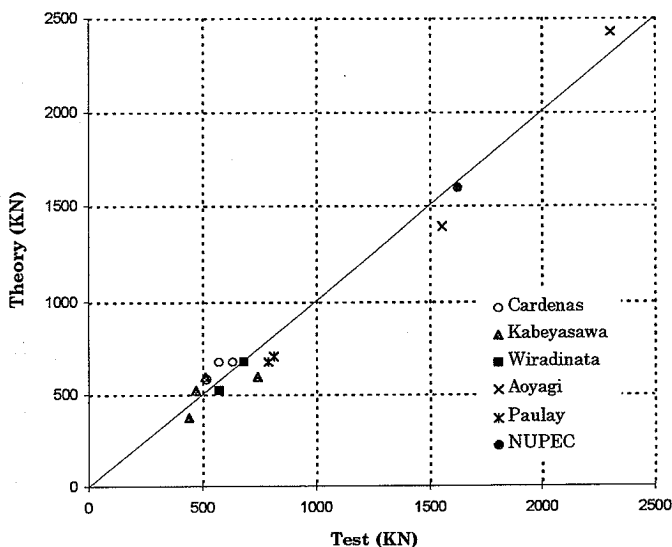


Fig. 6.19 Comparison of theoretical load carrying capacity with test results by NUPEC [94.3], Cardenas [80.2], Kebeyasawa [84.5][85.4], Wiradinata [86.6], Aoyagi [90.5] and Paulay [80.1]

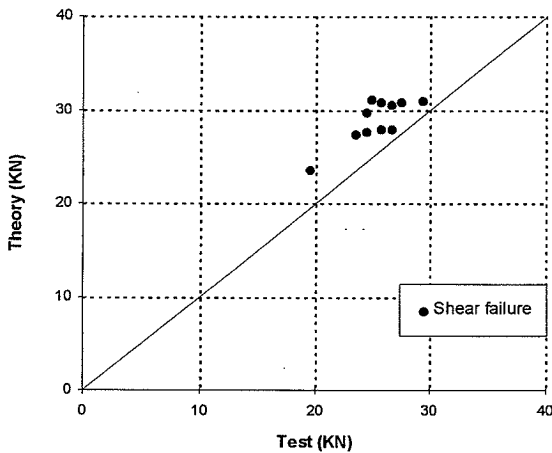
### 6.2.13 Shear Walls Tests by Kokusho

Eleven reinforced concrete shear walls were tested by Kokusho [75.1]. All the specimens had flange boundaries and the transverse load was alternating .

*Table 6.12 Test specimens by Kokusho [75.1]*

Specimen  No.	a/h	Reinforcement  degree		Normal  force  (kN)	Load capacity		Failure mode		Ratio  (Theory/  Test)
		Φ	ψ		Test	Theory	Test	Theory	
S1	0.47	0.171	0.169	0	29.4	30.9	S	S	1.053
S2	0.47	0.174	0.172	0	27.6	30.8	S	S	1.116
S3	0.70	0.303	0.147	0.53	24.5	29.7	S	S	1.212
S4	0.70	0.324	0.134	0.50	23.6	27.3	S	S	1.159
S6	0.70	0.501	0.211	0.40	19.6	23.5	S	S	1.200
S7	0.70	0.317	0.183	0.30	24.9	31.1	S	S	1.249
S8	0.70	0.338	0.194	0.56	25.8	30.7	S	S	1.191
S9	0.70	0.206	0.089	0.42	26.7	30.4	S	S	1.141
S10	0.70	0.310	0.134	0.54	26.7	27.9	S	S	1.045
S11	0.70	0.310	0.134	0.54	25.8	27.9	S	S	1.081
S12	0.70	0.328	0.140	0.54	24.5	27.5	S	S	1.124

Mean value  $\bar{x} = 1.143$



*Fig.6.20 Comparison of theoretical load carrying capacity with test results by Kokusho [75.1]*



#### **6.2.14 Shear Walls Tests by Benjamin**

Twenty-nine reinforced concrete shear walls were tested by Benjamin [53.1] [55.1] [56.1] [56.2] [57.1]. All the specimens had column boundaries and the transverse load was monotonic.

*Table 6.13 Test specimens by Benjamin [53.1] [55.1] [56.1] [56.2] [57.1].*

Specimen  No.	a/h	Reinforcement degree		Normal force (kN)	Load capacity		Failure mode		Ratio (Theory/ Test)
		Φ	ψ		Test (kN)	Theory (kN)	Test	Theory	
4BII-1	0.98	0.266	0.122	0	89	75	S	S	0.848
4BII-2	0.61	0.187	0.115	0	155	134	S	S	0.864
4BII-3	0.44	0.169	0.126	0	201	192	S	S	0.954
4BII-4	0.29	0.104	0.097	0	294	334	S	S	1.137
1BII-1	0.53	0.159	0.061	0	249	248	S	S	0.994
3AII-1	0.66	0.246	0.102	0	205	162	S	S	0.793
3AII-2	0.60	0.273	0.063	0	138	140	S	S	1.014
NV-1	0.48	0.107	0.095	0	301	240	S	S	0.795
NV-11	0.96	0.307	0.102	0	222	214	S	S	0.963
NV-18	0.31	0.121	0.118	0	374	332	S	S	0.889
VR-3	0.55	0.179	0.115	0	302	288	S	S	0.953
R-1	0.55	0.161	0.062	0	316	257	S	S	0.813
A1-A	0.31	0.185	0.228	0	311	368	S	S	1.181
A1-B	0.31	0.179	0.220	0	367	373	S	S	1.016
A2-B	0.31	0.255	0.359	0	329	427	S	S	1.296
M-1	0.54	0.167	0.059	0	214	252	S	S	1.178
MR-1	0.40	0.167	0.055	0	317	283	S	S	0.892
MR-3	0.40	0.241	0.079	0	318	243	S	S	0.764
MR-2	0.30	0.196	0.064	0	245	287	S	S	1.173
MR-4	0.30	0.261	0.085	0	245	250	S	S	1.022
VRR-1	0.49	0.162	0.096	0	329	288	S	S	0.874
MS-1	0.47	0.220	0.049	0	274	306	S	S	1.114
MS-2	0.47	0.177	0.043	0	368	335	S	S	0.909
MS-2.2	0.47	0.202	0.049	0	359	319	S	S	0.889
MS-5	0.24	0.139	0.047	0	380	509	S	S	1.338
SD-1A	0.53	0.179	0.126	0	178	158	S	S	0.886
SD-1C	0.53	0.179	0.126	0	160	158	S	S	0.984
3BI-3	0.53	0.170	0.109	0	294	292	S	S	0.995
1BII-3	0.54	0.179	0.118	0	685	634	S	S	0.926

Mean value  $\bar{x} = 0.981$

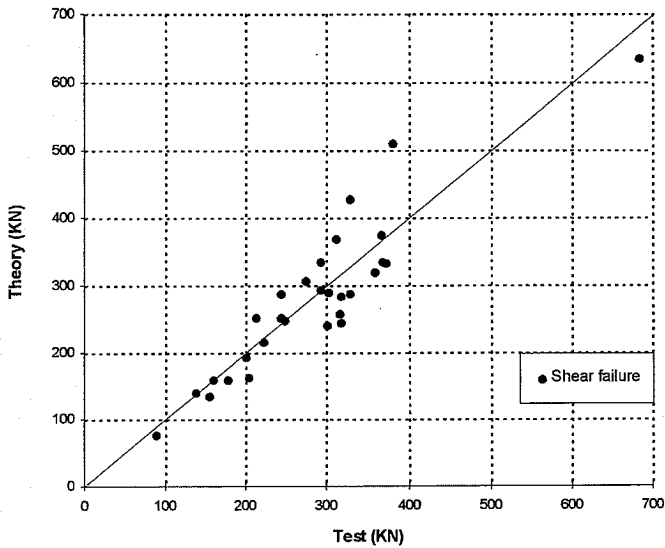


Fig.6.21 Comparison of theoretical load carrying capacity with test results by Benjamin [53.1] [55.1] [56.1] [56.2] [57.1].

## CHAPTER VII CONCLUSION

In this report a theoretical model which is composed of a strut or a diagonal compression field combined with triangular homogenous stress fields has been developed for shear walls in shear. The solution satisfies the equilibrium conditions and statical boundary conditions and is based on a safe stress distribution. It is thus a lower bound solution. The theory is capable of predicting the load-carrying capacity of reinforced concrete structural walls as well as available for designing the walls.

Some of the capabilities of the theory are listed below :

1. The theory can be applied to shear walls with different height-width ratios ( normally  $a/h \leq 3$  ) and with rectangular, barbell and flanged cross sections.
2. The theory is applicable to shear walls subjected to a normal force as well as a concentrated transverse load which can be applied monotonically or cyclicly.
3. The theory is applicable to shear walls with normal strength materials as well as ultra-high strength materials such as concrete strength up to about 140 MPa and steel yield stresses up to 1420 MPa.
4. By means of optimizing routines, by which the shear capacity of shear walls may be found easily, the theory predicts the balanced reinforcement ratios beyond which the steel will not yield at failure or the concrete will not reach its limit strength.

A large number of shear wall tests are available in the literature. A number of 184 typical test specimens have been treated using the theory proposed in this report. The agreement between theory and experiment is good.

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# **APPENDIX A Test Data and Calculation Results of Shear Wall Tests by Gupta and Rangan [93.4] [94.2]**

**Table A**

Specimen No.	S-F	S-1	S-2	S-3	S-4	S-5	S-6	S-7
a(mm)	1000	1000	1000	1000	1000	1000	1000	1000
h(mm)	1000	1000	1000	1000	1000	1000	1000	1000
a / h	1	1	1	1	1	1	1	1
a <sub>t</sub> (mm)	200	200	200	200	200	200	200	200
t <sub>t</sub> (mm)	100	100	100	100	100	100	100	100
b (mm)	375	375	375	375	375	375	375	375
t (mm)	75	75	75	75	75	75	75	75
h <sub>0</sub> (mm)	800	800	800	800	800	800	800	800
φ <sub>i</sub>	0.002	0.008	0.013	0.017	0.013	0.017	0.020	0.013
Φ <sub>x</sub>	0.012	0.012	0.012	0.012	0.018	0.018	0.018	0.012
ψ	0.005	0.005	0.005	0.005	0.005	0.005	0.005	0.011
f <sub>yi</sub> (MPa)	578	529	531	531	531	531	531	531
f <sub>yx</sub> (MPa)	545	545	545	545	533	533	533	545
f <sub>yy</sub> (MPa)	578	578	578	578	578	578	578	545
f <sub>c</sub> (MPa)	60.5	79.3	65.1	69.0	75.2	73.1	70.5	71.2
N (KN)	310	0	610	1230	0	610	1230	610
P (KN)	487	720	851	600	790	970	800	428
ν	0.525	0.430	0.525	0.551	0.437	0.486	0.541	0.492
Φ <sub>i</sub>	0.107	0.072	0.147	0.164	0.106	0.144	0.183	0.150
Φ <sub>x</sub>	0.076	0.067	0.130	0.148	0.098	0.130	0.145	0.129
ψ	0.107	0.072	0.147	0.164	0.106	0.144	0.183	0.150
τ <sub>test</sub> / v f <sub>c</sub>	0.107	0.072	0.147	0.164	0.106	0.144	0.183	0.150
τ <sub>theory</sub> / v f <sub>c</sub>	0.076	0.067	0.130	0.148	0.098	0.130	0.145	0.129
τ <sub>theory</sub> / τ <sub>test</sub>	0.705	0.937	0.885	0.899	0.922	0.904	0.793	0.862
T <sub>1</sub> (KN)	91	333	522	689	522	689	696	522
C <sub>1</sub> (KN)	782	714	1512	2297	1091	1866	2466	1510
Failure mode	S	S	S	S	S	S	S	S
θ (rad)	0.705	0.681	0.527	0.406	0.585	0.450	0.380	0.640
θ <sub>1</sub> (rad)	1.398	1.377	1.163	0.957	1.244	1.035	0.889	1.196
y' <sub>0</sub> / h <sub>e</sub>	0.104	0.146	0.388	0.548	0.303	0.491	0.580	0.216
σ <sub>c</sub> <sup>1</sup> / f <sub>c</sub>	0.227	0.224	0.350	0.511	0.302	0.449	0.577	0.461
σ <sub>sl</sub> / f <sub>yi</sub>	1	1	1	1	1	1	1	1
σ <sub>ax</sub> / f <sub>yx</sub>	1	1	1	1	1	1	1	1
σ <sub>ay</sub> / f <sub>yy</sub>	1	1	1	1	1	1	1	1
x / a*	0.481	0.480	0.460	0.427	0.469	0.441	0.411	0.449
a* / a	1	1	1	1	1	1	1	1



## **APPENDIX B Test Data and Calculation Results of Shear Wall Tests by Felix Barda [76.2]**

**Table B**

Specimen No.	B1-1	B2-1	B3-2	B4-3	B5-4	B6-4	B7-5	B8-5
a(mm)	953	953	953	953	953	953	476	1905
h(mm)	1905	1905	1905	1905	1905	1905	1905	1905
a / h	1	1	1	1	1	1	0	1
a <sub>t</sub> (mm)	152	152	152	152	152	152	152	152
t <sub>t</sub> (mm)	102	102	102	102	102	102	102	102
b (mm)	610	610	610	610	610	610	610	610
t (mm)	102	102	102	102	102	102	102	102
h <sub>0</sub> (mm)	1702	1702	1702	1702	1702	1702	1702	1702
Φ <sub>i</sub>	0.006	0.020	0.013	0.013	0.013	0.013	0.013	0.013
Φ <sub>x</sub>	0.005	0.005	0.005	0.005	0.000	0.003	0.005	0.005
ψ	0.005	0.005	0.005	0.000	0.005	0.005	0.005	0.005
f <sub>T1</sub> (MPa)	525	487	414	527	527	529	539	489
f <sub>yx</sub> (MPa)	543	552	545	535	0	496	531	527
f <sub>yy</sub> (MPa)	496	499	513	0	495	496	501	496
f <sub>c</sub> (MPa)	29.0	16.3	27.0	19.0	28.9	21.2	25.7	23.4
N (KN)	0	0	0	0	0	0	0	0
P (KN)	1276	969	1113	1023	680	867	1145	889
ν	0.655	0.718	0.665	0.705	0.656	0.694	0.671	0.683
Φ <sub>i</sub>	0.159	0.849	0.302	0.516	0.365	0.471	0.410	0.401
Φ <sub>x</sub>	0.143	0.235	0.152	0.199	0	0.084	0.154	0.165
ψ	0.131	0.213	0.143	0	0.131	0.168	0.145	0.155
τ <sub>test</sub> / νf <sub>c</sub>	0.347	0.427	0.320	0.394	0.185	0.304	0.342	0.287
τ <sub>theory</sub> / νf <sub>c</sub>	0.279	0.404	0.356	0.309	0.347	0.382	0.414	0.309
τ <sub>theory</sub> / τ <sub>test</sub>	0.803	0.946	1.111	0.784	1.869	1.256	1.208	1.078
T <sub>1</sub> (KN)	586	959	1026	694	1274	1261	951	1241
C <sub>1</sub> (KN)	1054	1401	1486	1108	1272	1450	1357	1692
Failure mode	S	S	S	S	S	S	S	S
θ (rad)	0.828	0.548	0.658	0.554	0.670	0.548	0.673	0.563
θ <sub>1</sub> (rad)	1.208	0.686	0.984	1.017	1.013	0.772	0.839	1.060
y' <sub>0</sub> / h <sub>e</sub>	0.441	0.686	0.603	0.691	0.594	0.686	0.795	0.352
σ <sub>c</sub> <sup>1</sup> / f <sub>c</sub>	0.241	0.783	0.382	0	0.339	0.620	0.374	0.543
σ <sub>sl</sub> / f <sub>yt</sub>	1	0	1	1	1	1	1	1
σ <sub>sx</sub> / f <sub>yx</sub>	1	1	1	1	0	1	1	1
σ <sub>sy</sub> / f <sub>yy</sub>	1	1	1	0	1	1	1	1
x / a*	0.486	0.404	0.469	0	0.473	0.433	0.480	0.431
a* / a	1	1	1	1	1	1	1	1

## APPENDIX C Test Data and Calculation Results of Shear Wall Tests by Maier and Thurlimann [85.3]

Table C

Specimen No.	S1	S2	S3	S5	S6	S7	S10	S4	S9
a(mm)	1200	1200	1200	1200	1200	1200	1200	1200	1200
h(mm)	1180	1180	1180	1180	1180	1180	1180	1180	1180
a / h	1	1	1	1	1	1	1	1	1
a <sub>t</sub> (mm)	240	240	240	240	240	240	240	240	240
t <sub>t</sub> (mm)	100	100	100	100	100	100	240	100	100
b (mm)	400	400	400	400	400	400	100	100	100
t (mm)	100	100	100	100	100	100	100	100	100
h <sub>0</sub> (mm)	980	980	980	980	980	980	700	980	980
Φ <sub>i</sub>	0.004	0.004	0.008	0.004	0.004	0.004	0.012	0.001	0
Φ <sub>x</sub>	0.012	0.012	0.025	0.012	0.013	0.011	0.010	0.011	0
Φ <sub>y</sub>	0.010	0.010	0.010	0.010	0.006	0.010	0.010	0.010	0
f <sub>ti</sub> (MPa)	669	669	634	669	622	669	629	669	661
f <sub>tx</sub> (MPa)	669	669	634	669	622	669	606	669	661
f <sub>ty</sub> (MPa)	669	669	669	669	641	669	606	669	0
f <sub>c</sub> (MPa)	36.9	35.4	36.7	37.3	37.3	34.1	31.0	32.9	29
N (KN)	433	1653	424	416	416	1657	262	262	260
P (KN)	680	928	977	683	656	855	670	392	342
ν	0.663	0.813	0.664	0.659	0.659	0.827	0.697	0.684	0.709
Φ <sub>i</sub>	0.107	0.091	0.217	0.107	0.097	0.091	0.338	0.026	0.027
Φ <sub>x</sub>	0.317	0.269	0.641	0.316	0.329	0.268	0.281	0.312	0.316
ψ	0.281	0.239	0.283	0.280	0.149	0.239	0.275	0.306	0
τ <sub>test</sub> / νf <sub>c</sub>	0.235	0.273	0.340	0.236	0.226	0.257	0.263	0.148	0.140
τ <sub>theory</sub> / νf <sub>c</sub>	0.236	0.299	0.360	0.233	0.222	0.298	0.301	0.139	0.142
τ <sub>theory</sub> / τ <sub>test</sub>	1.001	1.094	1.060	0.989	0.982	1.161	1.146	0.944	1.012
T <sub>i</sub> (KN)	310	310	624	310	281	302	862	70	65
C <sub>i</sub> (KN)	1499	2475	2306	1482	1228	2448	1482	1019	398
Failure mode	S	B	S	S	S	B	S	B	B
θ (rad)	0.852		0.672	0.856	0.674		0.732		
θ <sub>i</sub> (rad)	1.289		1.012	1.293	1.282		1.167		
y' <sub>0</sub> / h <sub>e</sub>	0.000		0.156	0.000	0.152		0.045		
σ <sub>c</sub> <sup>i</sup> / f <sub>c</sub>	0.497		0.731	0	0.382		0.615		
σ <sub>sl</sub> / f <sub>ti</sub>	1		1	1	1		1		
σ <sub>ss</sub> / f <sub>tx</sub>	1		1	1	1		1		
σ <sub>sy</sub> / f <sub>ty</sub>	1		1	1	1		1		
x / a*	0.460		0.400	0	0.462		0.430		
a* / a	1		1	1	1		1		

# **APPENDIX D Test Data and Calculation Results of Shear Wall Tests by Lefas, Micheal and Nicholas [90.3] [90.4]**

Table D

Specimen No	SW1	SW2	SW3	SW4	SW5	SW6	SW7	SW21	SW22	SW23
a(mm)	750	750	750	750	750	750	750	1300	1300	1300
h(mm)	750	750	750	750	750	750	750	650	650	650
a/h	1	1	1	1	1	1	1	2	2	2
a <sub>c</sub> (mm)	150	150	150	150	150	150	150	150	150	150
t <sub>r</sub> (mm)	140	140	140	140	140	140	140	140	140	140
b(mm)	70	70	70	70	70	70	70	65	65	65
t(mm)	70	70	70	70	70	70	70	65	65	65
h <sub>0</sub> (mm)	470	470	470	470	470	470	470	370	370	370
$\eta$	0.006	0.006	0.006	0.006	0.006	0.006	0.006	0.007	0.007	0.007
$\eta_x$	0.024	0.024	0.024	0.024	0.024	0.024	0.024	0.025	0.025	0.025
$\eta_y$	0.011	0.011	0.011	0.011	0.011	0.011	0.004	0.008	0.008	0.008
f <sub>u</sub> (MPa)	517	517	517	517	517	517	517	517	517	517
f <sub>yk</sub> (MPa)	517	517	517	517	517	517	517	517	517	517
f <sub>yk</sub> (MPa)	565	565	565	565	565	565	565	565	565	565
f <sub>t</sub> (MPa)	52.3	53.6	40.6	42.1	43.3	52	48	43	51	48
N(KN)	0	230	355	0	185	460	0	0	182	343
P(KN)	260	340	330	265	320	355	247	127	150	180
$\nu$	0.539	0.591	0.718	0.590	0.643	0.664	0.559	0.596	0.609	0.684
$\Phi$	0.106	0.094	0.103	0.121	0.108	0.087	0.111	0.147	0.119	0.112
$\Phi_x$	0.441	0.332	0.426	0.500	0.446	0.362	0.460	0.516	0.420	0.396
$\psi$	0.221	0.196	0.213	0.250	0.223	0.167	0.077	0.180	0.147	0.138
$\tau_{test}/\sqrt{f_c}$	0.176	0.204	0.216	0.203	0.219	0.197	0.174	0.120	0.115	0.130
$\tau_{crack}/\sqrt{f_c}$	0.177	0.190	0.210	0.196	0.203	0.196	0.183	0.107	0.105	0.108
$\tau_{crack}/\tau_{test}$	1.006	0.931	0.973	0.964	0.929	0.994	1.050	0.898	0.913	0.828
T <sub>1</sub> (KN)	157	157	157	157	157	157	157	155	155	155
C <sub>1</sub> (KN)	342	479	550	342	452	593	200	383	528	650
Failure mode	B	B	B	B	B	B	B	B	B	B

Table D (continued)

Specimen No.	SW24	SW25	SW26	SW30	SW31	SW32	SW33	SW31R	SW32R	SW33R
a(mm)	1300	1300	1300	1300	1300	1300	1300	1300	1300	1300
h(mm)	650	650	650	650	650	650	650	650	650	650
a/h	2	2	2	2	2	2	2	2	2	2
a <sub>0</sub> (mm)	150	150	150	150	150	150	150	150	150	150
t <sub>0</sub> (mm)	140	140	140	140	140	140	140	140	140	140
b(mm)	65	65	65	65	65	65	65	65	65	65
t(mm)	65	65	65	65	65	65	65	65	65	65
h <sub>0</sub> (mm)	370	370	370	370	370	370	370	370	370	370
q	0.007	0.007	0.007	0.007	0.007	0.007	0.007	0.007	0.007	0.007
q <sub>k</sub>	0.025	0.025	0.025	0.015	0.015	0.015	0.015	0.015	0.015	0.015
q <sub>y</sub>	0.008	0.008	0.004	0.004	0.004	0.004	0.004	0.004	0.004	0.004
f <sub>tk</sub> (MPa)	517	517	517	517	517	517	517	517	517	517
f <sub>tk</sub> (MPa)	517	517	517	517	517	517	517	517	517	517
f <sub>ty</sub> (MPa)	565	565	565	565	565	565	565	565	565	565
f <sub>t</sub> (MPa)	48	45	30	30	35	54	49	35	38	38
N(kN)	0	325	0	0	0	0	0	0	0	0
P(kN)	120	150	123	118	116	111	112	140	83	94
$\nu$	0.559	0.699	0.650	0.650	0.624	0.532	0.554	0.626	0.609	0.610
$\Phi$	0.136	0.117	0.188	0.188	0.167	0.129	0.135	0.168	0.158	0.158
$\Phi_k$	0.480	0.411	0.662	0.397	0.353	0.272	0.285	0.356	0.334	0.334
$\psi$	0.168	0.144	0.116	0.101	0.090	0.069	0.073	0.091	0.085	0.085
$\tau_{test}/\sqrt{f_c}$	0.105	0.113	0.149	0.142	0.125	0.092	0.097	0.151	0.084	0.096
$\tau_{theory}/\sqrt{f_c}$	0.101	0.110	0.132	0.113	0.102	0.080	0.088	0.102	0.096	0.097
$\tau_{theory}/\tau_{test}$	0.958	0.973	0.883	0.791	0.813	0.868	0.861	0.674	1.144	1.008
T <sub>1</sub> (kN)	155	155	155	155	155	155	155	155	155	155
Q <sub>1</sub> (kN)	381	636	307	241	242	243	242	242	242	242
Failure mode	B	B	B	B	B	B	B	B	B	B

## **APPENDIX E Test Data and Calculation Results of Shear Wall Tests by Oosterle [84.3]**

**Table E**

Specimen No.	R-1	R-2	R-3	R-4	F1	F2	F3	B1	B2
a(mm)	4570	4570	4570	4570	4570	4570	4570	4570	4570
h(mm)	1910	1910	1910	1910	1910	1910	1910	1910	1910
a / h	2.393	2.393	2.393	2.393	2.393	2.393	2.393	2.393	2.393
a <sub>c</sub> (mm)	203	203	203	203	203	203	203	203	203
t <sub>r</sub> (mm)	305	305	305	305	102	102	102	305	305
b (mm)	102	102	102	102	910	910	910	305	305
t (mm)	102	102	102	102	102	102	102	102	102
h <sub>0</sub> (mm)	1300	1300	1300	1300	1706	1706	1706	1528	1528
$\phi$	0.002	0.006	0.010	0.006	0.019	0.021	0.011	0.005	0.018
$\phi_x$	0.003	0.003	0.002	0.003	0.003	0.003	0.003	0.003	0.003
$\phi_y$	0.003	0.003	0.004	0.003	0.007	0.006	0.003	0.003	0.006
f <sub>ft</sub> (MPa)	639	579	690	597	576	576	630	579	553
f <sub>fx</sub> (MPa)	611	610	473	507	615	536	618	608	620
f <sub>fy</sub> (MPa)	611	610	473	507	615	536	618	608	620
f <sub>c</sub> (MPa)	44.7	46.4	24.4	22.7	38.4	46	28	53	54
N (KN)	0	0	296	296	0	1190	546	0	0
P (KN)	118	217	563	282	836	887	421	271	680
$\nu$	0.576	0.568	0.723	0.735	0.608	0.625	0.700	0.535	0.532
$\Phi_l$	0.058	0.140	0.375	0.200	0.457	0.420	0.351	0.098	0.340
$\Phi_x$	0.059	0.058	0.059	0.085	0.079	0.058	0.079	0.062	0.063
$\psi$	0.073	0.072	0.113	0.094	0.187	0.119	0.098	0.066	0.137
$\tau_{test} / \sqrt{f_c}$	0.024	0.042	0.165	0.087	0.184	0.160	0.111	0.049	0.122
$\tau_{theory} / \sqrt{f_c}$	0.028	0.056	0.148	0.095	0.182	0.198	0.167	0.047	0.130
$\tau_{theory} / \tau_{test}$	1.209	1.340	0.893	1.093	0.993	1.240	1.512	0.947	1.065
T <sub>1</sub> (KN)	292	721	1288	650	2080	2327	1338	539	1887
C <sub>1</sub> (KN)	208	919	1717	1132	2396	3795	2146	742	2162
Failure mode	B	S	S	B	S	S	S	S	B
$\theta$ (rad)		0.387	0.639		0.784	0.527	0.519	0.381	
$\theta_t$ (rad)		1.508	1.400		1.361	1.315	1.364	1.520	
y <sub>0</sub> / h <sub>e</sub>		0	0		0	0	0	0.015	
$\alpha_c^1 / f_c$		0.166	0.317		0.375	0.468	0.398	0.125	
$\alpha_g / f_y$		1	1		1	1	1	1	
$\alpha_{gx} / f_{yx}$		1	1		1	1	1	1	
$\alpha_{gy} / f_{yy}$		0	1		1	1	1	0.261	
x / a*		0.480	0.468		0.469	0.438	0.450	0.485	
a* / a		1.000	0.548		0.408	0.699	0.712	1.000	

Table E (continued)

Specimen No.	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
a(mm)	4570	4570	4570	4570	4570	4570	4570	4570	4570	4570
h(mm)	1910	1910	1910	1910	1910	1910	1910	1910	1910	1910
a / h	2.393	2.393	2.393	2.393	2.393	2.393	2.393	2.393	2.393	2.393
a (mm)	203	203	203	203	203	203	203	203	203	203
tr (mm)	305	305	305	305	305	305	305	305	305	305
b (mm)	305	305	305	305	305	305	305	305	305	305
t (mm)	102	102	102	102	102	102	102	102	102	102
h <sub>0</sub> (mm)	1528	1528	1528	1528	1528	1528	1528	1528	1528	1528
$\phi$	0.005	0.005	0.018	0.018	0.018	0.018	0.018	0.009	0.013	0.018
$\phi_x$	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003
$\phi_y$	0.003	0.003	0.006	0.006	0.006	0.014	0.006	0.006	0.006	0.006
$f_u$ (MPa)	567	579	589	587	604	597	582	597	575	574
$f_{tx}$ (MPa)	568	593	587	594	593	594	537	553	512	503
$f_{ty}$ (MPa)	568	593	587	594	593	605	537	553	512	503
$f_c$ (MPa)	47	45	45	22	49	42	44	46	54	42
N (kN)	0	0	0	934	1197	1197	1197	1197	0	0
P (kN)	276	334.4896	762	825	980	978	977	707	726	792
$\nu$	0.564	0.575	0.573	0.788	0.609	0.655	0.641	0.632	0.531	0.592
$\Phi_1$	0.113	0.118	0.397	0.598	0.352	0.381	0.361	0.195	0.257	0.408
$\Phi_x$	0.062	0.066	0.066	0.100	0.067	0.056	0.055	0.056	0.052	0.059
$\psi$	0.066	0.071	0.142	0.218	0.124	0.304	0.120	0.121	0.113	0.128
$\tau_{test} / \sqrt{f_c}$	0.053	0.066	0.151	0.246	0.168	0.183	0.177	0.126	0.131	0.165
$\tau_{theory} / \sqrt{f_c}$	0.052	0.055	0.150	0.268	0.169	0.181	0.173	0.116	0.100	0.153
$\tau_{theory} / \tau_{test}$	0.978	0.823	0.968	1.089	1.005	0.992	0.976	0.917	0.768	0.928
$T_1$ (kN)	585	597	2010	2004	2032	2038	1987	1094	1428	1960
$C_1$ (kN)	839	862	2270	3198	3519	3473	3419	2538	1656	2182
Failure mode	S	B	B	S	B	B	B	B	B	B
$\theta$ (rad)	0.391			0.669						
$\theta_1$ (rad)	1.514			1.223						
$y'_0 / h_0$	0			0						
$\alpha'_c / f_c$	0.152			0.596						
$\sigma_{sa} / f_u$	1			1						
$\sigma_{sx} / f_{tx}$	1			1						
$\sigma_{sy} / f_{ty}$	0.332			1						
$x / a^*$	0.482			0.431						
$a^* / a$	0.988			0.515						

## APPENDIX F Test Data and Calculation Results of Shear Wall Tests by Toshimi [93.3]

Table F

Specimen No.	NW1	NW3	NW4	NW5	NW6	Nb5	NW2	Nb1	Nb2	Nb3	Nb4
a(mm)	3000	3000	3000	3000	3000	3000	2000	2000	2000	2000	2000
h(mm)	1700	1700	1700	1700	1700	1700	1700	1700	1700	1700	1700
a/h	1.765	1.765	1.765	1.765	1.765	1.765	1.176	1.176	1.176	1.176	1.176
a <sub>1</sub> (mm)	600	600	600	600	600	600	600	600	600	600	600
t <sub>1</sub> (mm)	200	200	200	200	200	200	200	200	200	200	200
b(mm)	200	200	200	200	200	200	200	200	200	200	200
t(mm)	80	80	80	80	80	80	80	80	80	80	80
h <sub>0</sub> (mm)	1300	1300	1300	1300	1300	1300	1300	1300	1300	1300	1300
$\eta$	0.007	0.007	0.009	0.009	0.012	0.016	0.007	0.016	0.016	0.016	0.016
$\eta_k$	0.005	0.002	0.002	0.005	0.005	0.005	0.005	0.002	0.003	0.005	0.005
$\eta_y$	0.005	0.002	0.002	0.005	0.005	0.005	0.005	0.002	0.003	0.005	0.005
$f_{t1}$ (MPa)	776	840	840	840	726	1009	776	1009	1009	1009	1009
$f_{t2}$ (MPa)	1001	753	753	753	753	792	1001	792	792	792	792
$f_{t3}$ (MPa)	1001	753	753	753	753	792	1001	792	792	792	792
$f_c$ (MPa)	87.6	55.5	54.6	60.3	65.2	77	94	65	71	72	103
N(kN)	1764	1372	1568	1372	1568	1568	1764	1568	1568	1568	2617
P(kN)	1032	714	784	900	1056	1158	1468	1100	1254	1378	1696
$\nu$	0.435	0.620	0.640	0.588	0.569	0.515	0.480	0.569	0.534	0.530	0.492
$\phi_1$	0.124	0.169	0.222	0.219	0.229	0.339	0.120	0.425	0.417	0.414	0.310
$\phi_k$	0.109	0.052	0.051	0.100	0.036	0.094	0.105	0.038	0.064	0.038	0.073
$\psi$	0.109	0.052	0.051	0.100	0.036	0.094	0.105	0.038	0.064	0.038	0.073
$\tau_{test}/f_{t1}$	0.180	0.153	0.165	0.187	0.209	0.216	0.240	0.218	0.244	0.236	0.245
$\tau_{cray}/f_{t1}$	0.140	0.141	0.164	0.172	0.179	0.227	0.183	0.219	0.250	0.279	0.251
$\tau_{cray}/\tau_{test}$	0.778	0.927	0.993	0.920	0.854	1.052	0.760	1.003	1.024	1.048	1.023
$T_1$ (kN)	731	732	1056	1056	1156	2143	731	1727	2143	2143	2143
$C_1$ (kN)	2978	1846	1991	2785	2368	3384	2475	987	1552	2235	2630
Failure mode	S	S	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.646	0.445	0.410	0.515	0.432	0.432	0.623	0.345	0	0.429	0.426
$\theta_1$ (rad)	1.409	1.386	1.334	1.356	1.340	1.212	1.342	1.078	0.988	1.000	1.092
$y_0/h_e$	0	0.134	0.209	0.000	0.025	0.163	0.129	0.565	0.549	0.446	0.4500
$\alpha_c'/f_c$	0.301	0.279	0.319	0.413	0.428	0.540	0.303	0.330	0.529	0.567	0.429
$\alpha_1/f_{t1}$	1	1	1	1	1	1	1	1	1	1	1
$\alpha_x/f_{t2}$	1	1	1	1	1	1	1	1	1	1	1
$\alpha_y/f_{t3}$	1	1	1	1	1	1	1	1	1	1	1
$x/a^*$	0.470	0.466	0.459	0.448	0.444	0.418	0.469	0.456	0.419	0.415	0.442
$a^*/a$	1	1	1	1	1	1	1	1	1	1	1

Table F (continued)

Specimen No.	N6	N7	N8	W8	W12	W35X	W35H	W30H	P35H	NW35H
a(mm)	2000	2000	2000	1000	1000	2000	2000	2000	2000	2000
h(mm)	1700	1700	1700	1700	1700	1700	1700	1700	1700	1700
a/h	1.176	1.176	1.176	0.588	0.588	1.176	1.176	1.176	1	1
a <sub>c</sub> (mm)	600	600	600	600	600	2000	2000	2000	2000	2000
t <sub>f</sub> (mm)	200	200	200	200	200	200	200	200	200	200
b (mm)	200	200	200	200	200	200	200	200	200	200
t (mm)	80	80	80	80	80	80	80	80	80	80
h <sub>0</sub> (mm)	1300	1300	1300	1300	1300	1300	1300	1300	1300	1300
q	0.016	0.016	0.016	0.007	0.007	0.007	0.007	0.007	0.007	0.007
q <sub>x</sub>	0.006	0.009	0.013	0.005	0.005	0.007	0.007	0.007	0.007	0.007
q <sub>y</sub>	0.006	0.009	0.013	0.005	0.005	0.007	0.007	0.007	0.007	0.007
f <sub>u</sub> (MPa)	1009	1009	1009	761	761	848	848	848	848	848
f <sub>u</sub> (MPa)	1420	732	732	1079	1079	810	810	810	810	810
f <sub>y</sub> (MPa)	1420	732	732	1079	1079	810	810	810	810	810
f <sub>t</sub> (MPa)	74	72	76	103	138	63	61	58	62	60
N (kN)	1568	1568	1568	1764	2313	1764	1921	1862	1470	1666
P (kN)	1411	1498	1639	1670	1719	1049	1054	958	1020	1011
$\nu$	0.523	0.531	0.517	0.460	0.423	0.508	0.620	0.639	0.582	0.611
$\Phi$	0.407	0.415	0.401	0.111	0.091	0.157	0.156	0.159	0.162	0.161
$\Phi_x$	0.212	0.184	0.259	0.107	0.088	0.153	0.152	0.155	0.158	0.157
$\Psi$	0.212	0.184	0.259	0.107	0.088	0.153	0.152	0.155	0.158	0.157
$\tau_{test}/f_c$	0.268	0.250	0.306	0.258	0.218	0.206	0.205	0.191	0.207	0.204
$\tau_{transy}/f_c$	0.333	0.325	0.347	0.265	0.252	0.185	0.187	0.189	0.179	0.185
$\tau_{transy}/\tau_{test}$	1.245	1.122	1.132	1.026	1.156	0.897	0.912	0.987	0.866	0.911
T <sub>1</sub> (kN)	2143	2143	2143	717	717	739	739	739	739	739
Q <sub>1</sub> (kN)	3882	3513	4447	1648	1924	3111	3227	3185	2857	3041
Failure mode	S	S	S	S	S	B	B	B	S	B
$\theta$ (rad)	0.591	0.554	0.644	0.766	0.770				0.708	
$\theta_1$ (rad)	1.037	1.021	1.046	1.216	1.238				1.361	
y <sub>0</sub> /h <sub>e</sub>	0.186	0.260	0.091	0.417	0.413				0	
$\alpha_e^1/f_c$	0.683	0.666	0.719	0.223	0.181				0.374	
$\alpha_g/f_{ft}$	1	1	1	1	1				1	
$\alpha_{gk}/f_{tk}$	1	1	1	1	1				1	
$\alpha_{gy}/f_{ty}$	1	1	1	1	1				1	
x/a*	0.400	0.401	0.336	0.485	0.488				0.464	
a*/a	1	1	1	1	1				0.984	



## APPENDIX G Test Data and Calculation Results of Shear Wall Tests by Hirosawa [75.1]

Table G

Specimen No.	3-w7103	5-w7105	6-0w1-1	9-40w1-1	12-20w1-2	70-WA1	71-WA2	72-A103a	73-A103E	75-A103E	77-A112E
a(mm)	750	750	625	625	625	1200	1200	1600	1600	1600	1600
h(mm)	2250	2250	600	600	600	2300	2300	1700	1700	1700	1700
a/h	0.333	0.333	1.042	1.042	1.042	0.522	0.522	0.941	0.941	0.941	0.941
a <sub>c</sub> (mm)	2250	250	150	150	150	500	500	200	200	200	200
t <sub>c</sub> (mm)	250	250	100	100	100	250	250	170	170	170	170
b (mm)	250	250	100	100	100	250	250	160	160	160	160
t (mm)	80	50	30	30	30	74	83	160	160	160	160
h <sub>b</sub> (mm)	1750	1750	400	400	400	1800	1800	1360	1360	1360	1360
$\eta$	0.003	0.005	0.014	0.014	0.014	0.009	0.008	0.005	0.005	0.005	0.005
$\eta_x$	0.002	0.008	0.002	0.002	0.002	0.002	0.001	0.005	0.005	0.005	0.005
$\eta_y$	0.001	0.008	0.002	0.002	0.002	0.002	0.001	0.003	0.003	0.005	0.005
$f_{t1}$ (MPa)	359	359	209	209	209	418	418	376	376	376	376
$f_{tx}$ (MPa)	623	623	293	293	293	549	461	419	407	407	407
$f_{ty}$ (MPa)	623	623	293	293	293	549	461	407	419	419	415
$f_c$ (MPa)	26.0	26.0	23.5	25.7	29.9	24	25	17	21	14	18
N (kN)	368	368	0	125	63	0	0	549	533	533	533.12
P (kN)	524	783	49	86	59	833	804	809	725	813	911.4
$\nu$	0.709	0.718	0.682	0.782	0.698	0.679	0.674	0.739	0.765	0.835	0.785
$\Phi$	0.055	0.087	0.181	0.145	0.140	0.238	0.205	0.155	0.135	0.187	0.149
$\Phi_x$	0.054	0.264	0.042	0.034	0.032	0.060	0.019	0.152	0.128	0.178	0.141
$\psi$	0.047	0.254	0.038	0.031	0.029	0.060	0.019	0.077	0.069	0.208	0.164
$\tau_{test}/\sqrt{f_c}$	0.158	0.373	0.170	0.239	0.157	0.239	0.248	0.216	0.168	0.261	0.233
$\tau_{theory}/\sqrt{f_c}$	0.133	0.380	0.129	0.186	0.147	0.273	0.228	0.217	0.192	0.263	0.218
$\tau_{theory}/\tau_{test}$	0.842	1.020	0.762	0.780	0.942	0.914	0.919	1.002	1.146	1.009	0.935
$T_1$ (kN)	182	182	52	52	52	665	641	582	582	582	582
$C_1$ (kN)	498	435	23	78	53	84	8	819	818	1319	1279
Failure mode	S	S	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	1.115	0.852	0.609	0.477	0.563	0.758	0.804	0.615	0.642	0.726	0.731
$\theta_1$ (rad)	1.426	1.046	1.402	1.261	1.364	1.199	1.280	1.272	1.319	1.230	1.302
$y_0/h_e$	0.304	0.610	0.207	0.412	0.282	0.495	0.446	0.316	0.275	0.139	0.131
$c_g^1/f_c$	0.059	0.448	0.117	0.145	0.103	0.128	0.037	0.231	0.191	0.473	0.369
$c_g/f_t$	1	1	1	1	1	1	1	1	1	1	1
$c_{gx}/f_{tx}$	1	1	1	1	1	1	1	1	1	1	1
$c_{gy}/f_{ty}$	1	1	1	1	1	1	1	1	1	1	1
$x/a^*$	0.4966	0.4774	0.4895	0.4847	0.4903	0.4919	0.4980	0.4782	0.4830	0.4535	0.4699
$a^2/a$	1	1	1	1	1	1	1	1	1	1	1

Table G (continued)

Specimen No.	79-B106b	81-B112b	83-B203b	85-B212b	71-WA2	95-2	97-5	98-6	99-7	96-1
a(mm)	1600	1600	1600	1600	1200	300	450	450	450	300
h(mm)	1700	1700	850	850	2300	420	570	570	570	420
a/h	0.941	0.941	1.882	1.882	0.522	0.714	0.789	0.789	0.789	0.714
a <sub>s</sub> (mm)	200	200	200	200	500	60	60	60	60	60
t <sub>r</sub> (mm)	170	170	85	85	250	60	60	60	60	42
b (mm)	160	160	160	160	250	40	60	60	60	40
t (mm)	160	160	160	160	83	20	20	30	40	40
h <sub>b</sub> (mm)	1360	1360	680	680	1800	300	450	450	450	336
q <sub>i</sub>	0.003	0.003	0.010	0.008	0.008	0.020	0.015	0.010	0.007	0.010
q <sub>s</sub>	0.005	0.005	0.004	0.004	0.001	0	0	0	0	0
q <sub>y</sub>	0.006	0.006	0.006	0.011	0.001	0	0	0	0	0
f <sub>ti</sub> (MPa)	382	382	380	377	418	316	368	368	368	316
f <sub>tx</sub> (MPa)	407	407	407	407	461	435	341	341	341	435
f <sub>ty</sub> (MPa)	420	415	421	415	461	487	341	341	341	487
f <sub>e</sub> (MPa)	14	18	18	21	25	65	32	32	33	65
N (kN)	533	533	267	267	0	0	0	0	0	0
P (kN)	617	760	333	368	804	39	31	39	59	50
v	0.835	0.786	0.730	0.735	0.674	0.474	0.640	0.639	0.633	0.474
Φ <sub>i</sub>	0.084	0.067	0.267	0.201	0.205	0.205	0.286	0.177	0.129	0.102
Φ <sub>s</sub>	0.178	0.141	0.115	0.102	0.019	0	0	0	0	0
ψ	0.224	0.176	0.170	0.282	0.019	0	0	0	0	0
τ <sub>test</sub> /f <sub>te</sub>	0.198	0.194	0.174	0.170	0.248	0.149	0.134	0.112	0.122	0.097
τ <sub>transy</sub> /f <sub>te</sub>	0.188	0.155	0.166	0.132	0.228	0.180	0.198	0.154	0.121	0.104
τ <sub>transy</sub> /τ <sub>test</sub>	0.947	0.805	0.953	0.774	0.919	1.209	1.474	1.388	0.987	1.068
T <sub>1</sub> (kN)	261	261	512	433	641	53	57	61	62	53
G <sub>1</sub> (kN)	1234	1234	955	876	8	1	-5	-1	2	5
Failure mode	B	B	B	B	S	S	S	S	S	S
θ (rad)					0.804	0.729	0.639	0.717	0.735	0.837
θ <sub>1</sub> (rad)					1.280					
y <sub>0</sub> /h <sub>e</sub>					0.446	0.362	0.413	0.312	0.241	0.208
α <sub>c</sub> <sup>1</sup> /f <sub>e</sub>					0.037	0	0	0	0	0
c <sub>ti</sub> /f <sub>ti</sub>					1	1	1	1	1	1
c <sub>tx</sub> /f <sub>tx</sub>					1	0	0	0	0	0
c <sub>ty</sub> /f <sub>ty</sub>					1	0	0	0	0	0
x/a*					0.4980	0	0	0	0	0
a*/a					1	1	1	1	1	1

## APPENDIX H Test Data and Calculation Results of Shear Wall Tests by Yoshizaki [75.1]

Table H

Specimen No.	16-188-12	17-23-368	17-23-524	17-23-528	17-23-512	17-22-278	17-12-424	17-12-428	17-12-412
a(mm)	800	800	800	800	800	800	800	800	800
h(mm)	800	1200	1200	1200	1200	1600	1600	1600	1600
a/h	1.00	0.67	0.67	0.67	0.67	0.50	0.50	0.50	0.50
a <sub>0</sub> (mm)	120	120	120	120	120	120	120	120	120
t <sub>r</sub> (mm)	80	120	120	120	120	160	160	160	160
b(mm)	60	60	60	60	60	60	60	60	60
t(mm)	60	60	60	60	60	60	60	60	60
h <sub>0</sub> (mm)	640	980	980	980	980	1280	1280	1280	1280
$\phi$	0.009	0.004	0.006	0.006	0.006	0.008	0.004	0.004	0.005
$\phi_r$	0.012	0.008	0.004	0.008	0.012	0.008	0.004	0.008	0.012
$\phi_y$	0.012	0.008	0.004	0.008	0.012	0.008	0.004	0.008	0.012
$f_t$ (MPa)	345	343	343	345	345	343	345	345	351
$f_{tx}$ (MPa)	434	434	434	434	434	434	434	434	434
$f_{ty}$ (MPa)	434	434	434	434	434	434	434	434	434
$f_c$ (MPa)	24	25	25	25	25	26	26	26	26
N(kN)	0	0	0	0	0	0	0	0	0
P(kN)	174	235	220	230	274	322	319	383	422
$\nu$	0.632	0.677	0.677	0.677	0.677	0.672	0.672	0.672	0.672
$\Phi$	0.191	0.081	0.114	0.123	0.123	0.059	0.059	0.059	0.037
$\Phi_r$	0.316	0.203	0.115	0.203	0.305	0.202	0.091	0.212	0.236
$\psi$	0.316	0.214	0.107	0.214	0.305	0.207	0.104	0.217	0.236
$\tau_{uz}/f_t$	0.226	0.197	0.184	0.217	0.229	0.135	0.194	0.232	0.236
$\tau_{uz}/f_{tx}$	0.243	0.187	0.178	0.224	0.264	0.205	0.181	0.237	0.236
$\tau_{uz}/\tau_{uz}$	1.075	0.952	0.999	1.080	1.150	1.049	0.937	1.019	1.117
T(kN)	147	97	136	147	147	97	147	147	159
Q(kN)	340	249	131	259	380	251	113	253	379
Failure mode	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.884	0.915	0.840	0.872	0.897	0.933	0.947	0.943	0.936
$\theta_r$ (rad)	1.274	1.355	1.358	1.301	1.249	1.335	1.351	1.289	1.221
$y_b/h_0$	0	0.036	0.224	0.172	0.129	0.226	0.282	0.289	0.259
$\alpha'_c/f_t$	0.529	0.341	0.193	0.355	0.500	0.300	0.157	0.317	0.433
$\alpha_t/f_t$	1	1	1	1	1	1	1	1	1
$\alpha_x/f_{tx}$	1	1	1	1	1	1	1	1	1
$\alpha_y/f_{ty}$	1	1	1	1	1	1	1	1	1
$x/a^*$	0.430	0.437	0.461	0.430	0.432	0.434	0.430	0.432	0.439
$a^*/a$	0.733	1	1	1	1	1	1	1	1

# **APPENDIX I Test Data and Calculation Results of Shear Wall Tests by Tanabe [75.1]**

**Table I**

Specimen No.	101-9	102-10	112-42	113-44	104-12	105-13	106-14	114-4M
a(mm)	450	450	450	450	450	450	450	450
h(mm)	570	570	570	570	570	570	570	570
a / h	0.79	0.79	0.79	0.79	0.79	0.79	0.79	0.79
a <sub>t</sub> (mm)	60	60	60	60	60	60	60	60
t <sub>f</sub> (mm)	60	60	60	60	60	60	60	60
b (mm)	60	60	60	60	60	60	60	60
t (mm)	20	20	20	20	30	30	30	30
h <sub>0</sub> (mm)	450	450	450	450	450	450	450	450
φ <sub>l</sub>	0.015	0.015	0.015	0.015	0.010	0.010	0.010	0.010
φ <sub>x</sub>	0.018	0.018	0.018	0.018	0.012	0.012	0.012	0.012
φ <sub>y</sub>	0.018	0.018	0.018	0.018	0.012	0.012	0.012	0.012
f <sub>fl</sub> (MPa)	368	368	293	293	368	368	368	293
f <sub>fx</sub> (MPa)	284	284	294	294	284	284	284	294
f <sub>fy</sub> (MPa)	284	284	294	294	284	284	294	294
f <sub>c</sub> (MPa)	34	30	43	49	36	34	34	40
N (KN)	0	0	0	0	0	0	0	0
P (KN)	63	75	68	71	94	90	86	71
ν	0.628	0.649	0.585	0.556	0.622	0.628	0.631	0.600
Φ <sub>l</sub>	0.253	0.279	0.173	0.160	0.164	0.169	0.170	0.121
Φ <sub>x</sub>	0.241	0.266	0.214	0.199	0.157	0.161	0.162	0.150
ψ	0.241	0.266	0.214	0.199	0.157	0.161	0.168	0.150
τ <sub>test</sub> / √f <sub>c</sub>	0.255	0.335	0.239	0.229	0.249	0.244	0.236	0.172
τ <sub>theory</sub> / √f <sub>c</sub>	0.291	0.314	0.234	0.220	0.207	0.211	0.213	0.173
τ <sub>theory</sub> / τ <sub>test</sub>	1.142	0.936	0.979	0.960	0.829	0.867	0.903	1.002
T <sub>1</sub> (KN)	62	62	50	50	62	62	62	50
C <sub>i</sub> (KN)	79	81	78	77	75	75	77	75
Failure mode	S	S	S	S	S	S	S	S
θ (rad)	0.732	0.728	0.781	0.784	0.757	0.755	0.760	0.794
θ <sub>1</sub> (rad)	1.146	1.100	1.261	1.283	1.298	1.291	1.289	1.354
y' <sub>0</sub> / h <sub>e</sub>	0	0.229	0.142	0.138	0.182	0.185	0.177	0.119
σ <sub>c</sub> <sup>1</sup> / f <sub>c</sub>	0.540	0.600	0.432	0.399	0.332	0.342	0.354	0.294
σ <sub>sl</sub> / f <sub>fl</sub>	1	1	1	1	1	1	1	1
σ <sub>sx</sub> / f <sub>fx</sub>	1	1	1	1	1	1	1	1
σ <sub>sy</sub> / f <sub>fy</sub>	1	1	1	1	1	1	1	1
x / a*	0.4461	0.4365	0.4630	0.4669	0.4727	0.4716	0.4706	0.4778
a* / a	1	1	1	1	1	1	1	1

Table I(continued)

Specimen No.	115-49	107-15	108-16	109-17	116-52	117-54	110-36	111-39
a(mm)	450	450	450	450	450	450	450	450
h(mm)	570	570	570	570	570	570	570	570
a / h	0.79	0.79	0.79	0.79	0.79	0.79	0.79	0.79
a <sub>c</sub> (mm)	60	60	60	60	60	60	60	60
t <sub>r</sub> (mm)	60	60	60	60	60	60	60	60
b (mm)	60	60	60	60	60	60	60	60
t (mm)	30	40	40	40	40	40	10	10
h <sub>0</sub> (mm)	450	450	450	450	450	450	450	450
$\varphi$	0.010	0.007	0.007	0.007	0.007	0.007	0.030	0.030
$\varphi_x$	0.012	0.009	0.009	0.009	0.009	0.009	0.018	0.018
$\varphi_y$	0.012	0.009	0.009	0.009	0.009	0.009	0.018	0.018
f <sub>ft</sub> (MPa)	293	368	368	368	293	293	293	293
f <sub>yx</sub> (MPa)	294	284	284	284	294	294	294	294
f <sub>yy</sub> (MPa)	294	284	284	284	294	294	294	294
f <sub>c</sub> (MPa)	46	33	35	36	45	43	46	43
N (KN)	0	0	0	0	0	0	0	0
P (KN)	77	98	97	102	78	77	43	44
$\nu$	0.569	0.636	0.623	0.621	0.573	0.587	0.571	0.583
$\Phi$	0.110	0.130	0.124	0.123	0.084	0.087	0.333	0.344
$\Phi_x$	0.137	0.125	0.119	0.118	0.104	0.108	0.206	0.213
$\psi$	0.137	0.125	0.119	0.118	0.104	0.108	0.206	0.213
$\tau_{test} / \sqrt{f_c}$	0.170	0.205	0.193	0.201	0.132	0.136	0.287	0.305
$\tau_{theory} / \sqrt{f_c}$	0.160	0.170	0.163	0.162	0.125	0.129	0.315	0.322
$\tau_{theory} / \tau_{test}$	0.937	0.830	0.843	0.804	0.945	0.953	1.101	1.056
T <sub>1</sub> (KN)	50	62	62	62	50	50	50	50
C <sub>1</sub> (KN)	74	73	73	73	74	74	41	42
Failure mode	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.798	0.772	0.775	0.776	0.809	0.807	0.645	0.642
$\theta_1$ (rad)	1.373	1.355	1.366	1.368	1.421	1.415	1.047	1.028
y <sub>0</sub> / h <sub>e</sub>	0.113	0.157	0.152	0.151	0.094	0.096	0.348	0.353
$\sigma_c^1 / f_c$	0.267	0.257	0.242	0.240	0.199	0.208	0.570	0.593
$\sigma_{sl} / f_{yt}$	1	1	1	1	1	1	1	1
$\sigma_{sx} / f_{yx}$	1	1	1	1	1	1	1	1
$\sigma_{sy} / f_{yy}$	1	1	1	1	1	1	1	1
x / a*	0.4804	0.4805	0.4819	0.4821	0.4863	0.4856	0.4352	0.4309
a* / a	1	1	1	1	1	1	1	1

**APPENDIX J Test Data and Calculation Results of Shear Walls Tests by NUPEC[94.3], Cardenas [80.2], and Kebeyasawa [84.5][85.4]**

Table J

Specimen No.	NUPEC	Cardenas				Kebeyasawa		
		SW-7	SW-8	SW-9	SW-13	K1	K2	K4
a(mm)	2020	2000	2000	2000	2000	1500	1500	1500
h(mm)	3100	1905	1905	1905	1905	2000	2000	2000
a/h	0.65	1.05	1.05	1.05	1.05	0.75	0.75	0.75
a <sub>0</sub> (mm)	760	115	115	115	115	331	331	331
t <sub>t</sub> (mm)	100	191	76	76	76	200	200	200
b <sub>0</sub> (mm)	2980	76	76	76	76	200	200	200
t <sub>0</sub> (mm)	75	76	76	76	76	80	80	80
h <sub>0</sub> (mm)	2900	1524	1753	1753	1753	1600	1600	1600
$\phi$	0.006	0.008	0	0	0	0.002	0.004	0.004
$\phi_k$	0.011	0.009	0.029	0.029	0.029	0.003	0.005	0.008
$\phi_y$	0.012	0.003	0.003	0.010	0.010	0.003	0.005	0.008
f <sub>u</sub> (MPa)	443	443	443	443	443	392	392	392
f <sub>yk</sub> (MPa)	443	443	443	443	443	395	395	395
f <sub>ty</sub> (MPa)	443	414	465	414	455	395	395	395
f <sub>c</sub> (MPa)	29	43	42	43	43	20	19	21
N (kN)	1196	12	12	12	13	396	400	399
P (kN)	1627	519	570	679	632	439	471	508
$\nu$	0.690	0.586	0.589	0.586	0.584	0.772	0.778	0.764
$\Phi$	0.132	0.147	0.021	0.021	0.020	0.046	0.095	0.089
$\Phi_k$	0.247	0.153	0.518	0.513	0.510	0.070	0.141	0.201
$\psi$	0.264	0.044	0.050	0.164	0.179	0.070	0.141	0.201
$\tau_{test}/\sqrt{f_c}$	0.347	0.142	0.157	0.185	0.172	0.181	0.199	0.201
$\tau_{theory}/\sqrt{f_c}$	0.341	0.158	0.185	0.184	0.183	0.153	0.220	0.235
$\tau_{theory}/\tau_{test}$	0.983	1.113	1.180	0.991	1.067	0.847	1.108	1.165
T <sub>1</sub> (kN)	617	538	75	75	75	111	224	224
C <sub>1</sub> (kN)	2086	416	1020	1664	1734	407	618	827
Failure mode	S	S	B	B	B	S	S	S
$\theta$ (rad)	0.732	0.728				0.755	0.760	0.794
$\theta_1$ (rad)	1.146	1.100				1.291	1.289	1.354
y <sub>0</sub> /h <sub>0</sub>	0	0.229				0.185	0.177	0.119
$\alpha_c^1/f_c$	0.540	0.600				0.342	0.354	0.294
$c_{sx}/f_{tk}$	1	1				1	1	1
$c_{sx}/f_{tk}$	1	1				1	1	1
$c_{sy}/f_{ty}$	1	1				1	1	1
x/a*	0.4461	0.4365				0.4716	0.4706	0.4778
a*/a	1	1				1	1	1

**APPENDIX K Test Data and Calculation Results of Shear  
Wall Tests by Wiradinata [86.6], Aoyagi[90.5]  
and Pauley [80.1]**

Table K

Specimen No.	Wiradinata	Wiradinata
a(mm)	1100	620
h(mm)	2000	2000
a / h	0.55	0.31
a <sub>e</sub> (mm)	80	80
t <sub>f</sub> (mm)	60	60
b (mm)	100	100
t (mm)	100	100
h <sub>0</sub> (mm)	1880	1880
φ	0	0
φ <sub>x</sub>	0.008	0.008
φ <sub>y</sub>	0.003	0.003
f <sub>ft</sub> (MPa)	434	434
f <sub>rx</sub> (MPa)	434	434
f <sub>ry</sub> (MPa)	425	425
f <sub>c</sub> (MPa)	25	22
N (KN)	15	9
P (KN)	574	681
ν	0.678	0.691
Φ <sub>i</sub>	0.006	0.007
Φ <sub>x</sub>	0.206	0.228
Ψ	0.063	0.070
τ <sub>test</sub> / √f <sub>c</sub>	0.170	0.223
τ <sub>theory</sub> / √f <sub>c</sub>	0.154	0.222
τ <sub>theory</sub> / τ <sub>test</sub>	0.904	0.993
T <sub>1</sub> (KN)	21	21
C <sub>1</sub> (KN)	384	261
Failure mode	S	S
θ (rad)	0.798	0.772
θ <sub>1</sub> (rad)	1.373	1.355
y' <sub>0</sub> / h <sub>e</sub>	0.113	0.157
σ <sub>c</sub> ' / f <sub>c</sub>	0.267	0.257
σ <sub>gt</sub> / f <sub>ft</sub>	1	1
σ <sub>gx</sub> / f <sub>rx</sub>	1	1
σ <sub>gy</sub> / f <sub>ry</sub>	1	1
x / a*	0.4804	0.4805
a* / a	1	1

Aoyagi	Aoyagi
1400	1400
2720	2720
0.51	0.51
246	246
320	320
320	320
160	160
2080	2080
0.004	0.015
0.006	0.006
0.006	0.006
363	272
339	339
339	339
29	29
0	0
1555	2309
0.653	0.654
0.077	0.217
0.102	0.103
0.109	0.110
0.186	0.278
0.167	0.292
0.897	1.052
646	1807
663	486
S	S
0.775	0.776
1.366	1.368
0.152	0.151
0.242	0.240
1	1
1	1
1	1
0.4819	0.4821
1	1

Pauley	Pauley
1500	1500
3000	3000
0.50	0.50
400	400
200	100
100	500
100	100
2600	2800
0.001	0.008
0.008	0.004
0.016	0.016
308	308
308	308
380	380
27	26
0	0
810	786
0.664	0.670
0.024	0.051
0.138	0.069
0.339	0.351
0.149	0.150
0.131	0.129
0.874	0.857
130	266
777	603
S	S
0.809	0.807
1.421	1.415
0.094	0.096
0.199	0.208
1	1
1	1
1	1
0.4863	0.4856
1	1

## APPENDIX L Test Data and Calculation Results of Shear Wall Tests by KoKusho [75.1]

Table L

Specimen No.	S1	S2	S3	S4	S5	S7	S8	S9	S10	S11
a(mm)	20	20	30	30	30	30	30	30	30	30
h(mm)	40	40	40	40	40	40	40	40	40	40
a/h	0.47	0.47	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70
a (mm)	30	30	130	130	130	130	130	130	130	130
t (mm)	30	30	30	30	30	30	30	30	30	30
b(mm)	15	15	15	15	15	15	15	15	15	15
t (mm)	23	24	27	24	16	22	22	24	23	23
h <sub>0</sub> (mm)	30	30	30	30	30	30	30	30	30	30
$\varphi$	0003	0003	0006	0006	0000	0007	0007	0006	0007	0007
$\varphi$	0007	0007	0004	0006	0007	0007	0007	0006	0006	0006
$\varphi$	0007	0007	0004	0004	0007	0007	0007	0006	0006	0006
$f_u$ (MPa)	42	42	47	47	47	47	47	47	47	47
$f_{cu}$ (MPa)	33	33	42	33	33	33	33	33	33	33
$f_y$ (MPa)	33	33	42	33	33	33	33	33	33	33
$f_t$ (MPa)	20	19	14	14	14	18	17	24	16	16
N(kN)	0	0	0531	0	0	0	0534	0	0541	0541
P(kN)	2936	2756	2446	2357	1957	2491	2580	2609	2609	2580
$\nu$	0.70	0.70	0.731	0.730	0.732	0.711	0.718	0.681	0.723	0.723
$\Phi$	0.00	0.001	0.224	0.232	0.338	0.223	0.237	0.160	0.240	0.240
$\Phi_s$	0.132	0.135	0.135	0.140	0.221	0.135	0.136	0.039	0.137	0.137
$\psi$	0.139	0.172	0.147	0.134	0.211	0.133	0.134	0.039	0.134	0.134
$\tau_{xy}/f_t$	0.213	0.213	0.213	0.220	0.232	0.217	0.227	0.139	0.237	0.230
$\tau_{xy}/f_t$	0.224	0.227	0.216	0.235	0.338	0.238	0.270	0.131	0.216	0.218
$\tau_{xy}/\tau_{ut}$	1.03	1.116	1.212	1.139	1.210	1.249	1.131	1.141	1.06	1.031
T(kN)	1242	1242	2694	2694	2694	2694	2694	2694	2694	2694
Q(kN)	1549	1532	2284	1812	2030	2321	2340	1340	1839	1839
Failure mode	S	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.899	0.897	0.704	0.689	0.537	0.727	0.719	0.747	0.683	0.683
$\theta$ (rad)	1.271	1.237	1.139	1.137	0.902	1.136	1.139	1.322	1.137	1.137
$y_b/h_0$	0	0.310	0.339	0.336	0.434	0.238	0.339	0.270	0.333	0.333
$\zeta_s/f_t$	0.276	0.281	0.331	0.313	0.670	0.413	0.446	0.134	0.336	0.336
$c_b/f_t$	1	1	1	1	1	1	1	1	1	1
$c_b/f_{ty}$	1	1	1	1	1	1	1	1	1	1
$c_b/f_{ty}$	1	1	1	1	1	1	1	1	1	1
$x/a'$	0.453	0.439	0.432	0.431	0.419	0.433	0.430	0.433	0.470	0.470
$a'/a$	1	1	1	1	1	1	1	1	1	1



**APPENDIX M Test Data and Calculation Results of Shear  
Wall Tests by Benjamin [53.1] [55.1] [56.1]  
[56.2] [57.1]**

Table M

Specimen No.	4BI-1	4BI-2	4BI-3	4BI-4	1BI-1	3AI-1	3AI-2	NV-1	NV-11	NV-18
a(mm)	600	560	540	520	920	600	550	800	1100	600
h(mm)	610	914	1219	1778	1727	914	914	1651	1143	1956
a/h	0.98	0.61	0.44	0.29	0.53	0.66	0.60	0.48	0.96	0.31
a <sub>s</sub> (mm)	140	142	140	134	137	62	162	51	86	91
t <sub>r</sub> (mm)	102	102	102	102	127	102	102	127	127	127
b (mm)	127	127	127	127	191	127	127	127	127	127
t (mm)	51	51	51	51	51	44	44	51	51	51
h <sub>0</sub> (mm)	406	711	1016	1575	1473	711	711	1397	889	1702
$\eta$	0.009	0.006	0.005	0.003	0.006	0.011	0.011	0.003	0.014	0.003
$\phi_x$	0.005	0.005	0.005	0.005	0.003	0.005	0.003	0.005	0.005	0.005
$\phi_y$	0.005	0.005	0.005	0.005	0.003	0.005	0.003	0.005	0.005	0.005
f <sub>ti</sub> (MPa)	312	312	312	312	312	312	312	312	312	312
f <sub>tx</sub> (MPa)	341	341	341	341	341	341	341	341	341	341
f <sub>ty</sub> (MPa)	341	341	341	341	341	341	341	341	341	341
f <sub>c</sub> (MPa)	20.00	21.37	19.31	26.41	20.00	24.82	19.31	26.89	24.82	20.69
N (KN)	0	0	0	0	0	0	0	0	0	0
P (KN)	88.96	155	201	294	249	205	138	301	222	374
$\nu$	0.700	0.693	0.703	0.668	0.700	0.676	0.703	0.666	0.676	0.697
$\phi_1$	0.205	0.129	0.106	0.056	0.129	0.196	0.242	0.059	0.257	0.062
$\phi_2$	0.122	0.115	0.126	0.097	0.061	0.102	0.063	0.095	0.102	0.118
$\psi$	0.122	0.115	0.126	0.097	0.061	0.102	0.063	0.095	0.102	0.118
$\tau_{test}/f_c$	0.205	0.225	0.240	0.184	0.203	0.300	0.250	0.201	0.228	0.261
$\tau_{recovery}/f_c$	0.174	0.194	0.229	0.210	0.202	0.238	0.253	0.160	0.220	0.232
$\tau_{recovery}/\tau_{test}$	0.848	0.864	0.954	1.137	0.994	0.793	1.014	0.795	0.963	0.889
T <sub>1</sub> (KN)	89.07	89.07	89.07	89.07	158	133	133	88.67	250	88.67
C <sub>1</sub> (KN)	95.04	75.24	68.92	68.61	45.19	59.34	19.36	95.35	162	71.98
Failure mode	S	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.687	0.849	0.993	1.074	0.867	0.742	0.702	0.961	0.629	1.042
$\theta_1$ (rad)	1.346	1.331	1.293	1.332	1.327	1.248	1.205	1.390	1.268	1.303
y <sub>0</sub> /h <sub>0</sub>	0	0.264	0.377	0.445	0.353	0.363	0.462	0.253	0.268	0.461
$\alpha'_c/f_c$	0.303	0.204	0.195	0.125	0.105	0.223	0.151	0.138	0.294	0.159
$\alpha_{st}/f_{ti}$	1	1	1	1	1	1	1	1	1	1
$\alpha_{st}/f_{tx}$	1	1	1	1	1	1	1	1	1	1
$\alpha_{st}/f_{ty}$	1	1	1	1	1	1	1	1	1	1
x/a*	0.4721	0.4877	0.4912	0.4968	0.4945	0.4835	0.4889	0.4944	0.4713	0.4954
a*/a	1	1	1	1	1	1	1	1	1	1

Table M (continued)

Specimen No.	VR3	R-1	Al-A	Al-B	A2-B	M1	MR-1	MR-3	MR-2	MR-4
a(mm)	950	950	550	550	550	850	650	650	500	500
h(mm)	1727	1727	1778	1778	1778	1575	1645	1645	1645	1645
a/h	0.55	0.55	0.31	0.31	0.31	0.54	0.40	0.40	0.30	0.30
a <sub>0</sub> (mm)	69	69	74	74	74	127	82	114	53	53
t <sub>1</sub> (mm)	127	127	102	102	102	121	127	127	127	127
b(mm)	191	191	127	127	127	191	127	127	127	127
t(mm)	51	51	44	44	44	51	44	44	44	44
h <sub>0</sub> (mm)	1473	1473	1575	1575	1575	1334	1391	1391	1391	1391
φ	0.006	0.006	0.004	0.004	0.004	0.006	0.007	0.007	0.007	0.007
φ <sub>x</sub>	0.005	0.003	0.010	0.010	0.015	0.003	0.003	0.003	0.003	0.003
φ <sub>y</sub>	0.005	0.003	0.010	0.010	0.015	0.003	0.003	0.003	0.003	0.003
f <sub>u</sub> (MPa)	312	324	236	236	236	324	324	324	324	324
f <sub>ux</sub> (MPa)	341	359	341	341	341	359	359	359	359	359
f <sub>uy</sub> (MPa)	341	359	341	341	341	359	359	359	359	359
ξ(MPa)	21.37	20.69	21.65	22.62	20.41	22.06	24.13	15.72	19.93	14.41
N(kN)	0	0	0	0	0	0	0	0	0	0
P(kN)	302	316	311	367	329	214	317	318	245	245
ν	0.633	0.697	0.632	0.687	0.638	0.630	0.679	0.721	0.700	0.728
Φ	0.121	0.130	0.071	0.039	0.075	0.138	0.140	0.202	0.164	0.218
Φ <sub>x</sub>	0.115	0.032	0.228	0.220	0.359	0.059	0.055	0.079	0.064	0.065
ψ	0.115	0.032	0.228	0.220	0.359	0.059	0.055	0.079	0.064	0.065
τ <sub>test</sub> /√f <sub>c</sub>	0.233	0.250	0.263	0.239	0.232	0.175	0.235	0.384	0.240	0.319
τ <sub>theory</sub> /√f <sub>c</sub>	0.222	0.203	0.311	0.304	0.379	0.207	0.236	0.233	0.281	0.336
τ <sub>theory</sub> /τ <sub>test</sub>	0.953	0.813	1.181	1.016	1.266	1.178	0.832	0.764	1.173	1.022
T <sub>1</sub> (kN)	158	164	84.55	84.55	84.55	168	150	146	132	127
G(kN)	110	44.05	129	129	216	41.26	9.80	6.04	-9.47	-14
Failure mode	S	S	S	S	S	S	S	S	S	S
θ(rad)	0.833	0.853	0.974	0.981	0.929	0.851	0.924	0.842	0.943	0.870
θ <sub>1</sub> (rad)	1.301	1.323	1.187	1.159	1.074	1.317	1.283	1.182	1.220	1.135
y <sub>0</sub> /h <sub>0</sub>	0.331	0.351	0.532	0.524	0.574	0.334	0.460	0.544	0.568	0.628
α <sub>c</sub> <sup>*</sup> /ξ	0.198	0.110	0.333	0.318	0.530	0.104	0.086	0.142	0.038	0.146
α <sub>u</sub> /f <sub>u</sub>	1	1	1	1	1	1	1	1	1	1
α <sub>ux</sub> /f <sub>ux</sub>	1	1	1	1	1	1	1	1	1	1
α <sub>uy</sub> /f <sub>uy</sub>	1	1	1	1	1	1	1	1	1	1
x/a*	0.4330	0.4940	0.4373	0.4686	0.4754	0.4944	0.4334	0.4929	0.4935	0.4940
a*/a	1	1	1	1	1	1	1	1	1	1

Table M (continued)

Specimen No.	VRR-1	MS-1	MS-2	MS-2-2	MS-5	SD-1A	SD-1C	3BI-3	1BI-3
a(mm)	850	760	760	760	550	650	650	920	1400
h(mm)	1727	1600	1600	1600	2337	1219	1219	1727	2591
a/h	0.49	0.47	0.47	0.47	0.24	0.53	0.53	0.53	0.54
a <sub>c</sub> (mm)	131	80	80	80	68	90	90	137	154
t <sub>r</sub> (mm)	127	127	127	127	127	102	102	127	191
b (mm)	178	127	127	127	127	102	102	305	286
t (mm)	51	51	51	51	51	51	51	51	76
b <sub>0</sub> (mm)	1473	1346	1346	1346	2083	1016	1016	1473	2210
$\eta$	0.006	0.010	0.010	0.010	0.007	0.005	0.005	0.006	0.006
$\eta_x$	0.005	0.008	0.008	0.008	0.008	0.005	0.005	0.005	0.005
$\eta_y$	0.005	0.008	0.008	0.008	0.008	0.005	0.005	0.005	0.005
$f_{t1}$ (MPa)	293	293	293	293	293	293	293	312	312
$f_{tx}$ (MPa)	293	293	293	293	293	293	293	341	341
$f_{ty}$ (MPa)	293	293	293	293	293	293	293	341	341
$f_c$ (MPa)	22.06	21.58	23.13	23.86	25.24	16.13	16.13	22.75	20.69
N (KN)	0	0	0	0	0	0	0	0	0
P (KN)	329	274	368	359	380	178	160	294	685
$\nu$	0.690	0.692	0.659	0.681	0.674	0.719	0.719	0.686	0.697
$\Phi$	0.113	0.198	0.155	0.178	0.116	0.116	0.116	0.116	0.120
$\Phi_x$	0.096	0.053	0.043	0.049	0.047	0.126	0.126	0.109	0.118
$\psi$	0.096	0.049	0.043	0.049	0.047	0.126	0.126	0.109	0.118
$\tau_{test} / \tau_c$	0.247	0.226	0.244	0.272	0.188	0.248	0.223	0.214	0.241
$\tau_{theory} / \tau_c$	0.215	0.252	0.222	0.241	0.252	0.219	0.219	0.213	0.223
$\tau_{theory} / \tau_{test}$	0.874	1.114	0.909	0.889	1.338	0.886	0.984	0.995	0.926
T <sub>1</sub> (KN)	152	206	213	210	176	83.18	83.18	158	340
G <sub>1</sub> (KN)	84	0.57	8.26	4.87	-19.26	68.62	68.62	112	254
Failure mode	S	S	S	S	S	S	S	S	S
$\theta$ (rad)	0.904	0.821	0.867	0.840	1.056	0.883	0.883	0.888	0.882
$\theta_1$ (rad)	1.312	1.243	1.295	1.263	1.278	1.302	1.302	1.314	1.304
$y_0/h_e$	0.356	0.473	0.422	0.453	0.575	0.323	0.323	0.326	0.330
$\sigma_c^1 / f_c$	0.156	0.092	0.073	0.088	0.061	0.211	0.211	0.182	0.199
$\sigma_y / f_{ty}$	1	1	1	1	1	1	1	1	1
$\sigma_x / f_{tx}$	1	1	1	1	1	1	1	1	1
$\sigma_y / f_{ty}$	1	1	1	1	1	1	1	1	1
$x / a^*$	0.4924	0.4950	0.4964	0.4954	0.4986	0.4886	0.4886	0.4905	0.4886
$a^*/a$	1	1	1	1	1	1	1	1	1

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